DOCUMENT 00 01 01



# **PROJECT MANUAL**

FOR THE

# DISTRICT OFFICE RESTROOM RENOVATION PROJECT

RFP Number 86690

San Mateo County Community College District 3401 CSM Drive San Mateo, CA 94402

July 12, 2014

### DOCUMENT 00 01 10

#### DISTRICT OFFCIE RESTROOM RENOVATION PROJECT

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### DOCUMENT 00 11 13

### **ADVERTISEMENT FOR BIDS**

**NOTICE IS HEREBY GIVEN** that the San Mateo County Community College District ("**District**") of San Mateo, California, acting through its Governing Board, hereinafter referred to as the District, will accept sealed bids for the award of the contract for the following public work:

#### BID NUMBER 86690 District Office Restroom Renovation Project SMCCCD District Office San Mateo, California

Each bid must conform to and be responsive to the contract documents and be submitted on a form furnished by the District. Bids can only be submitted by those B contractors who have been pre-qualified with the District, as reflected on the District's website: Prequalified Construction Contractors - 2014.

**DESCRIPTION OF THE WORK.** The Work generally consists of renovation of seven existing restroom facilities at the District Office at 3401 CSM Drive, San Mateo, CA 94402. The project will be phased to maintain access to building restrooms at all times. In Phase One, the first and third floors will be completed concurrently. In Phase Two, the middle floor will be completed beginning on 12/24/14. The Bidding documents contain the full description of the Work.

**MANDATORY PRE-BID CONFERENCE** will be held on **Wednesday**, **July 23**, **2014** at **10:00am** in the second floor Board Room at the District Office, 3401 CSM Drive, San Mateo, CA 94402. Attendance is mandatory. Interested parties are advised to arrive early in order to park and obtain a parking pass from parking permit machines. Doors will be locked 15 minutes after the start time of the conference.

**BIDS DUE:** Bids will be received by the District at their office located at 3401 CSM Drive, San Mateo, CA 94402 no later than **2PM**, **on Tuesday**, **August 5**, **2014**. Main contact for this project is Anne Daley at email: <u>daleya@smccd.edu</u>.

**PREVAILING WAGE:** The successful bidder shall be required to pay its workers on this project a sum not less than the general prevailing wage rate of per diem wages and not less than the general prevailing rate for holiday and overtime work for work of a similar character in the locality in which the project is performed as provided under California Labor Code section 1770 et sec. The District has determined the prevailing rate of per diem wages and the general prevailing rate for holidays and overtime work in the locality in which this project is to be performed for each craft, classification or type of work needed to execute the work. Contractor shall be required to post, at each job site, a copy of such prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations.

**SUBSTITUTION OF SECURITIES.** District will permit successful Bidder to substitute securities for retention monies withheld to ensure performance of Contract, as set forth in Document 00 43 45 (Escrow Agreement for Security Deposits in Lieu of Retention), in accordance with California Public Contract Code, Section 22300. By this reference, Document 00 43 45 (Escrow Agreement for Security Deposits in Lieu of Retention) is incorporated in full in this Document 00 11 13.

**RESTRICTIONS ON SUBSTITUTIONS AND SOLE SOURCE ITEMS.** As a limitation on Bidder's privilege to substitute "or equal" items, District has found that certain items are designated as District standards and certain items are designated to match existing items in use on a particular public improvement either completed or in the course of completion or are available from one source. As to such items, District will not permit substitution. Such items are described in the Bidding Documents.

**PROCUREMENT OF BIDDING DOCUMENTS**: Bidders may access bid documents through the project web site, <u>http://smccd.edu/facilities/Downloads/index.php?dir=DistrictWide%2F</u> The San Mateo County Community College District is an equal opportunity employer.

Board of Trustees San Mateo County Community College District Karen Schwarz, President

Published: Tuesday, July 15, 2014, and Monday, July 21, 2014

### DOCUMENT 00 11 19 INSTRUCTIONS TO BIDDERS

1. **Description of Work:** Bids are requested for a general construction contract, or work described in general, as follows:

### District Office Restroom Renovation Project SMCCCD District Bid 86690 San Mateo, CA

2. **Owner's Non-Specified Work Allowance**: An Owner's Allowance of Ten Percent of the Base Bid has been designated and shown as a bid item on the Bid Form. Contractor's costs for products, delivery, installation, labor, insurance, payroll, taxes, bonding and equipment rental will be included in Change Orders authorizing expenditure of funds from this Allowance. Funds will be drawn from Allowance only by approval of the Owner and authorization of Change Orders by the Architect. At closeout of Contract, funds remaining in Allowance will be credited to Owner by Changer Order.

### 3. District Representative:

District has designated an individual to act as District's Representative(s), who will represent District in performing District's duties and responsibilities and exercising District's rights and authorities in Contract Documents. District may change the individual(s) acting as District's Representative(s), or delegate one or more specific functions to one or more specific District's Representatives. All notices or demands to District under the Contract Documents shall be submitted to the District's Representative at:

San Mateo County Community College District Attn: Josè D. Nuñez Vice Chancellor of Facilities Planning, Maintenance & Operations 3401 CSM Drive, San Mateo, CA 94402 Telephone: 650- 358-6836 nunezj@smccd.edu

- 4. **RECEIPT OF BIDS.** Sealed Bids will be received by the District at their office (see paragraph 4 below) **no later than 2:00 p.m.** on **Tuesday, August 5, 2014**. District will receive Bids from pre-qualified contractors in a sealed envelope that is clearly labeled with the name and number of the bid. All Bids will be time stamped to reflect their submittal time. District will reject all Bids received after the specified time and will return such Bids to Bidders unopened. Bidders must submit Bids in accordance with this DOCUMENT 00 11 19.
- 5. BID SUBMISSION. Bidder should mark its Bid envelope as BID NUMBER 86690, District Office Restroom Renovation. Bids shall be deemed to include the written responses of the Bidder to any questions or requests for information of District made as part of Bid prior to submission of Bid. Bidder's failure to submit all required documents strictly as required entitles District to reject the Bid as nonresponsive.

### Bid Submittal address:

San Mateo County Community College District Facilities Department (District Office) 3401 College of San Mateo Drive San Mateo, CA 94402

6. **REQUIRED BID FORMS.** All Bidders must submit Bids using, where applicable, documents supplied in this Project Manual, including without limitation Document 00 41 00 (Bid Form), 00 43 13 (Bond Accompanying Bid), Document 00 43 36 (Subcontractors List), Document 00 45 00 (Bidder Certifications), and Document 00 45 19 (Non-collusion Affidavit). District will reject as non-responsive any Bid not submitted on the required forms. Bids must be full and complete. Bidders must complete all Bid items and supply all information required by Bidding Sections. District reserves the right in its sole discretion to reject any Bid as non-responsive

as a result of any error or omission in the Bid. Bidders may not modify the Bid Form or qualify their Bids. Bidders must submit clearly and distinctly written Bids. Bidders must clearly make any changes in their Bids by crossing out original entries, entering new entries, and initialing new entries. District reserves the right to reject any Bid not clearly written.

7. **REQUIRED BID SECURITY.** Bidders must submit with their Bids either cash, a cashier's check, or certified check from a responsible bank in the United States, or corporate surety bond furnished by a surety authorized to do business in the State of California, of not less than ten percent of [amount of total Bid, including Owner's Allowance], payable to District. All Bidders choosing to submit a surety bond must submit it on the required form, Document 00 43 13 (Bond Accompanying Bid). District will reject as non-responsive any Bid submitted without the necessary Bid security.

The District may retain Bid securities and Bid bonds of other than the Apparent Low Bidder for a period of ninety (90) Days after award or full execution of the Contract, whichever first occurs. Upon full execution of the Contract, and upon request by Bidder, District will return to the respective unsuccessful Bidders their Bid securities and Bid bonds.

- 8. **REQUIRED SUBCONTRACTORS LIST.** All Bidders must submit with their Bids the required information on all Subcontractors in Document 00 43 36 (Subcontractors List) for those Subcontractors who will perform any portion of the Work, including labor, rendering of service, or specially fabricating and installing a portion of the Work or improvement according to detailed drawings confined in the plans and specifications, in excess of one half of one percent of total Bid. Violation of this requirement may result in Bid being deemed non-responsive and not being considered.
- **10. MANDATORY PRE-BID CONFERENCE and SITE VISIT.** District will conduct one Mandatory Pre-Bid Conferences and Site Visits, per Document 00 11 13 (Advertisement for Bids). District reserves the right to schedule and organize the Site Visit to minimize congestion and disruption to existing facilities and congestion. Bidders are encouraged to submit written questions in connection with the Site Visit. District will transmit to all parties recorded as having received Bidding documents such Addenda as District in its discretion considers necessary in response to written questions. Bidders shall not rely on oral statements. Oral statements will not be binding or legally effective. Other Pre-Bid Site visits may be scheduled at District's sole discretion, depending on staff availability.
- **11. OTHER REQUIREMENTS PRIOR TO BIDDING.** Submission of Bid signifies Bidder's careful examination of Bidding Documents and complete understanding of the nature, extent, and location of Work to be performed. As a condition to Bidding, Bidder must complete tasks listed in Document 00 52 00 (Agreement), Article 5. Submission of Bid shall constitute Bidder's express representation to the District that Bidder has fully completed these tasks.
- 12. EXISTING DRAWINGS AND GEOTECHNICAL DATA. Bidders may examine any available existing conditions information (e.g., record documents, specifications, studies, drawings of previous work) by giving District reasonable advance notice, as well as applicable environmental assessment information (if any) regarding the Project.. Documents are available for onsite review by appointment. 13. ADDENDA. Bidders must direct all questions about the meaning or intent of Bidding Documents to District Representative in writing. Interpretations or clarifications considered necessary by District in response to such questions will be issued by Addenda e-mailed, faxed, or delivered to all parties recorded by District as having received Bidding Documents. Addenda will be written and will be issued to each bidder to the e-mail address or fax number supplied District by Bidder. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
  - A. Addenda may also be issued to modify the Bidding Documents as deemed advisable by District.
  - B. Addenda shall be acknowledged by number with signature in Document 00 41 00 (Bid Form) and shall be part of the Contract Documents. A complete listing of Addenda may be secured from District.
- 14. SUBSTITUTIONS. Bidders must base Bids on products and systems specified in Contract Documents or listed

by name in Addenda.

- A. Except as provided in paragraph 14.c below, District will consider substitution requests on for "or equal items." Bidders wanting to use "or equal" item(s) may submit a Request for Substitution during the bid period. District's decision shall be conclusive on all Bidders.
- B. Approved substitutions shall be listed in Addenda and become part of contact Documents.
- C. Substitutions may be requested after submitting Bids and Award of contract only in accordance with requirements specified in Section 01 60 00 (Product Requirements).
- D. As further limitation on Bidder's privilege to substitute items, District has found that certain items are designated as District standards and certain items are designated to match existing items in use on a particular public improvement, either completed or in the course of completion, and/or are only available from one source. As to such items, District will not permit substitution. District will not permit substitutions for the following items:

1	Door Closers	LCN
2	Door lockset cores	Schlage
3	Panic door hardware	Von Duprin
4	Window shades	Mechoshade, Levelor
5	Top set base	Burke
6	Carpet	Collins & Aikman
7	Resilient Flooring	Tarkett Linoleum
		Altro Suprema
		Armstrong VCT
8	Suspended Acoustical Tile	USG
9	Ceramic Tile	Dal-Tile
10	Paint	Kelly Moore Paints
		Rustoleum
11	DDC BMS Controls	Schneider Electric
12	Fire Alarm	Siemens MXL fire alarm systems, supplied and
		installed by the local Siemens Building
		Technologies, Inc., Hayward direct branch office
13	Irrigation	Hunter Toro
14	Toilet Partitions	Bobrick Sierra
15	Access Controls and Alarm	Group 4 Technologies AMAG,
	Monitoring System	supplied/installed/programmed by
		NetVersant
16	Surveillance System Platform	ExacqVision, supplied/installed/programmed by
		NetVersant

- **15. WAGE RATES.** Copies of the general prevailing rates of per diem wages for each craft, classification, or type of worker needed to execute the contract, as determined by Director of the State of California Department of Industrial Relations, are available through the Department of Industrial Relations and are deemed included in the Bidding Documents. See <u>http://www.dir.ca.gov/dirdatabases.html</u>. Upon request, District will make available copies to any interested party. In addition, Contractor shall post the applicable prevailing wage rates at the Site.
- **16. EQUAL EMPLOYMENT OPPORTUNITY.** Contractor shall comply with all applicable federal, state, and local laws, rules, and regulations in regard to nondiscrimination in employment because of race, color, ancestry, national origin, religion, sex, marital status, age, medical conditions, disability, or any other reason.
- **17. BID OPENING.** District will open all bidders' envelopes, initially evaluate them for responsiveness, and determine an Apparent Low Bidder as specified herein.
- 18. DETERMINATION OF APPARENT LOW BIDDER. Apparent Low Bid will be based solely on the total

amount of all Bid items (including any alternates based on assumptions contained in Document 00 41 00 (Bid Form). All Bidders are required to submit Bids on all Bid items (including any alternates).

- 19. Not used.
- **20. BID EVALUATION.** District may reject any or all Bids and waive any informalities or minor irregularities in the Bids. District also reserves the right, in its discretion, to reject any or all Bids and to re-bid the Project. District reserves the right to reject any or all nonconforming, non-responsive, unbalanced, or conditional Bids, and to reject the Bid of any Bidder if District believes that it would not be in the best interest of Project to make an award to that Bidder, whether because the Bid is not responsive or the Bidder is unqualified or of doubtful financial ability or fails to meet any other pertinent standard or criteria established by District. For purposes of this paragraph, an "unbalanced Bid" is one having nominal prices for some work items and enhanced prices for other work items.
  - A. In evaluating Bids, District will consider Bidders' qualifications, whether or not the Bids comply with the prescribed requirements, omit prices and other data, as may be requested in Document 00 41 00 (Bid Forms) or prior to the Notice of Award.
  - B. District may conduct reasonable investigations and reference checks of Bidder, proposed Subcontractors, suppliers and other persons and organizations as District deems necessary to assist in the evaluation of any Bid; ability qualifications, financial ability proposed Subcontractors, suppliers, and to establish Bidder's responsibility, and other persons and organizations to perform and furnish the Work in accordance with the Contract Documents to District's satisfaction within the prescribed time. Submission of a Bid constitutes Bidder's consent to the foregoing. District shall have the right to consider information provided by sources other than Bidder. District shall also have the right to communicate directly with Bidder's surety regarding Bidder's bonds.
  - C. Discrepancies between the multiplication of units of Work and limit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum. Discrepancies between written words and figures will be resolved in favor of the words.
  - D. Quantities stated in the Bidding Documents are approximate only and are subject to correction upon final measurement of the Work, and are subject further to the rights reserved by the District to increase or diminish the amount of work under any classification as advantages to design or construction needs require.
  - E. District may determine whether a Bidder is qualified in its sole discretionary judgment.
- **21. AWARD.** If the contract is to be awarded, it will be awarded to the lowest responsible responsive Bidder. Following completion of all required District procedures and receipt of all District approvals, District will issue Document 00 51 00 (Notice of Award) to successful Bidder.
- **22. BID PROTEST.** Any Bid protest must be submitted in writing to the District's offices, before 4:00 p.m. of the fifth (5) day following opening of Bidder's Envelopes.
  - A. The initial protest document must contain a complete statement of the basis for the protest.
  - B. The protest must refer to the specific portion of the document that forms the basis for the protest.
  - C. The protest must include the name, address, and telephone number of the person representing the protesting party.
  - D. Only Bidders who the District otherwise determines are responsive and responsible are eligible to protest a Bid; protests from any other Bidder will not be considered. In order to determine whether a protesting Bidder is responsive and responsible, District may conduct the same investigation and evaluation as District is entitled to take regarding an Apparent Low Bidder.
  - E. The party filing the protest must concurrently transmit a copy of the initial protest document and any attached documentation to all other parties with a direct financial interest that may be adversely affected by the outcome of the protest. Such parties shall include all other Bidders who appear to have a reasonable prospect of receiving an award depending upon the outcome of the protest.
  - F. The procedure and time limits set forth in this paragraph are mandatory and are Bidder's sole and exclusive remedy in the event of Bid protest. Bidder's failure to comply with these procedures shall constitute a waiver of any right to further pursue the Bid protest, including filing a Government Code Claim or legal proceedings. A Bidder may not rely on a protest submitted by another Bidder, but must timely pursue its own protest.

G. Bid protests shall be submitted directly to the district at their offices located at: San Mateo County Community College District

c/o José D. Nuñez, Vice Chancellor, Facilities Planning, Maintenance & Operations

3401 CSM Drive

San Mateo, CA 94402

- 23. POST-NOTICE OF AWARD REQUIREMENTS. After Notice of Award, the successful Bidder must execute and submit the following documents as indicated below.
  - A. Submit the following documents to District by 4:00 p.m. of the tenth (10) day following Notice of Award. Execution of Contract by District depends upon approval of these documents:
    - 1) Document 00 52 00 (Agreement): To be executed by successful Bidder. Submit two originals, each bearing an original signature.
    - 2) Document 00 61 00 (Construction Performance Bond): To be executed by successful Bidder and surety, in the amount set forth in Document 00 61 00 (Construction Performance Bond). Submit one original.
    - 3) Document 00 62 00 (Construction Labor and Material Payment Bond): To be executed by successful Bidder and surety, in the amount set forth in Document 00 62 00 (Construction Labor and Material Payment Bond). Submit one original.
    - 4) Insurance certificates and endorsements required by Section 00 71 00 (General Conditions) Article 4. Submit one original set.
    - 5) The Guaranty in the form set forth in Document 00 65 36 (Guaranty). Submit one original, bearing an original signature.
    - 6) Not Used.
  - B. District shall have the right to communicate directly with Apparent Low Bidder's proposed performance bond surety, to confirm the performance bond. District may elect to extend the time to receive performance and labor and material payment bonds.
  - C. Successful Bidder's failure to submit the documents required herein, in a proper and timely manner, entitles District to rescind its award, and to cause Bidder's Bid security to be forfeited as provided herein.
- 24. FAILURE TO EXECUTE AND DELIVER DOCUMENTS. If Bidder to whom contact is awarded shall, within the period described in paragraph 23A of this Document 00 11 19, fail or neglect to execute and deliver all required Contract Documents and file all required bonds, insurance certificates, and other documents, District may, in its sole discretion, foreclose on Bidder's deposit surety bond, or deposit Bidder's cashier's check or certified check for collection, and retain the proceeds thereof as liquidated damages for Bidder's failure to enter into the Contract Documents. Bidder agrees that calculating the damages District may suffer as a result of Bidder's failure to execute and deliver all required Contract Documents would be extremely difficult and impractical and that the amount of Bidder's required Bid security shall be the agreed and presumed amount of District's damages. In addition, upon such failure District may determine the next Apparent Low Bidder and proceed accordingly.
- 25. MODIFICATION OF COMMENCEMENT OF WORK. District expressly reserves the right to modify the date for the Commencement of Work under the Contact and to independently perform and complete work related to the Project.
- **26. WITHDRAWAL OF BIDS.** Bidders may withdraw their Bids at any time prior to the Bid opening time fixed in this Document 00 11 19, only by written request for the withdrawal of Bid filed with the District's representative. Bidder or its duly authorized representative shall execute request to withdraw Bid. The submission of a Bid does not commit the District to award a contract for the Project, to pay costs incurred in the preparation of a Bid, or to procure or contract for any goods or services.

#### 27. PUBLIC RECORDS ACT REQUESTS.

A. Per the Public Records Act, District will make available to the public all correspondence and written questions submitted during the Bid period, all Bid submissions opened in accordance with the procedures of this Document 00 11 19, and all subsequent Bid evaluation information. All submissions not opened will

remain sealed and eventually be returned to the submitter. Except as otherwise required by law, District will not disclose trade secrets or proprietary financial information submitted that has been designated confidential by Bidder. Any such trade secrets or proprietary financial information that a Bidder believes should be exempted from disclosure shall be specifically identified and identified as such. Blanket-type identification by designating whole pages or section shall not be permitted and shall be invalid. The specific information must be clearly identified as such.

- B. Upon a request for records regarding this Bid, District shall notify Bidder involved within ten (10) Days from receipt of the request of a specific date when the records will be made available for inspection. If the Bidder timely identifies any impropriety, trade secret, or confidential commercial or financial information that Bidder determines is not subject to public discloses and requests District to refuse to comply with the records request, Bidder shall take all appropriate legal action and defend District's refusal to produce the information in all forums; otherwise, District will make such information available to the extent required by applicable law, without restriction.
- C. Information disclosed to the District and the attendant submissions are the property of District unless Bidder makes specific reference to data that is considered proprietary. Subject to the requirements in the Public Records Act, reasonable efforts will be made to prevent the disclosure of information except on a need-to-know basis during the evaluation process.
- **29. DEFINITIONS.** All abbreviations and definitions of terms used in this Document 00 11 19 are set forth in Section 01 42 00 (References and Definitions).

### DOCUMENT 00 21 14

#### **BID SUBMITTAL MAP**

#### 1. SUMMARY

This Document 00 21 14 displays in graphic detail the location where Proposal documents are to be submitted. Proposals shall be submitted in accordance with the location, date and time as described in Document 00 11 19.

Submit Proposals to the District Office: Facilities Planning and Operations 3401 CSM Drive San Mateo, CA 94402



### DOCUMENT 00 21 16

#### COLLEGE OF SAN MATEO CAMPUS MAP

1. This Document 00 21 15 is a map, graphically representing the College of San Mateo campus, and generally showing the campus site.



### DOCUMENT 00 41 00

### BID FORM TO BE EXECUTED BY ALL BIDDERS AND SUBMITTED WITH BID

To be submitted as part of bid by the time and date specified in Section 00 11 19 (Instructions to Bidders), paragraph 1.

# TO THE HONORABLE BOARD OF TRUSTEES OF THE SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT

THIS BID IS SUBMITTED BY:

(Firm/Company Name)

### **Re: BID NUMBER 86690, THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT**

- 1. The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an agreement with the San Mateo County Community College District ("District") in the form included in the Contract Documents, Section 00 52 00 (Agreement), to perform and furnish all Work as specified or indicated in the Contract Documents for the Contract Sum and within the Contract Time indicated in this Bid and in accordance with all other terms and conditions of the Contract Documents.
- 2. Bidder accepts all of the terms and conditions of the Contract Documents, and Section 00 11 19 including, without limitation, those dealing with the disposition of Bid Security. This Bid will remain subject to acceptance for sixty (60) Days after the day of Bid opening.
- 3. In submitting this Bid, Bidder represents:
  - (a) Bidder has examined all of the Contract Documents and the following Addenda (receipt of all of which is hereby acknowledged).

Addendum No.	Addendum Date	Signature of Bidder
		· · · · · · · · · · · · · · · · · · ·

- (b) Bidder has visited the Site and performed tasks, reviews, examinations, and analysis and given notices, regarding the Project and the Site, as set forth in Section 00 52 00 (Agreement), Article 5.
- (c) Bidder has received and examined copies of the following technical specifications on Districtprovided, Contractor-installed equipment.

N/a

(d) Bidder has given District prompt written notice of all conflicts, errors, ambiguities, or discrepancies that it has discovered in or among the Contract Documents and as-built drawings and actual conditions and the written resolution thereof through Addenda issued by District is acceptable to Contractor. 4. Based on the foregoing, Bidder proposes and agrees to fully perform the Work within the time stated and in strict accordance with the Contract Documents for the following sums of money listed in the following Schedule of Bid Prices:

### **SCHEDULE OF BID PRICES**

All Bid items must be filled in completely. Section 01 10 00 (Summary of Work) describes the scope of work to be performed under this contract. Quote in figures only, unless words are specifically requested.

BID ITEM	DESCRIPTION	UNIT	TOTAL
1.	Base Bid : All Work of Contract Documents other than Work separately provided for under other Bid items	Lump Sum	\$
2.	Owner's Allowance (Ten Percent of Base Bid Item No. 1)	Lump Sum	\$
3.	Total Bid Amount (Sum of Items 1 and 2)		\$

Total Bid Price (in words):

- 5. The low bidder will be determined by the sum of Bid Items #1 and 2.
- 6. Subcontractors for work included in all Bid items are listed on the attached Document 00 43 36 (Subcontractors List).
- 7. The undersigned Bidder understands that District reserves the right to reject this Bid.
- 8. If written notice of the acceptance of this Bid, hereinafter referred to as Notice of Award, is mailed or delivered to the undersigned Bidder within the time described in paragraph 2 of this Section 00 41 00 or at any other time thereafter before it is withdrawn, the undersigned Bidder will execute and deliver the documents required by Section 00 11 19 (Instructions to Bidders) within the times specified therein. These documents include, but are not limited to, Section 00 52 00 (Agreement), Section 00 61 00 (Construction Performance Bond), and Section 00 62 00 (Construction Labor and Material Payment Bond).
- 9. Notice of Award or request for additional information may be addressed to the undersigned Bidder at the address set forth below.
- 10. The undersigned Bidder herewith encloses cash, a cashier's check, or certified check of or on a responsible bank in the United States, or a corporate surety bond furnished by a surety authorized to do a surety business in the State of California, in form specified in Section 00 11 19 (Instructions to Bidders), in the amount of ten percent (10%) of the total of Bid Items 1 through 2 and made payable to "San Mateo County Community College District".
- 11. The undersigned Bidder agrees to commence Work under the Contract Documents on the date established in Section 00 71 00 (General Conditions) and to complete all work within the time specified in Section 00 52 00 (Agreement). The undersigned Bidder acknowledges that District has reserved the right to delay or modify the commencement date. The undersigned Bidder further acknowledges District has reserved the right to perform independent work at the Site, the extent of such work may not be determined until after the opening of the Bids, and that the undersigned Bidder will be required to cooperate with such other work in accordance with the requirements of the Contract Documents.

- 12. The undersigned Bidder agrees that, in accordance with Section 00 71 00 (General Conditions), liquidated damages for failure to complete all Work in the Contract within the time specified shall be as set forth in Section 00 52 00 (Agreement).
- 13. The names of all persons interested in the foregoing Bid as principals are:

(IMPORTANT NOTICE: If Bidder or other interested person is a corporation, give the legal name of corporation, state where incorporated, and names of president and secretary thereof; if a partnership, give name of the firm and names of all individual co-partners composing the firm; if Bidder or other interested person is an individual, give first and last names in full).

#### NAME OF BIDDER:

licensed in accord	ance with the	e act for the	registration	of Contractors,	and	with
License Number:						
Expiration:						

Where incorporated, if applicable

Principals

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Signature of Bidder

NOTE: If Bidder is a corporation, set forth the legal name of the corporation together with the signature of the officer or officers authorized to sign contracts on behalf of the corporation. If Bidder is a partnership, set forth the name of the firm together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership.

**Business Address:** 

Officers authorized to sign contracts:

Telephone Number(s):

Fax Number(s):

E-Mail address:

Federal ID Number:

Date of Bid:

### END OF DOCUMENT

00 41 00 - Page 3 of 3

### DOCUMENT 00 43 13

### **BOND ACCOMPANYING BID**

#### KNOW ALL BY THESE PRESENTS:

That the undersigned \_\_\_\_\_\_\_ as Principal and the undersigned as Surety are held and firmly bound unto the SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT ("District"), as obligee, in the penal sum of \_\_\_\_\_\_ Dollars (\$\_\_\_\_\_\_) lawful money of the United States of America being at least ten percent (10%) of the aggregate amount of said Principal \_\_\_\_\_\_ 's base Bid, for the payment of which, well and truly to be made, we bind ourselves, our successors, executors, administrators, and assigns, jointly and severally, firmly by these presents.

WHEREAS, the said Principal is submitting a Bid for District Bid Number 86690, District Office Restroom Renovation Project

THE CONDITION OF THIS OBLIGATION IS SUCH that if the Bid submitted by the said Principal be accepted and the Contract be awarded to said Principal and said Principal shall within the required periods enter into the Contract so awarded and provide the required Construction Performance Bond, Construction Labor and Material Payment Bond, insurance certificates, and all other endorsements, forms, and documents required under Section 00 11 19 (Instructions to Bidders), then this obligation for the Bid Bond shall be void, otherwise to remain in full force and effect.

IN WITNESS WHEREOF, the above bounden parties have executed this instrument this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 200\_\_\_.

(Corporate Seal)

By

Principal

Surety

(Corporate Seal)

By

Attorney in Fact

### DOCUMENT 00 43 36

### SUBCONTRACTORS LIST TO BE EXECUTED BY ALL BIDDERS AND SUBMITTED WITH BID

Bidder submits the following information as to the subcontractors Bidder intends to employ if awarded the Contract.

Full Name of Subcontractor and Address	Description of Work: Reference To Bid Items	Subcontractor's License No.

(Bidder to attach additional sheets if necessary)

### DOCUMENT 00 43 45

### ESCROW AGREEMENT FOR SECURITY DEPOSITS IN LIEU OF RETENTION P.C.C. §22300

THIS ESCROW AGREEMENT ("Escrow Agreement") is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 200[\_], by and between the SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT (hereinafter called the "District"), whose address is 3401 CSM Drive, San Mateo, California 94402; \_\_\_\_\_\_ ("Contractor"), whose place of business is located at \_\_\_\_\_\_; and [District, as escrow agent ...OR... [\_\_\_], a state or federally chartered bank in the State of California, whose place of business is located at \_\_\_\_\_\_] ("Escrow Agent").

For the consideration hereinafter set forth, District, Contractor and Escrow Agent agree as follows:

- 1. Pursuant to Section 22300 of Public Contract Code of the State of California, Contractor has the option to deposit securities with Escrow Agent as a substitute for retention earnings required to be withheld by District pursuant to Contract Number [\_\_\_\_\_] entered into between District and Contractor for THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT in the amount of [\_\_\_\_\_] dated [\_\_\_\_] (the "Contract"). Alternatively, on written request of Contractor, District shall make payments of the retention earnings directly to Escrow Agent. When Contractor deposits the securities as a substitute for Contract earnings, Escrow Agent shall notify District within ten (10) Days of the deposit. The market value of the securities at the time of substitution shall be at least equal to the cash amount then required to be withheld as retention under terms of Contract between District and Contractor. Securities shall be held in name of \_\_\_\_\_\_, and shall designate Contractor as the beneficial owner.
- 2. District shall make progress payments to Contractor for those funds which otherwise would be withheld from progress payments pursuant to Contract provisions, provided that Escrow Agent holds securities in form and amount specified in paragraph 1 of this Document 00 43 45.
- 3. When District makes payment(s) of retention earned directly to Escrow Agent, Escrow Agent shall hold said payment(s) for the benefit of Contractor until the time that the escrow created under this Escrow Agreement is terminated. Contractor may direct the investment of the payments into securities. All terms and conditions of this Escrow Agreement and the rights and responsibilities of the parties shall be equally applicable and binding when District pays Escrow Agent directly.
- 4. Contractor shall be responsible for paying all fees for the expenses incurred by Escrow Agent in administering the Escrow Account, and all expenses of District. Such expenses and payment terms shall be determined by District, Contractor, and Escrow Agent.
- 5. Interest earned on securities or money market accounts held in escrow and all interest earned on that interest shall be for sole account of Contractor and shall be subject to withdrawal by Contractor at any time and from time to time without notice to District.
- 6. Contractor shall have the right to withdraw all or any part of the principal in the Escrow Account only by written notice to Escrow Agent accompanied by written authorization from District to Escrow Agent that District consents to withdrawal of amount sought to be withdrawn by Contractor.
- 7. District shall have the right to draw upon the securities in event of default by Contractor. Upon seven (7) Days written notice to Escrow Agent from District of the default, Escrow Agent shall immediately convert the securities to cash and shall distribute the cash as instructed by District.
- 8. Upon receipt of written notification from District certifying that the Contract is final and complete, and that Contractor has complied with all requirements and procedures applicable to the Contract, Escrow Agent shall release to Contractor all securities and interest on deposit less escrow fees and charges of the Escrow Account. The

escrow shall be closed immediately upon disbursement of all moneys and securities on deposit and payments of fees and charges.

- 9. Escrow Agent shall rely on written notifications from District and Contractor pursuant to paragraphs 5 through 8, inclusive, of this Document 00 43 45 and District and Contractor shall hold Escrow Agent harmless from Escrow Agent's release and disbursement of securities and interest as set forth.
- 10. Names of persons who are authorized to give written notice or to receive written notice on behalf of District and on behalf of Contractor in connection with the foregoing, and exemplars of their respective signatures are as follows:

On behalf of District:	On behalf of Contractor:
James W. Keller Executive Vice Chancellor Or	Signature
01	Name
Kathy Blackwood	
Chief Financial Officer	Title
3401 CSM Drive	
San Mateo, CA 94402	Address
	City/State/Zip
	City/State/Zip
On behalf of Escrow Agent:	

Title

Name

Signature

Address

City/State/Zip

At the time the Escrow Account is opened, District and Contractor shall deliver to Escrow Agent a fully executed counterpart of this Document 00 43 45.

IN WITNESS WHEREOF, the parties have executed this Escrow Agreement by their proper officers on the date first set forth above.

District:

James W. Keller Executive Vice Chancellor

Signature

or

Signature

Name

Title

Contractor:

Address

Kathy Blackwood Chief Financial Officer

City/State/Zip

Signature

3401 CSM Drive San Mateo, CA 94402

Escrow Agent:

Title

Name

Signature

Address

City/State/Zip

### DOCUMENT 00 45 00

### BIDDER CERTIFICATIONS TO BE EXECUTED BY ALL BIDDERS AND SUBMITTED WITH BID

The undersigned Bidder certifies to the San Mateo Community College District ("District"), as set forth in sections 1 through 7 below.

#### 1. CERTIFICATE OF NON-DISCRIMINATION

By my signature hereunder, on behalf of the Bidder making this Bid, the undersigned certifies that there will be no discrimination in employment with regard to race, color, religion, gender, sexual orientation, or national origin; that all federal, state, and local directives and executive orders regarding non-discrimination in employment will be complied with; and that the principle of equal opportunity in employment will be demonstrated positively and aggressively.

#### 2. STATEMENT OF CONVICTIONS

By my signature hereunder, I hereby swear, under penalty of perjury, that no more than 1 final, unappealable finding of contempt of court by a Federal Court has been issued against Bidder within the past 2 years because of failure to comply with an order of a Federal Court or to comply with an order of the National Labor Relations Board.

### 3. **PREVIOUS DISQUALIFICATIONS**

By my signature hereunder, I hereby swear, under penalty of perjury, that the below indicated Bidder, any officer of such Bidder, or any employee of such Bidder who has a proprietary interest in such Bidder, has never been disqualified, removed or otherwise prevented from bidding on, or completing a Federal, State, or local government project because of a violation of law or a safety regulation except as indicated on the separate sheet attached hereto entitled "Previous Disqualifications." If such exceptions are attached, please explain the circumstances.

#### 4. CERTIFICATION OF WORKER'S COMPENSATION INSURANCE

By my signature hereunder, as the Contractor, I certify that I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for worker's compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this Contract.

### 5. CERTIFICATION OF PREVAILING WAGE RATES AND RECORDS

By my signature hereunder, as the Contractor, I certify that I am aware of the provisions of Section 1773 of the Labor Code, which requires the payment of prevailing wage on public projects. Also, that the Contractor and any subcontractors under the Contractor shall comply with Section 1776, regarding wage records, and with Section 1777.5, regarding the employment and training of apprentices, of the Labor Code. It is the Contractor's responsibility to ensure compliance by any and all subcontractors performing work under this Contract.

### 6. CERTIFICATION OF ADEQUACY OF CONTRACT AMOUNT

By my signature hereunder, as the Contractor, pursuant to Labor Code Section 2810(a), I certify that, if awarded the Contract based on the undersigned's Bid, the Contract will include funds sufficient to allow the Contractor to comply with all applicable local, state, and federal laws or regulations governing the labor or services to be provided. I understand that the District will be relying on this certification if it awards the Contract to the undersigned.

#### 7. CERTIFICATION OF STATEMENT OF QUALIFICATIONS

Check and complete one:

\_\_\_\_\_ There are changes to my Statement of Qualifications submitted to the District on \_\_\_\_\_\_, 20\_\_, which are set forth on <u>Attachment 7</u>, attached hereto.

\_\_\_\_\_ There are no changes to any items in my Statement of Qualifications submitted to the District on \_\_\_\_\_\_, 20\_\_\_.

Bidder:		
	[Name of Bidder]	
D		
By:		
	[Signature]	
Name:		
	[Printed Name]	
Its:		
	[Title]	
Dated:		
Dated.		

### Attachment 7

Changes to Statement of Qualifications

[insert, if applicable]

### DOCUMENT 00 45 19

### NON-COLLUSION AFFIDAVIT TO BE EXECUTED BY ALL BIDDERS AND SUBMITTED WITH BID PUBLIC CONTRACT CODE §7106

### NON-COLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

### STATE OF CALIFORNIA

) ss. )

[\_\_\_\_\_\_\_], being first duly sworn, deposes and says that he or she is \_\_\_\_\_\_\_[Office of Affiant] of \_\_\_\_\_\_\_[Name of Bidder], the party making the foregoing Bid, that the Bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that Bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham Bid, and has not directly or indirectly colluded, conspired, connived or agreed with any bidder or anyone else to put in a sham Bid, or that anyone shall refrain from bidding, and that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication or conference with anyone to fix the Bid price of Bidder or any other bidder, or to fix any overhead, profit or cost element of the Bid price, or of that of any other bidder, or to secure any advantage against the San Mateo Community College District, or anyone interested in the proposed contract; that all statements contained in the Bid are true; and further, that Bidder has not, directly or indirectly, submitted its Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham Bid.

Executed under penalty of perjury under the laws of the State of California:

)

(Name of Bidder)

(Signature of Principal)

Subscribed and sworn before me

This \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_

Notary Public of the State of \_\_\_\_\_\_ In and for the County of \_\_\_\_\_\_ My Commission expires \_\_\_\_\_\_

(Seal)

(If Bidder is a partnership or a joint venture, this affidavit must be signed and sworn to by every member of the partnership or venture.)

(If Bidder [including any partner or venturer of a partnership or joint venture] is a corporation, this affidavit must be signed by the Chairman, President, or Vice President and by the Secretary, Assistant Secretary, Chief Financial Officer, or Assistant Treasurer.)

(If Bidder's affidavit on this form is made outside the State of California, the official position of the person taking such affidavit shall be certified according to law.)

### END OF DOCUMENT

00 45 19 - Page 1 of 1

### DOCUMENT 00 50 00

### NOTICE TO PROCEED

Dated: \_\_\_\_\_, 20\_\_\_

То: \_\_\_\_\_

(Contractor)

Address:

#### **CONTRACT FOR:**

#### BID NUMBER 86690 THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT

You are notified that the Contract Time under the above Contract will commence to start on \_\_\_\_\_\_20\_\_\_\_. Contractor shall achieve Substantial Completion of the entire Work within [\_\_\_] days from the date when the Contract Time commences. Contractor shall achieve Final Completion within 45 days from the date of acceptance of Substantial Completion.

#### Before you may start any Work at the Site, you must:

- 1. Submit certified Safety Program and related information, and comply with all requests of/by **José Nuñez**, the District's safety officer.
- 2. Submit copies of applicable permits.
- 3. Submit approved fire protection plan, as required. (Required for all modernization and remodel projects.)
- 4. Attend preconstruction conference. The preconstruction conference shall be arranged by the Construction Manager.
- 5.

### SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT

By:

Project Manager Name Title

### DOCUMENT 00 51 00

## NOTICE OF AWARD

	Dated			
TO:				
ADDRESS:				
CONTRACT NO.:				
CONTRACT FOR:				
THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT				
	The Contract Sum of your contract is Dollars (\$).			
1.	Three copies of each of the proposed Contract Documents (except Specifications and Drawings) accompany this Notice of Award. Three sets of Specifications and Drawings will be delivered separately or otherwise made available to you immediately.			
2.	You must comply with the following conditions by 4:00 p.m. on [day], [date].			
	<ul> <li>a. Deliver to District two fully executed counterparts of Document 00 52 00 (Agreement).</li> <li>b. Deliver to District one original Document 00 61 00 (Construction Performance Bond), executed by you and your surety.</li> <li>c. Deliver to District one original Document 00 62 00 (Construction Labor and Material Payment Bond), executed by you and your surety.</li> <li>d. Deliver to District one original set of the insurance certificates with endorsements required under Section 00 71 00 (General Conditions) and Section 00 73 17 (Insurance).</li> <li>e. Deliver to District two original copies of Document 00 65 36 (Guaranty), each executed by you.</li> </ul>			
3.	Failure to comply with these conditions within the time specified will entitle District to consider your Bid abandoned, to annul this Notice of Award, and to declare your Bid security forfeited.			
4.	Within ten (10) Days after you comply with the conditions in paragraph 2 of this Document 00 51 00, District will return to you one fully signed counterpart of Document 00 52 00 (Agreement) with the Contract Documents.			
5.	Upon commencement of the Work, you and each of your Subcontractors shall certify and make available for inspection payroll records on forms provided by the Division of Labor Standards Enforcement, in accordance with Section 1776 of the California Labor Code.			
6.	Send all of the required above listed items to [insert address], to the attention of [insert point of contact].			

SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT ("District")

BY:

Construction Manager Name Title

### DOCUMENT 00 51 01

### NOTICE OF INTENT TO AWARD FOR CONSTRUCTION

DATE POSTED:	 
BID NUMBER:	·

\_\_\_\_\_

PROJECT TITLE: DISTRICT OFFICE RESTROOM RENOVATION PROJECT

\_\_\_\_\_\_

Ron Galatolo, the Chancellor of the San Mateo County Community College District, intends to recommend to the Board of Trustees of the San Mateo County Community College District on [date \_\_] the award of the abovereferenced project to (Name of Contractor) \_].

If approved, a formal Notice of Award will be issued.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

Name

Title

### DOCUMENT 00 52 00

### AGREEMENT

THIS AGREEMENT, dated this \_\_\_\_\_<sup>th</sup> day of \_\_\_\_\_ 2014, by and between \_\_\_\_\_\_ whose place of business is located at \_\_\_\_\_\_, CA ("Contractor"), and the SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT ("District") acting under and by virtue of the authority vested in the District by the laws of the State of California.

#### BID NUMBER 86690 THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT

NOW, THEREFORE, in consideration of the mutual covenants hereinafter set forth, Contractor and District agree as follows:

#### Article 1. Work

1.1 Contractor shall complete all Work specified in the Contract Documents, in accordance with the Specifications, Drawings, and all other terms and conditions of the Contract Documents.

#### Article 2. District's Representative, Architect/Engineer and Construction Manager

District has designated José D. Nuñez, Vice Chancellor, Facilities Planning, Maintenance & Operations to act as District's Representative(s), who will represent District in performing District's duties and responsibilities and exercising District's rights and authorities in Contract Documents. District may change the individual(s) acting as District's Representative(s), or delegate one or more specific functions to one or more specific District's Representatives, including without limitation engineering, architectural, inspection and general administrative functions, at any time with notice and without liability to Contractor. Each District's Representative is the beneficiary of all Contractor obligations to District, including without limitation, all releases and indemnities.

- 2.2 District has designated Swinerton Management & Consulting to act as Construction Manager. District may assign all or part of the District Representative's rights, responsibilities and duties to Construction Manager. District may change the identity of the Construction Manager at any time with notice and without liability to Contractor.
- 2.3 District has designated Aedis Architects to act as Architect/Engineer. District may change the identity of the Architect/Engineer at any time with notice and without liability to Contractor.
- 2.4 All notices or demands to District under the Contract Documents shall be submitted to the District's Representative at:

San Mateo County Community College District Josè D. Nuñez Vice Chancellor of Facilities Planning, Maintenance & Operations 3401 CSM Drive, San Mateo, CA 94402 Telephone: 650- 358-6836 nunezj@smccd.edu

or to such other person(s) and address(es) as District shall provide to Contractor.

#### Article 3. Contract Time and Liquidated Damages

#### 3.1 <u>Contract Time</u>.

Contractor shall commence Work at the Site on the date established in the Notice to Proceed. District reserves the right to modify or alter the Commencement Date of the Work.

Contractor shall achieve Substantial Completion of the entire Work no later than June 15, 2014. Contractor shall achieve Final Completion of the entire Work and be ready for Final Payment in accordance with Section 00 73 00 Supplemental Conditions within 30 days from the date of acceptance of Substantial Completion to run as provided in Section 00 71 00 (General Conditions).

### 3.2 <u>Liquidated Damages</u>.

District and Contractor recognize that time is of the essence of this Agreement and that District will suffer financial loss in the form of contract administration expenses (such as project management and consultant expenses), if all or any part of the Work is not completed including consequential loss of use and disruption of normal operations within the times specified above, plus any extensions thereof allowed in accordance with the Contract Documents. Consistent with Article 11.D of Section 00 71 00 (General Conditions), Contractor and District agree that because of the nature of the Project, it would be impractical or extremely difficult to fix the amount of actual damages incurred by District because of a delay in completion of all or any part of the Work. Accordingly, District and Contractor agree that as liquidated damages for delay Contractor shall pay District:

- 3.2.1 **\$200** for each Day that expires after the time specified herein for Contractor to achieve Substantial Completion of the entire Work, until achieved.
- 3.2.2 **\$200** for each Day that expires after the time specified herein for Contractor to achieve Final Completion of the entire Work, until achieved.

These measures of liquidated damages shall apply cumulatively and except as provided below, shall be presumed to be the damages suffered by District resulting from delay in completion of the Work.

3.3 Liquidated damages for delay shall only cover administrative, overhead, interest on bonds, and general loss of public use damages suffered by District as a result of delay or costs of substitute facilities. Liquidated damages shall not cover the cost of completion of the Work, damages resulting from defective Work, lost revenues or damages suffered by others who then seek to recover their damages from District (for example, delay claims of other contractors, subcontractors, tenants, or other third-parties), and defense costs thereof.

### Article 4. Contract Sum

- 4.1 District shall pay Contractor the Contract Sum for completion of Work in accordance with Contract Documents as follows:
- 5

### Article 5. Contractor's Representations

In order to induce District to enter into this Agreement, Contractor makes the following representations and warranties:

- 5.1 Contractor has visited the Site and has examined thoroughly and understood the nature and extent of the Contract Documents, Work, Site, locality, actual conditions, as-built conditions, and all local conditions, and federal, State and local laws and regulations that in any manner may affect cost, progress, performance or furnishing of Work or which relate to any aspect of the means, methods, techniques, sequences or procedures of construction to be employed by Contractor and safety precautions and programs incident thereto.
- 5.2 Contractor has examined thoroughly and understood all reports of exploration and tests of subsurface conditions, as-built drawings, drawings, products specifications or reports, available for Bidding purposes,

of physical conditions, including Underground Facilities, which may appear in the Drawings. Contractor accepts the determination set forth in Section 00 71 00 (General Conditions) of the extent of the information contained in such materials upon which Contractor may be entitled to rely.

- 5.3 Contractor has correlated its knowledge and its review of those items with the terms and conditions of the Contract Documents.
- 5.4 Contractor has given District prompt written notice of all conflicts, errors, ambiguities, or discrepancies that it has discovered in or among the Contract Documents and the written resolution thereof through Addenda issued by District is acceptable to Contractor.
- 5.5 Contractor is duly organized, existing and in good standing under applicable state law, and is duly qualified to conduct business in the State of California.
- 5.6 Contractor has duly authorized the execution, delivery and performance of this Agreement, the other Contract Documents and the Work to be performed herein. The Contract Documents do not violate or create a default under any instrument, agreement, order or decree binding on Contractor.
- 5.7 Contractor has listed the following Subcontractors pursuant to the Subcontractor Listing Law, California Public Contracting Code §4100 et seq.

Name of Subcontractor and Location of Mill or Shop	Description of Work: Reference To Bid Items	Subcontractor's License No.

#### **Article 6. Contract Documents**

6.1 Contract Documents consist of the following Sections, including all changes, addenda, and modifications thereto:

Document 00 51 00	Notice of Award
Document 00 52 00	Agreement
Document 00 50 00	Notice to Proceed
Document 00 61 00	Construction Performance Bond
Document 00 62 00	Construction Labor and Material Payment Bond
Document 00 43 45	Escrow Agreement for Security Deposit in Lieu of Retention
Section 00 71 00	General Conditions
Section 00 73 00	Supplementary Conditions
Section 00 91 00	Addenda
Sheet TT-1	

6.2 There are no Contract Documents other than those listed in this Document 00 52 00, Article 6. The Contract Documents may only be amended, modified or supplemented as provided in Section 00 71 00 (General Conditions).

#### Article 7. Miscellaneous

7.1 Terms used in this Agreement are defined in Section 00 71 00 (General Conditions) and will have the meaning indicated therein.

- 7.2 It is understood and agreed that in no instance are the persons signing this Agreement for or on behalf of District or acting as an employee, agent, or representative of District, liable on this Agreement or any of the Contract Documents, or upon any warranty of authority, or otherwise, and it is further understood and agreed that liability of the District is limited and confined to such liability as authorized or imposed by the Contract Documents or applicable law.
- 7.3 Contractor shall not assign any portion of the Contract Documents, and may subcontract portions of the Contract Documents only in compliance with the Subcontractor Listing Law, California Public Contracting Code §4100 *et seq*.
- 7.4 In entering into a public works contract or a subcontract to supply goods, services or materials pursuant to a public works contract, Contractor or Subcontractor offers and agrees to assign to the awarding body all rights, title and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. §15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time District tenders final payment to Contractor, without further acknowledgment by the parties.
- 7.5 Copies of the general prevailing rates of per diem wages for each craft, classification, or type of worker needed to execute the Contract, as determined by Director of the State of California Department of Industrial Relations, are available through the Department of Industrial Relations and are deemed included in the Contract Documents by reference. See <a href="http://www.dir.ca.gov/dirdatabases.html">http://www.dir.ca.gov/dirdatabases.html</a> Upon request, District will make available copies to any interested party. Pursuant to Section 1861 of the Labor Code, Contractor represents that it is aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that Code, and Contractor shall comply with such provisions before commencing the performance of the Work of the Contract Documents. In addition, Contractor shall post the applicable prevailing wage rates at the Site.
- 7.6 Contractor and each of Contractor's subcontractors agrees to complete and verify construction reports on a form prescribed by the Division of the State Architect and to file the reports no less than quarterly during construction as required by Title 24; at the completion of the Work; at the suspension of work for a period of more than one month; whenever the services of Contractor or any of Contractor's subcontractors are terminated for any reason; and at any time a special verified report is required by the Division of the State Architect.
- 7.7 Should any part, term or provision of this Agreement or any of the Contract Documents, or any document required herein or therein to be executed or delivered, be declared invalid, void or unenforceable, all remaining parts, terms and provisions shall remain in full force and effect and shall in no way be invalidated, impaired or affected thereby. If the provisions of any law causing such invalidity, illegality or unenforceability may be waived, they are hereby waived to the end that this Agreement and the Contract Documents may be deemed valid and binding agreements, enforceable in accordance with their terms to the greatest extent permitted by applicable law. In the event any provision not otherwise included in the Contract Documents is required to be included by any applicable law, that provision is deemed included herein by this reference (or, if such provision is required to be included in any particular portion of the Contract Documents, that provision is deemed included in that portion).
- 7.8 This Agreement and the Contract Documents shall be deemed to have been entered into in the County of San Mateo, State of California, and governed in all respects by California law (excluding choice of law rules). The exclusive venue for all disputes or litigation hereunder shall be in San Mateo County. Contractor accepts the claims procedures established under the California Government Code, Title 1, Division 3.6, Part 3, Chapter 5.

IN WITNESS WHEREOF the parties have executed this Agreement in duplicate the day and year first above written.

......

#### **DISTRICT:**

James W. Keller Deputy Chancellor

#### **CONTRACTOR:**

SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT

By: \_

By: \_\_\_\_\_
# DOCUMENT 00 61 00

# **CONSTRUCTION PERFORMANCE BOND**

THIS CONSTRUCTION PERFORMANCE BOND ("Bond") is dated \_\_\_\_\_, is in the penal sum of

	[which is one hundred percent of the
	on the parties listed below to ensure the faithful performance of the possists of this page and the Bond Terms and Conditions,
	ny singular reference to
("Contractor"),	("Surety"), San Mateo County Community
College District ("District") or other party shall be	e considered plural where applicable.
CONTRACTOR:	SURETY:
Name	Name
Address	Principal Place of Business
City/State/Zip	City/State/Zip
CONSTRUCTION CONTRACT:	
	RESTROOM RENOVATION PROJECT D NUMBER 86690
at San Mateo, California.	
DATED, 20 in th Sum")	e Amount of \$(the "Penal
CONTRACTOR AS PRINCIPAL	SURETY
Company: (Corp. Seal)	Company: (Corp. Seal)
Signature:	Signature:
Name and Title:	Name and Title:

### BOND TERMS AND CONDITIONS

- 1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to District for the complete and proper performance of the Construction Contract, which is incorporated herein by reference.
- 2. If Contractor completely and properly performs all of its obligations under the Construction Contract, Surety and Contractor shall have no obligation under this Bond.
- 3. If there is no District Default, Surety's obligation under this Bond shall arise after:
  - 3.1 District has declared a Contractor Default under the Construction Contract pursuant to the terms of the Construction Contract; and
  - 3.2 District has agreed to pay the Balance of the Contract Sum:

- 3.2.1 To Surety in accordance with the terms of this Bond and the Construction Contract; or
- 3.2.2 To a contractor selected to perform the Construction Contract in accordance with the terms of this Bond and the Construction Contract.
- 4. When District has satisfied the conditions of paragraph 3, Surety shall promptly (within 30 days) and at Surety's expense elect to take one of the following actions:
  - 4.1 Arrange for Contractor, with consent of District, to perform and complete the Construction Contract (but District may withhold consent, in which case the Surety must elect an option described in paragraphs 4.2, 4.3 or 4.4, below); or
  - 4.2 Undertake to perform and complete the Construction Contract itself, through its agents or through independent contractors; provided, that Surety may not select Contractor as its agent or independent contractor without District's consent; or
  - 4.3 Undertake to perform and complete the Construction Contract by obtaining bids from qualified contractors acceptable to District for a contract for performance and completion of the Construction Contract, and, upon determination by District of the lowest responsible bidder, arrange for a contract to be prepared for execution by District and the contractor selected with District's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract; and, if Surety's obligations defined in paragraph 6, below, exceed the Balance of the Contract Sum, then Surety shall pay to District the amount of such excess; or
  - 4.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances, and, after investigation and consultation with District, determine in good faith its monetary obligation to District under paragraph 6, below, for the performance and completion of the Construction Contract and, as soon as practicable after the amount is determined, tender payment therefore to District with full explanation of the payment's calculation. If District accepts Surety's tender under this paragraph 4.4, District may still hold Surety liable for future damages then unknown or unliquidated resulting from the Contractor Default. If District disputes the amount of Surety's tender under this paragraph 4.4, District may exercise all remedies available to it at law to enforce Surety's liability under paragraph 6, below.
- 5. If Surety does not proceed as provided in paragraph 4, above, then Surety shall be deemed to be in default on this Bond ten (10) days after receipt of an additional written notice from District to Surety demanding that Surety perform its obligations under this Bond. At all times District shall be entitled to enforce any remedy available to District at law or under the Construction Contract including, without limitation, and by way of example only, rights to perform work, protect work, mitigate damages, advance critical work to mitigate schedule delay, or coordinate work with other consultants or contractors.
- 6. Surety's monetary obligation under this Bond is limited by the Amount of this Bond identified herein as the Penal Sum. This monetary obligation shall augment the Balance of the Contract Sum. Subject to these limits, Surety's obligations under this Bond are commensurate with the obligations of Contractor under the Construction Contract. Surety's obligations shall include, but are not limited to:
  - 6.1 The responsibilities of Contractor under the Construction Contract for completion of the Construction Contract and correction of defective work;
  - 6.2 The responsibilities of Contractor under the Construction Contract to pay liquidated damages, and for damages for which no liquidated damages are specified in the Construction Contract, actual damages caused by non-performance of the Construction Contract including, but not limited to, all valid and proper back charges, offsets, payments, indemnities, or other damages;

- 6.3 Additional legal, design professional and delay costs resulting from Contractor Default or resulting from the actions or failure to act of the Surety under paragraph 4, above (but excluding attorney's fees incurred to enforce this Bond).
- 7. No right of action shall accrue on this Bond to any person or entity other than District or its successors or assigns.
- 8. Surety hereby waives notice of any change, alteration or addition to the Construction Contract or to related subcontracts, purchase orders and other obligations, including changes of time. Surety consents to all terms of the Construction Contract, including provisions on changes to the Contract. No extension of time, change, alteration, modification, deletion, or addition to the Contract Documents, or of the work required there under, shall release or exonerate Surety on this Bond or in any way affect the obligations of Surety on this Bond.
- 9. Any proceeding, legal or equitable, under this Bond shall be instituted in any court of competent jurisdiction where a proceeding is pending between District and Contractor regarding the Construction Contract, or in the courts of the County of San Mateo, or in a court of competent jurisdiction in the location in which the work is located. Communications from District to Surety under paragraph 3.1 of this Bond shall be deemed to include the necessary agreements under paragraph 3.2 of this Bond unless expressly stated otherwise.
- 10. All notices to Surety or Contractor shall be mailed or delivered (at the address set forth on the signature page of this Bond), and all notices to District shall be mailed or delivered as provided in Document 00 52 00 (Agreement). Actual receipt of notice by Surety, District or Contractor, however accomplished, shall be sufficient compliance as of the date received at the foregoing addresses.
- 11. Any provision in this Bond conflicting with any statutory or regulatory requirement shall be deemed deleted here from and provisions conforming to such statutory requirement shall be deemed incorporated herein.
- 12. Definitions.
  - 12.1 Balance of the Contract Sum: The total amount payable by District to Contractor pursuant to the terms of the Construction Contract after all proper adjustments have been made under the Construction Contract, for example, deductions for progress payments made, and increases/decreases for approved modifications to the Construction Contract.
  - 12.2 Construction Contract: The agreement between District and Contractor identified on the signature page of this Bond, including all Contract Documents and changes thereto.
  - 12.3 Contractor Default: Material failure of Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Construction Contract including, but not limited to, "default" or any other condition allowing a termination for cause as provided in Section 00 71 00 (General Conditions).
  - 12.4 District Default: Material failure of District, which has neither been remedied nor waived, to pay Contractor progress payments due under the Construction Contract or to perform other material terms of the Construction Contract, if such failure is the cause of the asserted Contractor Default and is sufficient to justify Contractor termination of the Construction Contract.

# END OF DOCUMENT

# DOCUMENT 00 62 00

# CONSTRUCTION LABOR AND MATERIAL PAYMENT BOND

THIS CONSTRUCTION LABOR AND MATER in the penal sum of	RIAL PAYMENT BOND ("Bond") is dated, is
	one
payment of claimants under the Construction Con Terms and Conditions, paragraphs 1 through 13, a	ered into by and between the parties listed below to ensure the atract listed below. This Bond consists of this page and the Bond
County Community College District ("District") of	or other party shall be considered plural where applicable.
CONTRACTOR:	SURETY:
Name	Name
Address	Principal Place of Business
City/State/Zip	City/State/Zip
CONSTRUCTION CONTRACT:	
	RESTROOM RENOVATION PROJECT D NUMBER 86690
at San Mateo, California.	
DATED, 20 in the Sum")	the Amount of \$(the "Penal
CONTRACTOR AS PRINCIPAL Company: (Corp. Seal)	SURETY Company: (Corp. Seal)
Signature:	Signature:
Name and Title:	Name and Title:

### BOND TERMS AND CONDITIONS

- 1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to District and to Claimants, to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference.
- 2. With respect to District, this obligation shall be null and void if Contractor:
  - 2.1 Promptly makes payment, directly or indirectly, for all sums due Claimants; and
  - 2.2 Defends, indemnifies and holds harmless District from all claims, demands, liens or suits by any person or entity who furnished labor, materials or equipment for use in the performance of the Construction Contact, provided District has promptly notified Contractor and Surety (at the address set forth on the signature page of this Bond) of any claims, demands, liens or suits and tendered defense of such claims, demands, liens or suits to Contractor and Surety, and provided there is no District Default.

- 3. With respect to Claimants, this obligation shall be null and void if Contractor promptly makes payment, directly or indirectly through its Subcontractors, for all sums due Claimants. If Contractor or its Subcontractors, however, fail to pay any of the persons named in Section 3181 of the California Civil Code, or amounts due under the Unemployment Insurance Code with respect to Work or labor performed under the Contract, or for any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of Contractor or Subcontractors pursuant to Section 13020 of the Unemployment Insurance Code, with respect to such Work and labor, then Surety shall pay for the same, and also, in case suit is brought upon this Bond, a reasonable attorney's fee, to be fixed by the court.
- 4. Consistent with the California Mechanic's Lien Law, Civil Code §3082, *et seq.*, Surety shall have no obligation to Claimants under this Bond unless the Claimant has satisfied all applicable notice requirements.
- 5. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety under this Bond.
- 6. Amounts due Contractor under the Construction Contract shall be applied first to satisfy claims, if any, under any Construction Performance Bond and second, to satisfy obligations of Contractor and Surety under this Bond.
- 7. District shall not be liable for payment of any costs, expenses, or attorney's fees of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.
- 8. Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations. Surety further hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Construction Contract, or to the Work to be performed there under, or materials or equipment to be furnished there under or the Specifications accompanying the same, shall in any way affect its obligations under this Bond, and it does hereby waive any requirement of notice or any such change, extension of time, alteration to the terms of the Construction Contract or to the Work or to the Specifications or any other changes.
- 9. Suit against Surety on this Bond may be brought by any Claimant, or its assigns, at any time after the Claimant has furnished the last of the labor or materials, or both, but, per Civil Code §3249, must be commenced before the expiration of six months after the period in which stop notices may be filed as provided in Civil Code §3184.
- 10. All notices to Surety or Contractor shall be mailed or delivered (at the address set forth on the signature page of this Bond), and all notices to District shall be mailed or delivered as provided in Document 00 52 00 (Agreement). Actual receipt of notice by Surety, District or Contractor, however accomplished, shall be sufficient compliance as of the date received at the foregoing addresses.
- 11. This Bond has been furnished to comply with the California Mechanic's Lien Law including, but not limited to, Civil Code §§3247, 3248, *et seq.* Any provision in this Bond conflicting with said statutory requirements shall be deemed deleted here from and provisions conforming to such statutory or other legal requirements shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
- 12. Upon request by any person or entity appearing to be a potential beneficiary of this Bond, Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.
- 13. Definitions.
  - 13.1 Claimant: An individual or entity having a direct contract with Contractor or with a Subcontractor of Contractor to furnish labor, materials or equipment for use in the performance of the Contract,

as further defined in California Civil Code §3181. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the Work of Contractor and Contractor's Subcontractors, and all other items for which a stop notice might be asserted. The term Claimant shall also include the Unemployment Development Department as referred to in Civil Code §3248(b).

- 13.2 Construction Contract: The agreement between District and Contractor identified on the signature page of this Bond, including all Contract Documents and changes thereto.
- 13.3 District Default: Material failure of District, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract, provided that failure is the cause of the failure of Contractor to pay the Claimants and is sufficient to justify termination of the Construction Contract.

# END OF DOCUMENT

# **DOCUMENT 00 65 36**

# **GUARANTY**

#### TO THE SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT for construction of

### THE DISTRICT OFFICE RESTROOM RENOVATION PROJECT

#### SAN MATEO, CALIFORNIA.

The undersigned guarantees all construction performed on this Project and also guarantees all material and equipment incorporated therein.

Contractor hereby grants to District for a period of one year following the date of Notice of Completion, or such longer period specified in the Contract Documents, its unconditional warranty of the quality and adequacy of all of the Work including, without limitation, all labor, materials and equipment provided by Contractor and its Subcontractors of all tiers in connection with the Work.

Neither final payment nor use or occupancy of the Work performed by the Contractor shall constitute an acceptance of Work not done in accordance with this Guaranty or relieve Contractor of liability in respect to any express warranties or responsibilities for faulty materials or workmanship. Contractor shall remedy any defects in the Work and pay for any damage resulting therefrom, which shall appear within one year, or longer if specified, from the date of Final Completion.

If within one year after the date of Final Completion, or such longer period of time as may be prescribed by laws or regulations, or by the terms of Contract Documents, any Work is found to be defective, Contractor shall promptly, without cost to District and in accordance with District's written instructions, correct such defective Work. Contractor shall remove any defective Work rejected by District and replace it with Work that is not defective, and satisfactorily correct or remove and replace any damage to other Work or the work of others resulting therefrom. If Contractor fails to promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, District may have the defective Work corrected or the rejected Work removed and replaced. Contractor shall pay for all claims, costs, losses and damages caused by or resulting from such removal and replacement. Where Contractor fails to correct defective Work, or defects are discovered outside the correction period, District shall have all rights and remedies granted by law.

Inspection of the Work shall not relieve Contractor of any of its obligations under the Contract Documents. Even though equipment, materials, or Work required to be provided under the Contract Documents have been inspected, accepted, and estimated for payment, Contractor shall, at its own expense, replace or repair any such equipment, material, or Work found to be defective or otherwise not to comply with the requirements of the Contract Documents up to the end of the guaranty period.

All abbreviations and definitions of terms used in this Agreement shall have the meanings set forth in the Contract Documents, including, without means of limitation, Section 01 42 00 (References and Definitions). The foregoing Guaranty is in addition to any other warranties of Contractor contained in the Contract Documents, and not in lieu of, any and all other liability imposed on Contractor under the Contract Documents and at law with respect to Contractor's duties, obligations, and performance under the Contract Documents. In the event of any conflict or inconsistency between the terms of this Guaranty and any warranty or obligation of the Contractor under the Contract Documents or at law, such inconsistency or conflict shall be resolved in favor of the higher level of obligation of the Contractor.

Firm/Company	Address	
Signature	City/State/Zip	
Name and Title	Date	
	END OF DOCUMENT	
Inly 12 2014	00.65.36 - Page 1 of 2	RFP No 80

# WARRANTY REPORTING LOG FOLLOWS ON NEXT PAGE

### EXHIBIT A

### WARRANTY REPORTING LOG

# [\_] PROJECT

Contract Number [\_\_]

 To: The San Mateo County Community College District

 Attention: [Point of Contact]

 [Insert POC address]

 Telephone: (650) [\_\_\_\_]

 Fax: (650) [\_\_\_]

From:

ITEM	WARRANTY LENGTH	WARRANTY START DATE	WARRANTY END DATE	WARRANTY GRANTOR	WARRANTY GRANTOR CONTACT INFORMATION

Complete this log for all work completed as part of contract. The data shall be summarized by warranty grantor and shall be submitted to the District's Representative as part of the contract closeout.

### DOCUMENT 00 65 73

### AGREEMENT AND PARTIAL RELEASE OF ANY AND ALL CLAIMS

This Agreement and Partial Release of Claims ("Agreement and Release"), made and entered into this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 200\_\_\_ by and between SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT, a public entity ("District"), and \_\_\_\_\_\_, whose place of business is at hereinafter called "Contractor".

#### RECITALS

- 1. District and Contractor entered into a Contract for Bid No. 86690 in the County of San Mateo, State of California.
- 2. The Work associated with Phase \_\_\_\_\_ as set forth in the Contract has been completed.

Now, therefore, it is mutually agreed between District and Contractor as follows:

### AGREEMENT

3. Contractor will not be assessed Liquidated Damages with regard to Phase \_\_\_\_\_ except as detailed below:

Original Contract Sum for Phase	\$
Modified Contract Sum for Phase\$	
Payment to Date for Phase	\$
Liquidated Damages for Phase	\$
Payment Due Contractor for Phase	\$

- 4. Subject to the provisions of this Agreement and Release, District shall forthwith pay to Contractor the sum of [\_\_\_\_\_\_] Dollars and [\_\_\_\_\_] Cents (\$[\_\_\_\_]) under the Contract, less any amounts withheld under the Contract or represented by any Notice to Withhold Funds on file with District as of the date of such payment.
- 5. Contractor acknowledges and hereby agrees that there are no unresolved or outstanding claims in dispute against District arising from the performance of Work for Phase \_\_\_\_ under the Contract, except for the claims described in Paragraph 6, and continuing obligations described in Paragraph 8, below. It is the intention of the parties in executing this Agreement and Release that this Agreement and Release shall be effective as a full, final and general release of all claims, demands, actions, causes of action, obligations, costs, expenses, damages, losses and liabilities of Contractor against District and all of its agents, employees, inspectors, assignees and transferees with regard to the Work performed under the Contract for Phase \_\_, except for the Disputed Claims set forth in Paragraph 6, and continuing obligations described in Paragraph 8, below.
- 6. The following claims submitted under Document 00 71 00, Article 1.12 are disputed (hereinafter, the "Disputed Claims") and are specifically excluded from the operation of this Agreement and Release:

Claim No.Date SubmittedDescription of ClaimAmount of Claim

### [Insert information, including attachment if necessary]

- 7. Consistent with California Public Contract Code, Section 7100, Contractor hereby agrees that, in consideration of the payment set forth in Paragraph 4, above, Contractor hereby releases and forever discharges District and all of its agents, employees, inspectors, assignees and transferees from any and all liability, claims, demands, actions or causes of action of whatever kind or nature arising out of or in any way concerned with regard to the Work performed under the Contract for Phase \_\_\_.
- 8. Guarantees and warranties for the Work for Phase \_\_\_, and any other continuing obligation of Contractor Entity, shall remain in full force and effect as specified in the Contract Documents.
- 9. Contractor\_shall immediately defend, indemnify and hold harmless District and all of its agents, employees, inspectors, assignees and transferees from any and all claims, demands, actions, causes of action, obligations, costs, expenses, damages, losses and liabilities that may be asserted against them by any of Contractor's suppliers and/or subcontractors of any tier and/or any suppliers to them for any and all labor, materials, supplies and equipment used, or contemplated to be used in the performance of the Contract, with regard to the Work performed under the Contract for Phase \_\_\_, except for the Disputed Claims set forth in Paragraph 6, above.
  - 10. Contractor hereby waives the provisions of California Civil Code, Section 1542 which provides as follows:

A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the release, which if known by him, must have materially affected his settlement with the debtor.

- 11. The provisions of this Agreement and Release are contractual in nature and not mere recitals and shall be considered independent and severable, and if any such provision or any part thereof shall be at any time held invalid in whole or in part under any federal, state, county, municipal or other law, ruling or regulations, then such provision, or part thereof shall remain in force and effect only to the extent permitted by law, and the remaining provisions of this Agreement and Release shall also remain in full force and effect, and shall be enforceable.
- 12. All rights of District shall survive completion of the Work or termination of Contract, and execution of this Release.

### \*\*\* CAUTION: THIS IS A RELEASE - READ BEFORE EXECUTING \*\*\*

SAN MATEO COUNTY	COMMUNITY COLLEGE
DISTRICT	

BY:\_\_\_\_\_

\_\_\_\_\_

Its:

END OF DOCUMENT

CONTRACTOR

BY:\_\_\_\_\_

Its:

### DOCUMENT 00 65 74 AGREEMENT AND RELEASE OF ANY AND ALL CLAIMS

This Agreement and Partial Release of Claims ("Agreement and Release"), made and entered into this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2014 by and between SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT, a public entity ("District"), and \_\_\_\_\_\_, whose place of business is at hereinafter called "Contractor".

#### RECITALS

- 1. District and Contractor entered into a Contract for **RFP No. 86690** in the County of San Mateo, State of California.
- 2. The Work as set forth in the Contract has been completed.

Now, therefore, it is mutually agreed between District and Contractor as follows:

# AGREEMENT

3. Contractor will not be assessed Liquidated Damages except as detailed below:

Original Contract Sum	\$
Modified Contract Sum	\$
Payment to Date	\$
Liquidated Damages	\$
Payment Due Contractor \$	

- 4. Subject to the provisions of this Agreement and Release, District shall forthwith pay Contractor the sum of [\_\_\_\_\_\_] Dollars and [\_\_\_\_\_\_] Cents (\$[\_\_\_\_\_]) under the Contract, less any amounts withheld under the Contract or represented by any Notice to Withhold Funds on file with District as of the date of such payment.
- 5. Contractor acknowledges and hereby agrees that there are no unresolved or outstanding claims in dispute against District arising from the performance of Work under the Contract, except for the claims described in Paragraph 6, and continuing obligations described in Paragraph 8, below. It is the intention of the parties in executing this Agreement and Release that this Agreement and Release shall be effective as a full, final and general release of all claims, demands, actions, causes of action, obligations, costs, expenses, damages, losses and liabilities of Contractor against District and all of its agents, employees, inspectors, assignees and transferees except for the Disputed Claims set forth in Paragraph 6, and continuing obligations described in Paragraph 8, below.
- 6. The following claims submitted under Document 00 71 00, Article 1.12 are disputed (hereinafter, the "Disputed Claims") and are specifically excluded from the operation of this Agreement and Release:

<u>Claim No.</u>	Date Submitted	Description of Claim	Amount of Claim
------------------	----------------	----------------------	-----------------

### [Insert information, including attachment if necessary]

consideration of the payment set forth in Paragraph 4, above, Contractor hereby releases and forever discharges District and all of its agents, employees, inspectors, assignees and transferees from any and all liability, claims, demands, actions or causes of action of whatever kind or nature arising out of or in any way concerned with the Work under the Contract.

- 8. Guarantees and warranties for the Work, and any other continuing obligation of Contractor shall remain in full force and effect as specified in the Contract Documents.
- 9. Contractor shall immediately defend, indemnify and hold harmless District and all of its agents, employees, inspectors, assignees and transferees from any and all claims, demands, actions, causes of action, obligations, costs, expenses, damages, losses and liabilities that may be asserted against them by any of Contractor's suppliers and/or subcontractors of any tier and/or any suppliers to them for any and all labor, materials, supplies and equipment used, or contemplated to be used in the performance of the Contract, except for the Disputed Claims set forth in Paragraph 6, above.
- 10. Contractor hereby waives the provisions of California Civil Code, Section 1542 which provides as follows:

A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the release, which if known by him, must have materially affected his settlement with the debtor.

- 11. The provisions of this Agreement and Release are contractual in nature and not mere recitals and shall be considered independent and severable, and if any such provision or any part thereof shall be at any time held invalid in whole or in part under any federal, state, county, municipal or other law, ruling or regulations, then such provision, or part thereof shall remain in force and effect only to the extent permitted by law, and the remaining provisions of this Agreement and Release shall also remain in full force and effect, and shall be enforceable.
- 12. All rights of District shall survive completion of the Work or termination of Contract, and execution of this Release.

### \*\*\* CAUTION: THIS IS A RELEASE - READ BEFORE EXECUTING \*\*\*

SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT

CONTRACTOR

BY:\_\_\_\_\_

Its:\_\_\_\_\_

Its:

BY:

END OF DOCUMENT

# **DOCUMENT 00 71 00**

# **GENERAL CONDITIONS**

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# GENERAL CONDITIONS

### 1. GENERAL

### A. Documents

Contract Documents are complementary; what is called for by one is as binding as if called for by all.

# B. Exercise of Contract Responsibilities

In exercising its responsibilities and authorities under the Contract Documents, District does not assume any duties or responsibilities to any Subcontractor or supplier and does not assume any duty of care to Contractor, Contractor's Subcontractors or suppliers. Except as expressly set forth in the Contract Documents, in exercising their respective responsibilities and authorities under the Contract Documents, neither Architect/Engineer nor any District Representative assume any duties or responsibilities to any Subcontractor, sub-Subcontractor or supplier nor assume any duty of care to Contractor or any Subcontractor, sub-Subcontractor or suppliers.

# C. Description Of Work

Contractor shall provide a complete, operable and maintainable Project in accordance with the Contract Documents, including providing, furnishing, and performing all Services and providing and furnishing all necessary supplies, housing, materials and equipment, and all necessary supervision, labor, and services required for the engineering, design, procurement, quality assurance and inspection, construction, installation, startup, checkout, testing, site cleanup and for the training of District's personnel, all in conformity with the requirements, legal requirements, criteria, performance guarantees, and warranties set forth in the Contract Documents, for a complete and fully operable Project in full conformance with Contract requirements.

# 2. BID PERIOD INVESTIGATIONS AND SUBCONTRACTORS

### A. Investigation Prior To Bidding

- 1. Prior to submitting its Bid, Contractor must investigate fully the Work of the Contract. Contractor must visit the Site, examine thoroughly and understand fully the nature and extent of the Contract Documents, Work, Site, locality, actual conditions and as-built conditions, and all other information made available for preparing Bids.
- 2. Prior to submitting its Bid, Contractor must correlate its experience, knowledge and the results of its required investigation with the terms and conditions of the Contract Documents, and must give District prompt written notice of all conflicts, errors, ambiguities, or discrepancies of any type, that it may discover in or among the Contract Documents, as-built drawings (if any) and/or actual conditions. Contractor shall give this notice during the Bid period and submission of a Bid indicates Contractor's agreement that District responded to the notice through Addenda issued by District which is acceptable to Contractor.
- 4. Prior to submitting its Bid, Contractor must consider fully the fact that information supplied regarding existing Underground Facilities at or contiguous to the Site is in many cases based on information furnished to District by others (e.g., the builders of such Underground Facilities or others), and that due to their age or their chain of custody since preparation, may not meet current industry standards for accuracy. Contractor must also consider local underground conditions and typical practices for Underground Facilities, either through its own direct knowledge or through its subcontractors, and fully consider this knowledge in assessing the existing information and the reasonableness of its reliance.

### B. Subcontractors

1. Consistent with Public Contract Code Sections 4101 *et seq.*, Contractor shall not substitute any other person or firm in place of any Subcontractor listed in the Bid. Subcontractors shall not assign or transfer their subcontracts or permit them to be performed by any other contractor without District's written approval. At District's request, Contractor shall provide District with a complete copy of all executed subcontracts or final commercial agreements with Subcontractors and/or suppliers.

# 3. CONTRACT AWARD AND COMMENCEMENT OF THE WORK

### A. Award of Contract

District will make the Award of Contract by issuing a Notice of Award. As a condition to District signing Section 00 52 00 (Agreement), however, Contractor shall deliver to District the executed agreements, forms, bonds and insurance documents required by Section 00 11 19 (Instructions to Bidders) in the required quantities and within the required times.

### B. Commencement of Work

The Contract Time will commence upon issuance of Section 00 50 00 (Notice to Proceed), on the date indicated in the Notice to Proceed. Contractor shall not do any Work at the Site prior to Contract commencement.

# 4. BONDS AND INSURANCE

### A. Bonds

- 1. At or before the date indicated in Section 00 11 19 (Instructions to Bidders), Contractor shall file with District the following bonds:
  - a. Corporate surety bond, in the form of Document 00 61 00 (Construction Performance Bond), in the penal sum of 100% of the Contractor's Bid as accepted, to guaranty faithful performance of the Work; and
  - b. Corporate surety bond, in the form of Document 00 62 00 (Construction Labor and Material Payment Bond), in the penal sum of 100% of the Contractor's Bid as accepted, to guaranty payment of wages for services engaged and of bills contracted for materials, supplies, and equipment used in performance of Contract Documents.
- 2. Sureties shall be satisfactory to District. Corporate sureties on these bonds and on bonds accompanying Bids shall be duly licensed to do business in the State of California and shall have an A.M. Best Company financial rating of A-IX or better.

### B. Insurance

Insurance 00 73 17 (Insurance) incorporated herein by this reference.

# 5. DRAWINGS AND SPECIFICATIONS

### A. Intent

1. Drawings and Specifications are intended to describe a functionally complete and operable Project (and all parts thereof) to be constructed in accordance with the requirements of Contract Documents. Contractor shall perform any work, provide services and furnish any materials or equipment that may reasonably be inferred from the requirements of Contract Documents or from prevailing custom or trade usage as being required to produce this intended result.

### **B.** Interpretation of Drawings and Specifications

Should any discrepancy appear or any misunderstanding arise as to the import of anything contained in the Drawings and Specifications prepared by the Architect/Engineer, the matter shall be referred to the Architect/Engineer in writing, with a copy to the Inspector and Project Manager. Architect/Engineer shall issue with reasonable promptness such written clarifications or interpretations of the requirements of the Drawings and Specifications as Architect/Engineer may determine necessary, which shall be consistent with the intent of and be reasonably inferable from Contract Documents. Such written clarifications or interpretations or interpretations shall be binding upon Contractor.

# C. Standards to Apply Where Specifications are not Furnished

It is provided that the Contractor shall furnish materials or manufactured articles or shall do Work for which no detailed specifications are set forth by supplying materials or manufactured articles of the best grade, in quality and workmanship, obtainable in the market from firms of established good reputation. If not ordinarily carried in stock, the materials or manufactured articles shall conform to industry standards for first-class materials or articles of the kind required, with due consideration of the use to which they are to be put.

# D. Deviation from Specifications and Drawings

- 1. As set forth in Part 1, Title 24, California Code of Regulations, no modification or deviation from the Contract Documents will be permitted. Contractor must perform Work in strict accordance with Contract Documents. No order for any alteration, modification or extra which shall increase or decrease the cost of Work shall be valid unless the resulting increase or decrease in price shall have been agreed upon in writing, and the order signed by the Contractor, and certified by the authorized officer representing District. As appropriate, Change Orders changing the approved drawings and technical specifications are subject to approval by the Division of the State Architect (DSA) under the procedures prescribed in Section 4-338, Part 1, Title 24, California Code of Regulations.
- 2. District and/or Architect/Engineer may order that locations, lines and grades for Work vary from those shown on Drawings. Changes may be made in location, lines or grades for Work under any item of Contract. No extra payment in addition to unit price fixed in Contract for Work under respective items will be allowed on account of variations from Drawings in unit price items. In lump sum contracts, or where there are no unit price items covering Work affected by variations of locations, lines or grades, all changes in the Contract Documents will be made in accordance with Article 13 of this Document 00 71 00.

# E. Precedence of Documents

- 1. In the case of discrepancy or ambiguity in the Contract Documents, the following order of precedence shall prevail:
  - a. Modifications in inverse chronological order (i.e., most recent first), and in the same order as specific portions they are modifying;
  - b. Document 00 52 00 (Agreement), and terms and conditions referenced therein;
  - c. Document 00 73 00 (Supplementary Conditions) and any other Supplementary Conditions;
  - d. Document 00 71 00 (General Conditions);
  - e. Division 1 Specifications;
  - f. Division 2 through 60 Specifications;
  - g. Drawings;
  - h. Written numbers over figures, unless obviously incorrect;
  - i. Figured dimensions over scaled dimensions;
  - j. Large-scale Drawings over small-scale Drawings.
- 2. Any conflict between Drawings and Division 2 through 60 Specifications will be resolved in favor of the document of the latest date (i.e., the most recent document), and if the dates are the same or not determinable, then in favor of Specifications.
- 3. Any conflict between a bill or list of materials shown in the Contract Documents and the actual quantities required to complete Work required by Contract Documents, will be resolved in favor of the actual quantities.
- 4. In the event the Specifications include divisions above Division 60 (e.g., Division 60 and above), then such divisions shall be included within the Contract Documents unless identified otherwise.

# F. Ownership and Use of Drawings, Specifications and Contract Documents

1. Drawings and Specifications prepared under this Contract were prepared for use for Work of Contract Documents only. No part of the Contractor's drawings and specifications or of any other Contract Documents shall be used for any other construction or for any other purpose except with the written consent of District.

### 6. CONSTRUCTION BY DISTRICT OR BY SEPARATE CONTRACTORS

### A. District's Right To Perform Construction And To Award Separate Contracts

District may perform with its own forces, construction or operations related to the Project. District may also award separate contracts in connection with other portions of the Project or other construction or operations, on the Site or areas contiguous to the Site, under conditions similar to these Contract Documents, or may have utility owners perform other work. When separate contracts are awarded for different portions of the Project or other construction or operations on the Site, the term "Contractor" in these Contract Documents shall mean the Contractor herein.

# 7. CONTROL OF THE WORK

### A. Subcontractors

Contractor is fully responsible for Contractor's own acts and omissions. Contractor is responsible for all acts and omissions of its Subcontractors, suppliers, and other persons and organizations performing or furnishing any of the Work, labor, materials, or equipment under a direct or indirect contract with Contractor.

### B. Supervision of Work by Contractor

- 1. Contractor shall be solely responsible for and have control and charge of construction means, methods, techniques, sequences and procedures, safety precautions and programs in connection with the Work. Contractor shall be responsible to see that the completed Work complies accurately with Contract Documents.
- 2. Contractor shall designate and keep on the Site at all times during Work progress a competent resident Superintendent or Project Manager, who, once designated, shall not be replaced without District's express written consent. The Superintendent or Project Manager shall be Contractor's representative at the Site and shall have complete authority to act on behalf of Contractor. All communications to and from the Superintendent or Project Manager shall be as binding as if given to or by Contractor.

# 8. CONTRACTOR'S ORGANIZATION AND EQUIPMENT

# A. Contractor's Legal Address

Address and facsimile number given in Contractor's Bid are hereby designated as Contractor's legal address and facsimile number. Contractor may change its legal address and facsimile number by notice in writing, delivered to District, which in conspicuous language advises District of a change in legal address or facsimile number, and which District accepts in writing. Delivery to Contractor's legal address or depositing in any post office or post office box regularly maintained by the United States Postal Service, in a wrapper with postage affixed, directed to Contractor at legal address, or of any drawings, notice, letter or other communication, shall be deemed legal and sufficient service thereof upon Contractor. Facsimile to Contractor's designated facsimile number of any letter, memorandum, or other communication on standard or legal sized paper, with proof of facsimile transmission, shall be deemed legal and sufficient service thereof upon Contractor.

### B. Contractor's Office At The Work Site

Contractor shall maintain an office at the Site, which office shall be headquarters of a Contractor representative authorized to transmit to and receive from District, communications, instructions or Drawings. Communications, instructions, or Drawings given to Contractor's representative or delivered at the Site office in representative's absence shall be deemed to have been given to Contractor.

### C. Contractor's Superintendents Or Forepersons

Contractor shall at all times be represented on Site by one or more superintendents, project managers or forepersons authorized and competent to receive and carry out any instructions that District may give, and shall be liable for faithful observance of instructions delivered to Contractor or to authorized representative or representatives on Site.

### D. Proficiency In English

Supervisors, security guards, safety personnel and employees who have unescorted access to the Site shall possess proficiency in the English language in order to understand, receive and carry out oral and written communications or instructions relating to their job functions, including safety and security requirements.

### E. Site Decorum: Contractor's And Subcontractors' Employees

1. Contractor shall employ, and shall permit its Subcontractors to employ, only competent and skillful personnel to do Work. If District notifies Contractor that any of its employees, or any of its

Subcontractors' employees on Work is incompetent, unfaithful, disorderly, disruptive or profane, or fails to observe customary standards of conduct or refuses to carry out any provision of the Contract Documents, or uses threatening or abusive language to any person on Work representing District, or violates sanitary rules, or is otherwise unsatisfactory, and if District requests that such person be discharged from Work, then Contractor or its Subcontractor shall immediately discharge such person from Work and the discharged person shall not be re-employed on the Work except with consent of District.

- 2. Contractor shall control the conduct of its employees and subcontractors so as to prevent unwarranted interaction initiated by Contractor's employees or subcontractors with individuals, (except those associated with the Project) at the college campus. Any persons behaving inappropriately will be asked to leave the premises and not return
- 3. Contractor shall comply with the college's smoking policy.
- 4. The playing of radios, televisions and other portable audio or video players on the Project Site is prohibited at all times.

# 9. **PROSECUTION AND PROGRESS OF THE WORK**

### A. Contractor To Supply Sufficient Workers And Materials

1. Unless otherwise required by District under the terms of Contract Documents, Contractor shall at all times keep on the Site materials and employ qualified workers sufficient to prosecute Work at a rate and in a sequence and manner necessary to complete Work within the Contract Time. This obligation shall remain in full force and effect notwithstanding disputes or claims of any type.

### B. Contractor to Not Disrupt District Operation.

- 1. Contractor shall schedule and execute all Work in a manner that does not interfere with or disrupt District operations, including but not limited to, parking, utilities (electricity, gas, water), noise, access by students, faculty, other employees and administration, access by vendors and any other person or entity using District facilities or doing business with District.
- 2. Academic Calendar/Events: Contractor is advised to consult District's website for information about the academic calendar. Construction activities which may be disrupted due to these events are to be accounted for in all applicable Schedules, and Contract Time shall not be extended thereby.
- 3. The contractor's materials and equipment shall be confined to the areas in which the contractor is actively engaged and as directed and agreed to by the District's Representative.
- 4. The contractor shall not park vehicles, store materials or in any other manner block any drive or walkway to the campus or building. Travel lanes and fire lanes shall be kept clear and clean at all times.
- 5. Care shall be taken in driving vehicles onto school grounds. Any damage to lawns, landscape, etc. shall be repaired at the contractor's expense.
- 6. All vehicles must have a parking permit displayed on the dashboard. Vehicles not displaying District-provided parking permits may be cited and/or towed at the owner's expense. District's Representative will issue parking permits prior to the start of the job.

### 10. LEGAL AND MISCELLANEOUS

### A. Laws And Regulations

- 1. Contractor shall keep fully informed of and shall comply with all laws, ordinances, regulations and orders of any properly constituted authority affecting the Contract Documents, Work and persons connected with Work, and shall, to the greatest extent permitted by law, protect and indemnify District and its officers, employees, consultants and agents against any claim or liability, including attorney's fees, arising from or based on violation of law, ordinance, regulation or order, whether by Contractor or by Subcontractors, employees or agents. Authorized persons may at any time enter upon any part of Work to ascertain compliance of all applicable laws, ordinances, regulations and orders.
- 2. Contractor shall comply with applicable portions of Title 19 and Title 24, California Code of Regulations (Uniform Building Code) (most recent edition), and Public Contract Code. Whenever

Contract Documents require larger sizes or higher standards than are required by any applicable law, ordinance, regulation or order, Contract Documents shall govern. Whenever Contract Documents require something that will violate such laws, ordinances, regulations or orders, then such laws, ordinances, regulations or orders shall govern.

3. Contractor shall maintain in the Project Office a current copy of Title 19 and 24 of the California Code of Regulations at all times during construction.

# B. Permits And Taxes

Contractor shall procure all permits and licenses applicable to the Work (including environmental matters to the extent applicable), pay all charges and fees, including fees for street opening permits, comply with, implement and acknowledge effectiveness of all permits, initiate and cooperate in securing all required notifications or approvals therefore, and give all notices necessary and incident to due and lawful prosecution of Work, unless otherwise provided herein. District will pay applicable building permits, school, sanitation and water fees, except as otherwise provided in the Contract Documents. If, under federal excise tax law, any transaction hereunder constitutes a sale on which a federal excise tax is imposed, and the sale is exempt from such excise tax because it is a sale to a state or local government for its exclusive use, the District, upon request, will execute a certificate of exemption which will certify (1) that the District is a political subdivision of the state for the purpose of such exemption, and (2) that the sale is for the exclusive use of the District. No excise tax for such materials shall be included in any bid price. Contractor shall pay all sales and/or use taxes levied on materials, supplies, or equipment purchased and used on or incorporated into Work, and all other taxes properly assessed against equipment or other property used in connection with Work, without any increase in the Contract Sum. Contractor shall make necessary arrangements with proper authorities having jurisdiction over roads, streets, pipelines, navigable waterways, railroads, and other works in advance of operations, even where District may have already obtained permits for the Work.

# C. Responsibility Of Contractor And Indemnification

- 1. Except to the extent caused by their sole negligence, willful misconduct or active negligence, District and each of its officers, employees, consultants and agents including, but not limited to the Board, Architect/Engineer, and each District Representative, shall not be liable or accountable in any manner for loss or damage that may happen to any part of the Work; loss or damage to materials or other things used or employed in performing the Work; injury, sickness, disease, or death of any person; or damage to property resulting from any cause whatsoever, attributable to performance or character of the Work, and Contractor releases all of the foregoing persons and entities from any and all such claims.
- 2. To the furthest extent permitted by law (including without limitation California Civil Code Section 2782 and, if and to the extent applicable, California Civil Code Section 2782.8, Contractor shall assume defense of (with counsel approved by District), and indemnify and hold harmless, District and each of its officers, employees, consultants and agents, including but not limited to the Board, Architect/Engineer, and each District representative, from claims, suits, actions, and liability of every kind, nature and description, including but not limited to claims and fines of regulatory agencies and attorney's fees and consultant's fees, directly or indirectly arising out of, connected with or resulting from performance of the Work, failure to perform the Work, or condition of the Work which is caused in whole or part by any act or omission of Contractor, Subcontractors, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether it is caused in part by the negligence of District or by any person or entity required to be indemnified hereunder.
- 3. With respect to third-party claims against Contractor, Contractor waives any and all rights to any type of express or implied indemnity against District and each of its officers, employees, consultants and agents including, but not limited to District, the Board, Architect/Engineer and each District representative.
- 4. Approval or purchase of any insurance contracts or policies shall in no way relieve from liability nor limit the liability of Contractor, its Subcontractors of any tier, or the officers or agents of any of them.
- 5. To the furthest extent permitted by law (including, without limitation, Civil Code Section 2782 and, if and to the extent applicable, Civil Code Section 2782.8, the indemnification provisions, releases of liability and limitations of liability, claims procedures, and limitations of remedy expressed throughout Contract Documents shall apply even in the event of breach of contract, negligence

(active or passive), fault or strict liability of the party(is) indemnified, released, or limited in liability, and shall survive the termination, rescission, breach, abandonment, or completion of the Work or the terms of the Contract Documents. If Contractor fails to perform any of these defense or indemnity obligations, District may in its discretion back charge Contractor for District's costs and damages resulting therefrom and withhold such sums from progress payments or other contract moneys which may become due.

6. The indemnification provisions of this Contract as reflected in the Contract Documents shall not apply to any indemnified party to the extent of its sole negligence or willful misconduct; nor shall they apply to District or other indemnified party to the extent of its active negligence.

# D. Suspension Of Work

District may, with or without cause, order Contractor in writing to suspend, delay or interrupt Work in whole or in part for such period of time as District may determine. An adjustment shall be made for increases in cost of performance of Work of the Contract Documents caused by any such suspension, delay or interruption. No adjustment shall be made to extent that the suspension of Work was the direct or indirect result of Contractor's failure to perform any of its obligations hereunder. In addition, if applicable, Contractor shall receive a time extension for the actual period of time Contractor proves it was delayed by District's order to suspend, delay or interrupt Work.

# E. Termination Of Contract For Cause

Contract Termination for Cause: District may declare Contractor in default of Contract Documents and District may terminate Contractor's right to proceed under the Contract Documents for cause, including financial inability, material breach, and/or violation of valid law, statute, regulation, rule, ordinance, permit, license or order of any governmental agency applicable to the Project or Work. If District declares Contractor in default of Contract Documents, Contractor shall have an opportunity to cure such breach within ten (10) Days of the date of notice from District to Contractor providing notice of the default; or, if such breach is curable but not curable within such ten-Day period, within such period of time as is reasonably necessary to accomplish such cure. In order for Contractor to avail itself of a time period in excess of ten (10) Days, Contractor must provide District within the ten-Day period with a written plan ("cure plan") acceptable to District to cure said breach which includes, for example, evidence of necessary resources, actual Subcontractor commitments, actual labor commitments, schedules and recovery schedules meeting Contract Document requirements and showing a realistic and achievable plan to cure the breach. Contractor must then diligently commence and continue such cure according to the written cure plan. In event of termination for cause, District will immediately serve written notice thereof upon Surety and Contractor. Surety shall have the rights and obligations set forth in Document 00 61 00 (Construction Performance Bond). Subject to the Surety's rights under the Performance Bond (which rights are waived upon a default there under), District may take over the Work and prosecute it to completion by contract or by any other methods it may deem advisable.

# F. Termination Of Contract For Convenience

Contract Termination for Convenience: District may terminate for convenience performance of the Work under the Contract Documents in whole, or from time to time in part, whenever District shall determine that termination is in District's best interest. Termination for convenience may only be effected by District delivering to Contractor written "Notice of Termination for Convenience" specifying the extent to which performance of the Work under the Contract Documents is terminated and the effective date of the termination.

### G. Interest Of Public Officers

No representative, officer, or employee of District, no member of the governing body of the locality in which the Project is situated, no member of the locality in which District was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the Project, during the tenure of the official or for one year thereafter, shall, as principal, agent, attorney or otherwise, be directly or indirectly interested, in the Contract Documents or the proceeds thereof.

# H. Limit Of Liability

District, and each of its officers, Board members, employees, consultants and agents including, but not limited to, architect/engineer and each other District representative shall have no liability to Contractor for special, consequential, or incidental damages, except to the limited extent that these contract documents or applicable public contracting statutes may specify their recovery.

# I. Severability

Any provisions or portions thereof of Contract Documents that are prohibited by, unlawful, or unenforceable under any applicable law of any jurisdiction shall as to such jurisdiction be ineffective without affecting other provisions or portions thereof in the Contract Documents.

# J. Ownership Of Results/Works For Hire

Any and all artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any original works of authorship created by Contractor or its Subcontractors or designers in connection with services performed under this Contract shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in such works are the property of District. In the event that it is ever determined that any works created by Contractor or its Subcontractors or designers under this Contract are not works for hire under U.S. law, Contractor hereby assigns all copyrights to such works to District. With the prior written approval of District, Contractor may retain and use copies of such works for reference and as documentation of its experience and capabilities.

# 11. MODIFICATIONS OF CONTRACT DOCUMENTS

# A. Alterations, Modifications And Force Account Work

- 1. District may make changes to the Work during the course of construction to bring the Work into compliance with environmental requirements or standards established by state and federal statutes and regulations enacted after the Contract has been awarded. Contractor shall be compensated for changes affecting the Contract Time or Contract Sum of the Work as set forth in this Article 14 and in Section 01 26 00 (Modification Procedures).
- 2. Changes affecting the Contract Time or Contract Sum of the Work shall be set forth in a written Change Order that shall specify:
  - a. The Work performed in connection with the change to be made;
  - b. The amount of the adjustment of the Contract Sum, if any, and the basis for compensation for the Work ordered; and
  - c. The extent of the adjustment in the Contract Time, if any.
- 3. A Change Order will become effective when signed by District. If District exercises its right to decide disputed issues pertaining to changed Work as set forth in Articles 12 and 14 of this Document 00 71 00, then the resulting Change Order shall be effective when signed by District, notwithstanding that Contractor has not signed it.
- 4. A performance bond rider covering changed Work must be executed before proceeding with the changed Work.

### B. Entitlement to Change Of Contract Time

1. Contract Time may only be changed by Change Order or by Contract Modification, and all time limits stated in the Contract Documents are of the essence of Contract Documents.

### C. Compensable Time Extensions

- 1. Contractor may receive a time extension and be compensated for delays caused directly and solely by District or, except as provided in subparagraph 3.b below, DSA.
- 2. Contractor shall not be entitled to any time extension or compensation, however, for any delays caused in whole or in part by Contractor's failure to perform its obligations under the Contract Documents, or during periods of delay concurrently caused by Contractor and either District or others.
- 3. Contractor shall not be entitled to damages for delay to the Work caused by the following reasons:
  - a. District's right to sequence the Work in a manner which would avoid disruption to District's tenants and their contractors or other prime contractors and their respective subcontractors, exercised as a result of Contractor's failure to perform its cooperation and coordination responsibilities required by Contract Documents; District's enforcement of any government act or regulation; or the provisions of the Contract Documents;

b. Extensive requests for clarifications to Contract Documents or Contract Modifications thereto, provided such clarifications or Contract Modifications are processed by District or its consultants in a reasonable time commensurate with Contract Documents requirements.

# D. Liquidated Damages

- 1. Time is of the essence. Execution of Contract Documents by Contractor shall constitute acknowledgement by Contractor that Contractor understands, has ascertained and agrees that District will actually sustain damages in the amount fixed in the Contract Documents for each and every Day during which completion of Work required is delayed beyond expiration of time fixed for completion or extensions of time allowed pursuant to provisions hereof. Contractor and District agree that specified measures of liquidated damages shall be presumed to be the damages actually sustained by District as defined below, and that because of the nature of the Project, it would be impracticable or extremely difficult to fix the actual damages.
- 2. Liquidated damages shall be considered not as a penalty but as agreed monetary damage sustained by District for increased Project administration expenses, including extra inspection, construction management and architectural and engineering expenses related to the Project and Contract Documents because Contractor failed to perform and complete Work within time fixed for completion or extensions of time allowed pursuant to provisions hereof. Except as otherwise provided in Document 00 73 00 (Supplementary Conditions), liquidated damages shall also include lost revenues, interest expenses and cost of substitute facilities. However, liquidated damages shall not be deemed to include within their scope additional damages or administrative costs arising from Defective Work, cost of completion of the Work, claims and fines of regulatory agencies, damages suffered by others or other forms of liability claimed against District as a result of delay (e.g., delay or delay related claims of other contractors, subcontractors or tenants), and defense costs thereof. Contractor shall be fully responsible for the actual amount of any such damages it causes, in addition to the liquidated damages otherwise due District.
- 3. District may deduct from any money due or to become due to Contractor subsequent to time for completion of entire Work and extensions of time allowed pursuant to provisions hereof, a sum representing then-accrued liquidated damages. Should Contractor fall behind the approved Progress Schedule in circumstances where it is substantially likely that District will be entitled to assess liquidated damages, District may deduct liquidated damages based on its estimated period of late completion. District need not wait until Final Completion to withhold liquidated damages from Contractor's progress payments. Should money due or to become due to Contractor be insufficient to cover aggregate liquidated damages due, then Contractor forthwith shall pay the remainder of the assessed liquidated damages to District.

### 12. WORKING CONDITIONS AND PREVAILING WAGES

### A. Use Of Site/Sanitary Rules

- 1. All portions of the Work shall be maintained at all times in neat, clean and sanitary condition. Contractor shall furnish toilets for use of Contractor's and Subcontractors' employees on the Site where needed, and their use shall be strictly enforced. All toilets shall be properly secluded from public observation, and shall be located, constructed and maintained subject to District's approval.
- 2. Contractor shall confine construction equipment, the storage of materials and equipment and the operations of workers to the Site and land areas identified in and permitted by Contract Documents and other land and areas permitted by applicable laws and regulations, rights of way, permits and easements or as designated by District, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. Contractor shall assume full responsibility for any damage to any such land or area, any improvement located thereon, or to the owner or occupant thereof resulting from the performance of Work.
- 3. During the progress of the Work, Contractor shall keep the Site and the Project free from accumulations of waste materials, rubbish and other debris resulting from the Work. At the completion of the Work, Contractor shall remove all waste materials, rubbish and debris from and about the Site as well as all tools, appliances, construction equipment and machinery and surplus materials. Contractor shall leave the premises clean and ready for occupancy by District at Substantial Completion of Work. Contractor shall restore to original condition all property not designated for alteration by Contract Documents.

# B. Protection Of Work, Persons, Property And Operations

- 1. Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with Work. Contractor shall comply with all safety requirements specified in any safety program established by District, or required by state, federal or local laws and ordinances. Contractor shall be responsible for all damage to Work, property or structures, all injuries to persons, and all damage and interruptions to District's operations, arising from the performance of Work of the Contract Documents. Except as otherwise expressly approved by District in writing, Contractor shall at all times perform all Work in a manner which does not interrupt, damage or otherwise adversely impact any facilities, operations, or real or personal property of District, its officers, employees, agents, invitees, licensees, lessees or contractors.
- 2. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.
- 3. District may, at its option, retain such moneys due under the Contract Documents as District deems necessary until District receives satisfactory evidence that any and all suits or claims against Contractor for injury to persons, property or operations are either settled, or adequately provided for (such as by insurance or otherwise).

# C. Responsibility For Safety And Health

- 1. Contractor shall ensure that its and each tier of Subcontractors' employees, agents and invitees comply with applicable health and safety laws while at the Site. These laws include the Occupational Safety and Health Act of 1970 and rules and regulations issued pursuant thereto, and District's safety regulations as amended from time to time. Contractor shall comply with all District directions regarding protective clothing and gear.
- 2. Contractor shall be fully responsible for the safety of its and its Subcontractors' employees, agents and invitees on the Site. Contractor shall notify District, in writing, of the existence of hazardous conditions, property or equipment at the Site that are not under Contractor's control. Contractor shall be responsible for taking all the necessary precautions against injury to persons or damage to the property of Contractor, Subcontractors or persons from recognized hazards until the responsible party corrects the hazard. Contractor shall provide protective clothing and gear to all visitors to the Site.
- 3. Contractor shall confine all persons acting on its or its Subcontractors' behalf to that portion of the Site where Work under the Contract Documents is to be performed: District designated routes for ingress and egress thereto and any other District designated area. Except those routes for ingress and egress over which Contractor has no right of control, within such areas, Contractor shall provide safe means of access to all places at which persons may at any time have occasion to be present.

### D. Emergencies

In emergencies affecting the safety or protection of persons or Work or property at the Site or adjacent thereto, Contractor, without special instruction or authorization from District, is obligated to act to prevent threat and damage, injury or loss, until directed otherwise by District. Contractor shall give District prompt written notice if Contractor believes that any significant changes in Work or variations from Contract Documents have been caused thereby. If District determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Change Order or Construction Change Directive will be issued to document the consequences of such action. Emergency contact names & phone numbers, where Contractor's Superintendent and Project Manager can be reached at any time, are to be provided to the District, within 10 days after issuance of a Notice to Proceed with Construction.

### E. Use Of Roadways And Walkways

Contractor shall not unnecessarily interfere with use of any roadway, walkway or other facility for vehicular or pedestrian traffic. Before beginning any interference and only with District's prior concurrence, Contractor may provide detour or temporary bridge for traffic to pass around or over the interference, which Contractor shall maintain in satisfactory condition as long as interference continues. Unless otherwise provided in the Contract Documents, Contractor shall bear the cost of these temporary facilities.

# F. Nondiscrimination

No person or entity shall discriminate in the employment of persons upon public works because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sexual preference, or gender of such persons, except as provided in Section 12940 of the Government Code. Every Contractor for public works violating the provisions of Section 1735 of the Labor Code is subject to all the penalties imposed for a violation of Chapter 1, Part 7, Division 2 of the Labor Code.

# G. Prevailing Wages

- 1. Contractor shall pay to persons performing labor in and about Work provided for in the Contract Documents an amount equal to or more than the general prevailing rate of per diem wages for (1) work of a similar character in the locality in which the Work is performed and (2) legal holiday and overtime work in said locality. The per diem wages shall be an amount equal to or more than the stipulated rates contained in a schedule that has been ascertained and determined by the Director of the State Department of Industrial Relations and District to be the general prevailing rate of per diem wages for each craft or type of workman or mechanic needed to execute this Contract. Contractor shall also cause a copy of this determination of the prevailing rate of per diem wages to be posted at each Site.
- 2. Contractor shall forfeit, as a penalty to District, Fifty Dollars (\$50.00) for each laborer, workman, or mechanic employed in performing labor in and about the Work provided for in the Contract Documents for each Day, or portion thereof, that such laborer, workman or mechanic is paid less than the said stipulated rates for any work done under the Contract Documents by him or her or by any Subcontractor under him or her, in violation of Articles 1 and 2 of Chapter 1 of Part 7 of Division II of the California Labor Code. The sums and amounts which shall be forfeited pursuant to this subparagraph and the terms of the Labor Code shall be withheld and retained from payments due to Contractor under the Contract Documents, pursuant to this Document 00 71 00 and the Labor Code, but no sum shall be so withheld, retained or forfeited except from the final payment without a full investigation by either the State Department of Industrial Relations or by District. The Labor Commissioner pursuant to Labor Code Section 1775 shall determine the final amount of forfeiture.
- 3. Contractor shall insert in every subcontract or other arrangement which Contractor may make for performance of work or labor on Work provided for in the Contract, provision that Subcontractor shall pay persons performing labor or rendering service under subcontract or other arrangement not less than the general prevailing rate of per diem wages for work of a similar character in the locality in which the Work is performed, and not less than the general prevailing rate of per diem wages for holiday and overtime work fixed in the Labor Code.
- 4. Contractor stipulates that it shall comply with all applicable wage and hour laws, including without limitation Labor Code Section 1813. Failure to so comply, including without limitation Labor Code Section 1776, shall constitute a default under this Contract.
- 5. Contractor and its Subcontractors shall be responsible for compliance with Labor Code Section 1776.
  - a. Contractor and Subcontractors must keep accurate payroll records, showing the name, address, social security number, Work classification, straight time and overtime hours worked each Day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by him or her in connection with the Work of the Contract documents. Each payroll record shall contain or be verified by a written declaration as required by Labor Code Section 1776.
  - b. The payroll records enumerated above must be certified and shall be available for inspection at all reasonable hours at the principal office of Contractor as required by Labor Code Section 1776.
    - (X) Contractor shall inform District of the location of records enumerated above, including the street address, city and county, and shall, within five working Days, provide a notice of a change of location and address.
    - (Y) Contractor or Subcontractor has 10 Days in which to comply subsequent to receipt of a written notice requesting the records enumerated above. In the event that Contractor or Subcontractor fails to comply with the ten-Day period, he or she shall, as a penalty to District on whose behalf the contract is made or awarded, forfeit \$25.00 for each calendar Day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Apprenticeship Standards or the Division of Labor Standards

Enforcement, these penalties shall be withheld from progress payments then due. Contractor is not subject to a penalty assessment pursuant to this subparagraph due to the failure of a Subcontractor to comply with this subparagraph.

c. Contractor shall also deliver certified payrolls to District with each Application for Payment as described in Section 01 29 00 (Measurement & Payment).

END OF DOCUMENT

# SECTION 00 73 17

# CONTRACTOR-PROVIDED INSURANCE

- A. At or before the date specified in Section 00 11 19 (Instructions to Bidders), Contractor shall furnish to District satisfactory proof that Contractor has taken out for the entire period covered by the Contract the following classes of insurance in the form and with limits and deductibles specified below:
  - 1. <u>Workers' Compensation Insurance</u> for all persons whom the Contractor may employ in carrying out Work contemplated under Contract Documents, in accordance with the Act of Legislature of State of California, known as "Workers' Compensation Insurance and Safety Act," approved May 26, 1913, and all acts amendatory or supplemental thereto, in the statutory amount. Employers Liability Limit shall be not less than \$1,000,000.
  - 2. <u>Comprehensive General Liability Insurance</u> covering claims for personal injury, bodily injury and property damage arising out of the Work and in a form providing coverage not less than that of a standard Commercial General Liability Insurance policy ("Occurrence Form"). Such insurance shall provide for all operations and include independent contractors, products liability, completed operations for one year after Final Completion of the last Phase to be completed and acceptance of the final payment for the Work, contractual liability, and coverage for explosion, collapse and underground hazards. The limits of such insurance shall be not less than [\$2,000,000] each occurrence, [\$4,000,000] general aggregate limit, and [\$4,000,000] aggregate for products and completed operations. The policies shall be endorsed to provide Broad Form Property Damage Coverage.
  - 3. <u>All-Risk Builders' Risk aka 'Course of Construction' Insurance</u> for physical loss or damage to the Work, temporary buildings, false work, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, damage to adjacent buildings, partial or total collapse of structure(s), debris removal, demolition occasioned by enforcement of Laws, water damage, damage caused by frost and freezing in the amount of 100 percent of the completed value of the Work to be performed under this Contract. Each loss shall be borne by Contractor.
  - 4. <u>Comprehensive Automobile Liability Insurance</u> covering all owned, non-owned, and hired vehicles. Such insurance shall provide coverage not less than the standard Comprehensive Automobile Liability policy with limits not less than [\$1,000,000] each person Bodily Injury, [\$1,000,000] each occurrence Bodily Injury, and [\$1,000,000] each occurrence Property Damage.
- B. All policies of insurance shall be placed with insurers acceptable to District. The insurance underwriter(s) must have an A. M. Best Company rating of **[A-IX]** or better. Required minimum amounts of insurance may be increased should conditions of Work, in opinion of District, warrant such increase. Contractor shall increase required insurance amounts upon direction by District.

Required Endorsements:

- 1. Name San Mateo County Community College District, its Board of Trustees, and their employees, representatives, consultants, agents and Architect/Engineer as additional insured ATIMA (As Their Interest May Appear) on the Commercial General Liability Policy and Automobile Policy but only with respect to liability arising out of the activities of the Named Insured..
- 2. Commercial General Liability additional insured endorsement shall be ISO version CG 20 10 (11/85 edition) or its equivalent.
- 3. Each such policy shall apply separately to each insured against which claim is made or suit is brought, except with respect to the limit of the insurance company's liability required under paragraphs A.1, A3 & A6 of this Section 00 73 17.
- 4. Insurance shall be primary and no other insurance or self-insured retention carried or held by District shall be called upon to contribute to a loss covered by insurance for the named insured.
- 5. Insurance shall contain a provision requiring the insurance carriers to waive their rights of subrogation against District and all additional insured, as well as other insurance carriers for the Work.

- 6. Insurance certificates shall be addressed to: San Mateo County Community College District, 3401 CSM Drive, San Mateo, 94402, Attention Facilities Planning and Operations Department.
- C. Certificates of insurance and endorsements shall have clearly typed thereon District Bid Number and title of Contract Documents. Written notice of cancellation, non-renewal, or reduction in coverage of any policy shall be mailed to District (Attention: Contract Administration/Inspection) at the address listed in Section 00 52 00 (Agreement), sixty (60) Days in advance of the effective date of the cancellation, non-renewal, or reduction in coverage. Contractor shall maintain insurance in full force and effect during entire period of performance of Contract Documents. Contractor shall keep insurance in force during warranty and guarantee periods, except that Contractor may discontinue All-Risk Course of Construction Insurance after Final Payment. At time of making application for extension of time, and during all periods exceeding the Contract Time resulting from any cause, Contractor shall submit evidence that insurance policies will be in effect during requested additional period of time. Upon District's request, Contractor shall submit to District, within thirty (30) Days, copies of the actual insurance policies or renewals or replacements.
- D. Contractor shall pay all insurance premiums, including any charges for required waivers of subrogation or the endorsement of additional insured. If Contractor fails to maintain insurance, District may take out comparable insurance, and deduct and retain amount of premium from any sums due Contractor under Contract Documents.
- E. If injury occurs to any employee of Contractor, Subcontractor or sub-subcontractor for which the employee, or the employee's dependents in the event of employee's death, is entitled to compensation from District under provisions of the Workers' Compensation Insurance and Safety Act, as amended, or for which compensation is claimed from District, District may retain out of sums due Contractor under Contract Documents, amount sufficient to cover such compensation is due. If District is compelled to pay compensation, District may, in its discretion, either deduct and retain from the Contract Sum the amount so paid, or require Contractor to reimburse District.
- F. Nothing in this Section 00 73 17 shall be construed as limiting in any way the extent to which Contractor or any Subcontractor may be held responsible for payment of damages resulting from their operations.
- G. Except that Subcontractors need obtain only [\$1,000,000] of Comprehensive General Liability insurance, all Subcontractors shall maintain the same insurance required to be maintained by Contractor with respect to their portions of the Work, and Contractor shall cause the Subcontractors to furnish proof thereof to District within ten (10) Days of District's request.
- H. The following provisions apply to any licensed professional engaged by Contractor to perform portions of the Work ("Professional").
  - 1. Each Professional shall maintain the following insurance at its sole cost and expense:
    - a. Provided such insurance is customarily required by District when professionals engaged in the profession practiced by Professional directly contract with District, Professional Liability Insurance, insuring against professional errors and omissions arising from Professional's work on the Project, with a limit of not less than [\$1,000,000] for each claim. If Professional cannot provide an occurrence policy, Professional shall provide insurance covering claims made as a result of performance of Work on this Project and shall maintain such insurance in effect for not less than two years following Final Completion of the Project.
    - b. All insurance required by paragraphs A.1, A.2 and A.6 of this Section 00 73 17. Professional shall satisfy all other provisions of paragraphs A, B, C, D, E and F of this Section 00 73 17 relating to that insurance, including without limitation providing required insurance certificates (containing the required endorsements) before commencing its Work on the Project.

# END OF SECTION

Addendum No. One

#### SECTION 00 91 01

### ADDENDUM NO. ONE

#### SUMMARY

This document includes requirements that clarify or supersede portions of the Contract Documents. The following changes, additions and deletions shall be made to the following document(s); all other conditions shall remain the same. This Addendum is a Contract Document.

### END OF ADDENDUM

# SECTION 01 10 00

# SUMMARY OF WORK

### PART 1 GENERAL

# 1.1 SUMMARY

- A. Section includes summary of Work including:
  - 1. Work Covered By Contract Documents
  - 2. Bid Items, Allowances, and Alternates
  - 3. Work Under Other Contracts
  - 4. Future Work (N/A)
  - 5. Work Sequence
  - 6. Business Days and Hours
  - 7. Cooperation of Contractor and Coordination with Other Work
  - 8. Maintenance, Product Handling, and Protection
  - 9. Partial Occupancy/Utilization Requirements
  - 10. Contractor Use of Premises
  - 11. Lines and Grades
  - 12. Protection of Existing Structures and Utilities
  - 13. Damage to Existing Property
  - 14. Dust Control
  - 15. Parking
  - 16. Laydown/Staging Area
  - 17. Permits
  - 18. Punch List Verification
  - 19. Actual Damages for Violations
  - 20. Unfavorable Construction Conditions
  - 21. Construction Site Access
  - 22. Specification Data Sheets and Schedules
  - 23. Site Administration
  - 24. Products Ordered In Advance
  - 25. District-Furnished Products
  - 26. CEQA Mitigations
  - 27. Storm Water Pollution Program- See Section 01 35 00

### 1.2 WORK COVERED BY CONTRACT DOCUMENTS

Work comprises a two-phase renovation of seven restrooms located at 3401 CSM 3401 CSM Drive, San Mateo, CA 94402.

- A. Furnish all labor, materials, equipment, services, permits, temporary controls and construction facilities, and all general conditions, seismic requirements, general requirements and incidentals required to complete the Work in its entirety as described in the Contract Documents. The Work includes, but is not necessarily limited to the following:
  - 1. Coordination with other contractors working at the site and its Subcontractors, coordination with local utility owners and the District, obtaining necessary permits and complying with permit and environmental conditions, project startup and testing, site restoration and cleanup.
- B. The Work of this Contract comprises construction of all the Work indicated, described in the Specifications, or otherwise required by the Contract Documents.
- C. Unless provided otherwise in the Contract Documents, all risk of loss to Work covered by Contract Documents shall rest with Contractor until Final Acceptance of the Work.
- D. Contractor's use of the premises for Work and storage is limited to the area indicated.
- E. Contractor shall be solely responsible for all utilities (including without limitation electricity, water, gas, etc.) at the Site.
- F. Contractor shall carefully remove, in a manner to prevent damage, all materials and equipment specified or indicated to be salvaged and reused or to remain the property of District. Contractor shall store and protect salvaged items specified or indicated to be reused in the Work.
  - a. Contractor may furnish and install new items instead of those specified or indicated to be salvaged and reused, in which case such removed items will become Contractor's property.

- G. Existing materials and equipment removed by Contractor shall not be reused in the Work, except where so specified or indicated.
- H. Salvaged items not to be reused in the Work, but to remain District's property, shall be delivered by Contractor in good condition to District at the Facilities Maintenance Center, 1700 West Hillsdale Blvd, San Mateo.
  - a. Any items specified or indicated to be salvaged which are damaged in removal, storage, or handling through carelessness or improper procedures shall be replaced by Contractor in kind or with new items.

# 1.3 BID ITEMS, ALLOWANCES, AND ALTERNATES

- A. Any Bid Item may be deleted from the Work and Contract Sum, in total or in part, prior to or after award of Contract without compensation in any form or adjustment of other Bid Items or prices therefore.
- B. Payment of all items is subject to provisions of Contract Documents, including without limitation Section 01 29 00 (Measurement and Payment).
- C. For all Bid Items, furnish and install all work indicated and described in Specifications and all other Contract Documents, including connections to existing systems. Work and requirements applicable to each individual Bid Item, or unit of Work, shall be deemed incorporated into the description of each Bid Item.
- D. Descriptions of Lump Sum Items (listed by Bid Item Numbers). Bid items are not intended to be exclusive descriptions of work categories and Bidder shall determine and include in its pricing all materials, labor, and equipment necessary to complete each Bid Item as shown and specified:
  - 1. Bonds and Insurance. The lump sum price paid under this item shall be full payment for all Bonds and Insurance required by Document 00 71 00 (General Conditions).
  - 2. Safety Plan and Programs. The lump sum price paid under this item shall be full payment for providing the Safety Plan and programs as required by Section 01 56 00 (Site Security and Safety) and 00 71 00 (General Conditions).
  - 3. Mobilization/Demobilization. The lump sum price paid under this item shall be full payment for initial mobilization at Project commencement (50% to be paid then), and cleanup and demobilization at Final Completion of Work to be completed (50% to be paid then).
  - 4. Installation, Operation, and Maintenance Manuals, Record Drawings-. The lump sum price paid under this item shall be full payment for preparation of installation, operation, and maintenance manuals.
  - 5. All Work of Contract Documents other than Work separately provided for under other Bid Items. The lump sum price paid under this item shall be full payment for all Work of Contract Documents other than Work separately provided for under other Bid Items, including cleaning, startup, and testing, submittals, and all other general conditions, general requirements, and seismic requirements.

### E. Allowances:

- 1. Allowance work shall be done as Change Orders and as specified in Section 01 26 00 (Modification Procedures). Identify Allowance Items (See Document 00 41 00 [Bid Form]) work on the Progress Schedules and on Applications for Payment.
- 2. The Amount given on Document 00 41 00 (Bid Form) under each Allowance Item is the sum of money set aside for each Allowance Item. These amounts shall be included in the Contract Sum on the Bid Form.
- 3. If the cost of work done under any Allowance Item is less than the amount given on the Bid Form under that Allowance Item, the Contract Sum shall be reduced by the difference between the amount given in the Bid Form and the cost of work actually done.
- 4. Scope of Allowances: a. N/A
- F. Alternates:
  - a. N/A

### 1.4 WORK UNDER OTHER CONTRACTS

Work at the site performed by others includes the following: N/A

### **1.5 FUTURE WORK**

# N/A

### 1.6 WORK SEQUENCE

A. Construct Work in stages and at times to accommodate District operation requirements during the construction period; coordinate construction schedule and operations with District.

- B. Contractor shall not have access to the Phase 2 area before 12/24/2014, unless otherwise agreed upon by District. Contractor shall schedule Work in this area accordingly.
- C. Contractor acknowledges that shoring may be required to maintain a safe excavation and protect facilities, including both existing and recently constructed under this Contract. All expenses for shoring of excavations shall be included in the appropriate bid items.

# 1.7 BUSINESS DAYS AND HOURS

- A. The District's Regular Business Days and hours for construction personnel, such as facilities managers, architects, inspectors, and maintenance personnel, are Monday-Friday inclusive, 7:30 a.m. 4:30 p.m. local time.
- B. Contractor is advised that District, students and faculty are on campuses Monday Friday, 8:00 a.m. 10:00 p.m., with generally less activity between 1:00 p.m. and 6:00 p.m., and Saturday 8:00 a.m. 1:00 p.m.
- C. Contractor may work at the Site on weekends or holidays if it notifies District in writing at least 48 hours in advance. In the case of Work by Contractor after normal working hours or on weekends or holidays, Contractor shall be responsible for any additional inspection costs incurred by the District. Such costs may be withheld from any succeeding monthly progress payment.
- D. See Section 00 73 00 Supplementary Conditions for College Activities and Events which may also result in Contractor's inability to work.
- E. Contractor shall protect facilities against deleterious substances and damage.

# 1.8 COOPERATION OF CONTRACTOR AND COORDINATION WITH OTHER WORK

- A. Coordinate with District and any District forces, or other contractors and forces, as required by Document 00 71 00 (General Conditions), paragraph 6.
- B. Contractor shall coordinate the construction schedule with the regular daily operations schedule of the District and Campus for minimal interruption during utility service installations/modifications. All shut-downs required to perform the work and temporary facilities/utilities to affected District constituencies or other projects shall be coordinated by the Contractor and included in the base scope/cost of the project for normal power service installation.
- C. Noise: Construction activities are to comply with applicable local noise ordinance and applicable Cal-OSHA regulations.
- D. Connections to Existing Facilities. Unless otherwise specified or indicated, Contractor shall make all necessary connections to existing facilities, including structures, drain lines, and utilities such as water, sewer, gas, telephone, and electric. In each case, Contractor shall receive permission from District or the owning utility prior to undertaking connections.

### 1.9 MAINTENANCE, PRODUCT HANDLING, AND PROTECTION

- A. Transport, deliver, handle, and store materials and equipment at the Site in such a manner as to prevent the breakage, damage or intrusions of foreign matter or moisture, and otherwise to prevent damage.
- B. Hazardous substance compliance: Provide District with copies of the OSHA Material Safety Data Sheets (MSDS) for all products containing a hazardous substance, examples: Adhesives, paints, sealants, and the like.
- C. Packaging: Provide packaged material in manufacturer's original containers with seals unbroken and labels intact until incorporated into the Work.
- D. Remove all damaged or otherwise unsuitable material and equipment promptly from the Site.
- E. Protection: Protect all finished surfaces.
- F. Asbestos Removal: If, during the progress of the Work, suspected asbestos-containing products are identified, Contractor shall stop work in the affected area and immediately notify the Owner. Owner shall either directly engage an asbestos removal contractor to verify the materials and, if necessary, encapsulate, enclose, or remove and dispose of all asbestos in accordance with current regulations of the Environmental Protection Agency and the U. S. Department of Labor – Occupational Safety and Health Administration, the state asbestos regulating agency, and any local government agency; or Owner shall direct Contractor to do the same as a Change Order to the contract. The Contractor shall take all measures to avoid and/or mitigate delays due to Hazardous Materials/Waste finds such as: avoiding the area of the find and proceeding with other work on the project; developing "work around" plans; and documenting his best efforts to avoid and/or mitigate delays.
  - 1. Asbestos Removal Subcontractor's Qualifications. The Subcontractor for asbestos removal shall be regularly engaged in this type of activity and shall be familiar with the regulations that govern this work. The Subcontractor shall demonstrate to the satisfaction of District that it has successfully completed at least three asbestos removal projects that it has the necessary staff and equipment to perform the work, and that it has an approved site for disposal of the asbestos. Liability insurance covering the asbestos abatement work shall be provided as specified in the Supplementary Conditions.

- 2. Asbestos Removal Methods. The asbestos removal Subcontractor shall submit a work plan of its proposed removal procedure to District before beginning work and shall certify that the methods are in full compliance with the governing regulations. The work plan shall cover all aspects of the removal, including health and safety of employees and building occupants, hygiene facilities, employee certification, clearance criteria, transportation and disposal, enclosure techniques, and other techniques appropriate for the proposed work.
- G. Cost of maintenance of systems and equipment prior to either Substantial Completion or filing of a Notice of Completion will be considered as included in prices bid and no direct or additional payment will be made therefore.
- H. Maintenance logs and all related contract close-out documentation will be submitted to the District's Representative no more than thirty (30) days after the date of Substantial Completion. A Notice of Completion will not be filed until all contract close-out documents are submitted and approved.

# 1.10 PARTIAL OCCUPANCY/UTILIZATION REQUIREMENTS

- A. Allow District to take possession of and use any completed or partially completed portion of the Work during the progress of the Work as soon as is possible without interference to the Work.
- B. Possession, use of Work, and placement and installation of equipment by District shall not in any way evidence the completion of the Work or any part of it.
- C. Contractor shall not be held responsible for damage to the occupied part of the Work resulting from District occupancy.
- D. Make available, in areas occupied, on a 24-hour per day and 7-day per week basis if required, any utility services, heating, and cooling in condition to be put in operation at the time of occupancy.
  - 1. Responsibility for operation and maintenance of said equipment shall remain with Contractor.
  - 2. Make, and District shall certify, an itemized list of each piece of equipment so operated with the date operation commences.
  - 3. Itemized list noted above shall be basis for commencement of warranty period for equipment.
  - 4. District shall pay for utility cost arising out of occupancy by District during construction.
- E. Use and occupancy by District prior to acceptance of Work does not relieve Contractor of its responsibility to maintain insurance and bonds required under the Contract until entire Work is completed and accepted by District.
- F. Prior to date of Final Acceptance of the Work by District, all necessary repairs or renewals in Work or part thereof so used, not due to ordinary wear and tear, but due to defective materials or workmanship or to operations of Contractor, shall be made at expense of Contractor, as required in Document 00 71 00 (General Conditions).
- G. Use by District of Work or part thereof as contemplated by this Section 01 10 00 shall in no case be construed as constituting acceptance of Work or any part thereof. Such use shall neither relieve Contractor of any responsibilities under Contract, nor act as waiver by District of any of the conditions thereof.
- H. District may specify in the Contract Documents that portions of the Work, including electrical and mechanical systems or separate structures, shall be substantially completed on dates described in paragraph 1.6 of this Section 01 10 00, if any, prior to substantial completion of all of the Work. Contractor shall notify District's Representative and Architect/Engineer in writing when Contractor considers any such part of the Work ready for its intended use and substantially complete and request District to issue a Certificate of Substantial Completion for that part of the Work.

# 1.11 CONTRACTOR USE OF PREMISES

- A. Confine operations at Site to areas permitted by Contract Documents, permits, ordinances, and laws.
- B. Do not unreasonably encumber Project Site with materials or equipment.
- C. Assume full responsibility for protection and safekeeping of products stored on premises.
- D. Move any stored products that interfere with operations of District or other contractor.
- E. Parking, storage, staging, and work areas shall be coordinated with the District, and comply with all other Contract documents requirements.

# 1.12 LINES AND GRADES

- A. All Work shall be done to the lines, grades, and elevations indicated on the Drawings.
- B. District shall provide basic horizontal and vertical control points to be used as datums for the Work. All additional survey, layout, and measurement work shall be performed by Contractor as a part of the Work.
- C. Contractor shall provide at its cost an experienced instrument person, competent assistants, and such instruments, tools, stakes and other materials required to complete the survey, layout, and measurement work. In addition, Contractor shall furnish at its cost competent persons and such tools, stakes, and other materials as District (and/or any Architect/Engineer) may require in establishing or designating control points, or in checking survey, layout, and measurement work performed by Contractor.

- D. Contractor shall keep District informed, a reasonable time in advance, of the times and places at which it wishes to do survey/layout work, so that any checking deemed necessary by District may be done with minimum inconvenience to District and minimum delay to Contractor.
- E. Contractor shall remove and reconstruct Work which is improperly located.

# 1.13 PROTECTION OF EXISTING STRUCTURES AND UTILITIES

- A. The Drawings may indicate existing above- and below-grade structures, drainage lines, storm drains, sewers, water, gas, electrical, hot water, and other similar items and utilities that are known to District.
- B. Contractor shall locate these known existing installations before proceeding with trenching or other operations which may cause damage, shall maintain them in service where appropriate, and shall repair any damage to them caused by the Work, at no increase in Contract Sum.
- C. Additional utilities whose locations are unknown to District are suspected to exist. Contractor must be alert to their existence. If additional utilities are encountered, Contractor must immediately report to District for disposition.
- D. In addition to reporting, if a utility is damaged, Contractor must take appropriate action as provided in Document 00 71 00 (General Conditions).
- E. Additional compensation or extension of time on account of utilities not indicated or otherwise brought to Contractor's attention including reasonable action taken to protect or repair damage shall be determined as provided in Document 00 71 00 (General Conditions).

# 1.14 DAMAGE TO EXISTING PROPERTY

- A. Contractor will be responsible for any damage to existing structures, Work, materials, or equipment because of its operations and shall repair or replace any damaged structures, Work, materials, or equipment to the satisfaction of, and at no additional cost to, District.
- B. Contractor shall protect all existing structures and property from damage and shall provide bracing, shoring, or other work necessary for such protection.
- C. Contractor shall be responsible for all damage to streets, roads, curbs, sidewalks, highways, shoulders, ditches, embankments, culverts, bridges, or other public or private property, which may be caused by transporting equipment, materials, or workers to or from the Work. Contractor shall make satisfactory and acceptable arrangements with the agency having jurisdiction over the damaged property concerning its repair or replacement.

### 1.15 DUST CONTROL

- A. Contractor shall take reasonable measures to prevent unnecessary dust. The following items shall be specifically implemented to control dust:
  - 1. All construction locations with active excavation shall be watered at least twice daily.
  - 2. Cover all trucks hauling soil, sand, and other loose materials; or require all trucks to maintain at least two feet of freeboard.
  - 3. Pave, apply water daily, or apply non-toxic soil stabilizers on all un-paved access roads, parking areas, and staging areas at construction site.
  - 4. Sweep daily with water sweepers all paved access roads, parking areas, and staging areas at construction sites during earthwork activities.
  - 5. Enclose, cover, water twice daily, or apply non-toxic soil binders to exposed stockpiles (dirt, sand, etc.)
  - 6. Limit the speed of all construction vehicles to 5 miles per hour while on un-paved roads at the Site.
- B. Buildings or operating facilities which may be affected adversely by dust shall be adequately protected from dust. Existing and new machinery, motors, instrument panels, or similar equipment shall be protected by suitable dust screens. Proper ventilation shall be included with dust screens.
- C. Building Interiors: provide dust barriers, walk-off pads, etc. to minimize dust infiltration in buildings. If required, the Contractor will clean interior common areas (e.g., corridors, lobbies) as needed during each work day.

# 1.16 PARKING

Parking will be provided in designated areas at no cost to the Contractor.

# 1.17 LAYDOWN/STAGING AREA

Contractor shall utilize the area indicated on the Drawings for storage of all construction materials. This area shall be fenced and locked by Contractor for security purposes.

### 1.18 PUNCH LIST VERIFICATION

A punch list examination will be performed upon Substantial Completion of Work. One follow-up review of punch list items for each discipline will be provided. If further Site visits are required to review punch list items due to incompleteness of the Work by Contractor, at District's discretion, Contractor shall reimburse District for these visits.

#### 1.19 UNFAVORABLE CONSTRUCTION CONDITIONS

During unfavorable weather, wet ground, or other unsuitable construction conditions, Contractor shall confine its operations to Work which will not be affected adversely by such conditions. No portion of the Work shall be constructed under conditions which would affect adversely the quality or efficiency thereof, unless special means or precautions are taken by Contractor to perform the Work in a proper and satisfactory manner. The Contractor will employ BEST practices to manage the construction site during inclement weather.

#### **1.20** CONSTRUCTION SITE ACCESS

Contractor shall at all times limit access to the Site to necessary personnel only. All personnel associated with construction of the Project shall enter the site through Contractor's access gate, at the location indicated on the Drawings. Access for construction personnel shall be limited to regular work hours, unless prior approval is obtained from the District. All mail and deliveries (Federal Express, equipment, etc.) shall be sent to a separate address (at Contractor's gate), specifically arranged by Contractor for the Project. Contractor is responsible for providing adequate signage (subject to District approval) to alert delivery persons to the project site. The District will not receive or forward Contractor mail or deliveries.

#### 1.21 SPECIFICATION DATA SHEETS AND SCHEDULES

Specifications may have data sheets and schedules as part of specific specification sections. Locations for data entries on the data sheets and schedules may be left blank intentionally. Each line where data may be entered on the data sheet has a selection box in the column "Chk". When the box for a line is checked and no data is entered in the respective line, this indicates that no data is required for that line of the data sheet.

Other standard codes which apply to the Work are designated in the Specifications.

#### **1.22 SITE ADMINISTRATION**

Contractor shall be responsible for all areas of the Site used by it and by all Subcontractors in the performance of the Work. Contractor shall exert full control over the actions of all employees and other persons with respect to the use and preservation of property and existing facilities, except such controls as may be specifically reserved to District or others. Contractor shall have the right to exclude from the Site all persons who have no purpose related to the Work or its inspection, and may require all persons on the Site to observe the same regulations as Contractor requires of its employees.

### **1.23 EROSION CONTROL**

#### A. SCOPE OF WORK

- 1. General: Provide all materials, equipment and labor necessary to furnish and install straw wattles, silt fence barriers, hydroseed, or other Best Management Practices (BMP's) at locations shown on the Contractors Storm Water Pollution Prevention Plan. See Section 01 35 00 for further detail.
- 2. Storm Water Pollution Prevention Plan: Prepare and implement a Storm Water Pollution Prevention Plan (SWPPP) tailored to the Contractor's operations, methods and equipment. Comply with State Water Resources Control Board requirements. The SWPPP shall be reviewed and approved by the authority having jurisdiction prior to the start of work. The SWPPP shall be tailored to the contractor's approach to the work in this contract. The Contractor shall as a minimum address:
  - a. Cut and fill operations
  - b. Temporary stockpiles
  - c. Vehicle and equipment storage, maintenance and fueling operations
  - d. Concrete, plaster, mortar and paint disposal
  - e. Dust control
  - f. Tracking of dirt and mud, on and off of site, and adjacent streets.
g. Pipe flushing and protection of drainage facilities both new and existing, on and off site as required by State Water Resources Control Board.

## 1.01 QUALITY ASSURANCE

. General: Comply with governing codes and regulations of the State Water Resources Control Board.

## 1.03 SUBMITTALS

A. Notice Of Intent (NOI): The Contractor shall submit a NOI to the State Water Resources Control Board in the name of San Mateo County Community College District prior to beginning work on site if required.

## PART 2 PRODUCTS

## 2.1 **PRODUCTS ORDERED IN ADVANCE (if applicable)**

## 2.2 RESPONSIBILITIES FOR DISTRICT-FURNISHED PRODUCTS

- A. District's Responsibilities:
  - 1. Arrange for and deliver District-reviewed Shop Drawings, Product Data, and Samples, to Contractor.
  - 2. Arrange and pay for delivery to site.
  - 3. On delivery, inspect products jointly with Contractor.
  - 4. Submit claims for transportation damage and replace damaged, defective, or deficient items.
  - 5. Arrange for manufacturers' warranties, inspections, and service.
- B. Contractor's Responsibilities:
  - 1. Review District-reviewed Shop Drawings, Product Data, and Samples.
  - 2. Receive and unload products at site; inspect for completeness or damage jointly with District.
  - 3. Handle, store, install, and finish products.
  - 4. Repair or replace items damaged after receipt.
  - 5. Install into Project per Contract Documents.

## PART 3 EXECUTION – NOT USED

## END OF SECTION

## SECTION 01 10 01

## 1. GENERAL CONTRACT REQUIREMENTS

- a. **Insurance.** Contractor shall conform to the requirements of Section 00 73 17 Insurance.
- b. **Guaranty.** Contractor hereby grants to District for a period of one year following the date of Final Completion, or such longer period specified in the Contract Documents, its unconditional warranty of the quality and adequacy of all of the Work including, without limitation, all labor, materials and equipment provided by Contractor and its Subcontractors of all tiers in connection with the Work.
  - 1. Correction Period: If within one year after the date of Final Completion, or such longer period of time as may be prescribed by laws or regulations, or by the terms of Contract Documents, any Work is found to be defective, Contractor shall promptly, without cost to District and in accordance with District's written instructions, correct such Defective Work.
- c. **Cleaning.** Contractor shall keep District property, including buildings, outdoor campus areas including but not limited to roadways and parking lots, clean and free of dust, mud, and debris resulting from Contractor's operations. Daily cleanup throughout the job will be necessary as Contractor progresses with its Work, but extra attention to cleanup shall be made prior to weekends and holidays. Without limiting the foregoing, Contractor shall remove trench spoils along traveled ways daily; grade and vacuum broom surfaces initially where applicable and later water flush with high-pressure sprays, being careful to avoid downstream contamination.
  - 1. Progress Cleaning
    - a. Contractor shall perform periodic cleaning to ensure that buildings, streets, roadways and other District properties affected by the project are maintained free from accumulation of waste materials, dust, mud, and debris.
    - b. All dust, mud, spoils, and construction debris shall be removed daily.
    - c. Dispose of all construction debris, dirt and spoils resulting from the Work at sites chosen by Contractor in accordance with applicable local, state, and federal regulations.
    - d. Contractor shall estimate, log and submit regular reports to the District, an estimate of quantities of waste materials disposed of for District's compliance with solid waste reduction requirements. Documentation requirements, including the nature of materials, destination, volume and tonnage, shall be submitted monthly, annually and at final completion. Refer to Section 01 74 00 Solid Waste Reporting Log.
    - e. Contractor shall take care to mitigate dust during interior renovation activities through proper use of dust controls. Dust controls will include, but not be limited to: dust barricades, walk-off mats, negative air machines and daily custodial clean-up employed by the Contract and at no additional cost to the District
  - 2. Final Cleaning
    - a. Contractor shall execute final cleaning prior to final inspection, using only properly skilled workers.
    - b. Remove grease, dust, dirt, stains, labels, fingerprints, and other foreign materials from exposed interior and exterior finished surfaces.
    - c. Repair, patch, and touch up marred surfaces to match adjacent finishes.
    - d. Clean interior and exterior surfaces exposed to view; remove temporary labels, stains and foreign substances, polish transparent and glossy surfaces, vacuum carpeted and soft surfaces.
    - e. Clean equipment and fixtures to a sanitary condition, clean or replace filters of mechanical equipment operated during construction, clean ducts, blowers and coils of units operated without filters during construction.

- f. Clean Site; mechanically sweep paved areas.
- g. Remove waste and surplus materials, rubbish, and construction facilities from Site.
- d. **Temporary Utilities and Controls.** Contractor shall keep District property, including buildings, outdoor campus areas including but not limited to roadways and parking lots, clean and free of dust, mud, and debris resulting from Contractor's operations. Daily cleanup throughout the job will be necessary as Contractor progresses with its Work, but extra attention to cleanup shall be made prior to weekends and holidays. Without limiting the foregoing, Contractor shall remove trench spoils along traveled ways daily; grade and vacuum broom surfaces initially where applicable and later water flush with high-pressure sprays, being careful to avoid downstream contamination.
  - Temporary Power may be obtained from District at no cost to Contractor, but Contractor must provide all necessary wiring and appurtenances for connection to District's system. Contractor must coordinate point of connection with the District.
  - 2. Temporary Water may be obtained from District at no cost to Contractor, but Contractor shall furnish necessary pipe, hose, nozzles, tools and perform all necessary labor to connect to the District's system. Unnecessary waste of water will not be permitted. Special hydrant wrenches shall be used for opening and closing fire hydrants; in no case shall pipe wrenches be used for this purpose.
    - a. Contractor must provide all necessary piping and appurtenances for connection to District's system. Contractor must coordinate point of connection with the District.
  - 3. Temporary Sanitary Facilities. Contractor may use District's existing sanitary facilities, unless otherwise noted.
    - a. If Contractor is to provide temporary sanitary facilities, sanitary facilities shall be of reasonable capacity, properly maintained throughout the construction period, and obscured from public view to the greatest practical extent. If toilets of the chemically treated type are used, at least one toilet will be furnished for each 20 persons. Contractor shall enforce the use of such sanitary facilities by all personnel at the Site.
    - b. Comply with all minimum requirements of the Health Department or other public agency having jurisdiction; maintain in a sanitary condition at all times.
    - c. If District's existing sanitary facilities will be disrupted by the work of this project, Contractor shall provide adequate temporary sanitary facilities to maintain College's operations during sanitary facility interruptions.
    - d. Remove temporary sanitary facilities prior to final inspection; clean and repair damage caused by installation or use of temporary sanitary facilities.
  - 4. Temporary Barriers and Enclosures
    - a. Provide barriers to prevent unauthorized entry to construction areas, and to protect existing facilities and adjacent properties from damage from construction operations.
    - b. Provide barricades to allow for continued safe use of adjacent College facilities.
    - c. Remove temporary barriers and enclosures prior to final inspection; clean and repair damage caused by installation or use of temporary sanitary facilities.
- e. **Site Security and Safety.** In order to continue support of our educational mission, the District's campuses and many of its facilities will remain occupied during completion of the Work, making Site Security and Safety of paramount importance. Campuses are visited, on a daily basis, by an ever-changing and diverse population. Students, District staff, visitors, the public at large and contractor personnel will encounter real and potential safety hazards on a regular basis. Among this population, knowledge of safety and security hazards varies from considerable to none. This makes the risk of an injury of utmost concern to the District. Contractor shall assume overall responsibility for project safety compliance.
  - 1. Protection: Continuously maintain protection as necessary to protect the Work, as a whole and in part, and adjacent property and improvements from accidents, injuries or damage.

- 2. Control of Site: Ensure that no alcohol, firearms, weapons, or controlled substance enters or is used at the Site. Immediately and permanently remove from the Site any employee, contractor, subcontractor, vendor or consultant found in violation of this provision.
- 3. Isolate the Project Work Site:
  - a. For exterior work- through the use of "pre-paneled" 6-foot high chain link fence. Fence panels shall include end clips/brackets with which the individual panels can be made into a "fence section" of indefinite length.
  - b. For interior work through the combined use of plywood and plastic sheeting walls constructed to prevent accidental entry to the work area and keep dust from entering occupied areas. Walls shall include end clips/brackets with which the individual panels can be made into a "wall section" of indefinite length.
  - c. Contractor shall be responsible for posting, and maintaining, no less than the following construction site signage: CAUTION CONSTRUCTION; HARD HAT AREA; AND KEEP OUT.
- 4. Site Security: Protect and maintain the security of persons, existing facilities and property at the Site, including without limitation preventing theft, loss, vandalism and improper concealment of personal property of the District and all persons lawfully present on the Site, and including times where workers are not present on the Site.
- 5. Safety Program: Within ten (10) days after Notice to Proceed, submit a Safety Program that includes at a minimum the following components:
  - a. Injury and Illness Prevention Program (IIPP): Conforming to the General Industrial Safety Orders (CCR Title 8, Division 1, Chapter 4, Subchapter 7, Section 3203), and the California Labor Code (Section 6401.7).
  - b. Site-Specific Safety and Health Plan (SSHP): Describing health and safety procedures that shall be implemented during the Work in order to ensure safety of the public and those performing the Work. Follow the guidelines for a SSHP listed in CCR Title 8, Division 1, Chapter 4, Subchapter 7, Section 5192, Item (b) (4) f.
  - c. Confined Space Program as set forth in the General Industrial Safety Orders (CCR Title 8, Division 1, Chapter 4, Subchapter 7, Section 5157). During entry operations, or at the conclusion of entry operations, verbally notify Engineer of the permit space program followed and of any hazards confronted or created in permit spaces during entry operations.
  - d. Emergency Response Plan (ERP): Describe procedures that shall be implemented in the event an incident or emergency occurs at the Project Site. The ERP should model multiple incident scenarios (e.g. minor injury, earthquake, fatality, fire, etc.). Special attention should be paid to Project Site access/egress and contractor personnel evacuation/staging areas. The District will provide contact information for designated internal staff.
- f. Schedule: Contractor shall develop a network plan and schedule for the project demonstrating complete fulfillment of all contract requirements, shall keep the network plans up to date and shall utilize the Critical Path Method (CPM) in planning, coordinating, performing and reporting the work under this contract, including all activities of Subcontractors, equipment vendors, and suppliers, and in assisting District and District's Project Manager in monitoring the progress of the Work. Prepare the schedule as a time-scaled CPM network showing continuous flow from left to right. Durations and specific calendar dates shall be clearly and legibly shown for the start and finish of each work activity in sufficient detail to demonstrate logical planning for the Work and to represent a practical plan to complete the Work within the Contract Time.
  - 1. Submit an initial contract schedule no later than three (3) days after the Notice to Proceed has been issued. Contractor shall also submit an electronic copy of this document. The initial contract schedule shall include but not be limited to:
    - a. A legend of scheduled activities.
    - b. Scheduled work activities that clearly indicate the scope of work to be completed.
    - c. Major milestones, which are critical to the completion of the work, including but not

limited to the following: NTP date; mobilization; coordination review and detailing activities; contractor quality control review activities; substantial completion and contract completion.

- d. Major work activity categories to be included in the Initial Contract Schedule.
- e. Submittals Section, containing submission, review, procurement and delivery of all project materials.
- f. OFCI/OFOI items.
- g. Substantial Completion.
- h. Punch list formulation and correction.
- i. Contractor closeout documentation and training.
- j. Contractor punch list corrective work.
- k. Demobilization and project completion.
- 1. Inclement weather days.
- m. Calendar designations identifying all holidays and non-working days.
- 2. Once approved by District, Contractor's final contract schedule shall be used by Contractor for executing the Work of the Contract, including planning, organizing and directing the Work, and reporting its progress until subsequently revised. No unilateral changes shall be made to the schedule without the prior approval and consent of the District, excepting only the reporting of Actual Start, Actual Finish, and Activity Progress.
- 3. Contractor shall submit an updated schedule to District monthly to document actual progress made to date, activities started and completed to date, and the percentage of work completed to date on each activity started but not completed.
- 4. Upon completion of the joint review, Contractor shall prepare the updated Baseline Schedule and submit it to District.
- 5. Contractor agrees, whenever it becomes apparent from the current updated schedule that the contract completion date will not be met, it will take some or all of the following actions, as approved by District, at no additional cost to District:
  - a. Increase construction manpower in such quantities and crafts as will substantially eliminate the backlog of work. Also, increase material, equipment and other items as required.
  - b. Increase the number of working hours per shift, shifts per working day, working days per week, or the amount of construction equipment, or any combination of the foregoing, sufficiently to substantially eliminate the backlog of work.
  - c. Reschedule activities to achieve maximum practical concurrence of accomplishment of activities.

## g. **Project Record Documents**

- Record Drawings: During the construction period, maintain a set of drawings of Contract Drawings and Shop Drawings for Project Record Document purposes. Label each document "PROJECT RECORD" in 2-inch high printed letters. Keep record documents current. Mark these Drawings to indicate the actual installation where the installation varies appreciably from the installation shown originally. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later. Immediately prior to inspection for Substantial Completion, review completed marked-up Project Record Drawings with District to consolidate and ensure accuracy of information.
- 2. Record Specifications: During the construction period, maintain one copy of the Contract Specifications, including addenda and modifications issued, for Project Record Document purposes. Mark the Specifications to indicate the actual installation where the installation varies substantially from that indicated in Specifications and Modifications issued. Immediately prior to inspection for Substantial Completion, review completed marked-up Specifications with District to consolidate and ensure accuracy of information.
- 3. Prior to Final Completion, submit Project Record Drawings and Specifications to District for District's records.
- h. Installation, Operation and Maintenance Manuals: Contractor shall compile two (2) sets of

O&M manuals for every piece of equipment and building operating or electrical system, commissioned or not, with the following formats:

- 1. Hard Media Format:
  - a. Size: 8<sup>1</sup>/<sub>2</sub> x 11 inch, 3 ring loose-leaf binders. Use as many binders as required for each element as listed below. Do not overload binders.
  - b. Binding: Bind in stiff, metal-hinged, three-ring binder(s) with standard three-hole punching. Binders shall be 3-inch maximum. Use white or black colored
    - binders with integrated clear plastic covers to enable insertion of binder titles.
  - c. Sheet lifters: Provide plastic sheet lifters prior to first page and following last page.
  - d. Binder titles: Include the following title on front and spine of binder:

## NAME OF PROJECT (YEAR)

## INSTALLATION, OPERATION AND MAINTENANCE MANUAL

- e. Sheet Size:  $8\frac{1}{2} \times 11$  inch
- f. Drawing Size: Reduce drawings or diagrams to an 8½ x 11 inch or 11 x 17 inch size. However, where reduction is not practical to ensure readability, fold larger drawings separately and place in vinyl envelopes bound into the binder. Identify vinyl envelopes with drawing numbers.
- g. Dividers: Use dividers with permanently marked tabs of card stock to separate each section and sub section. Tab labels shall not be handwritten. Use a main tab for each specification section. Behind the section number tab there shall be the equipment ID tag sub-tab for each piece of major equipment (or group, if small or numerous). These sub-tabs shall be similar to the specification number tabs but of a different color.

## h. Contents

- 1. Title page, which shall be a duplicate of front binder title
- 2. Table of Contents
- 3. Equipment Sections and Sub sections
  - i. Contractor. The first page behind the equipment tab shall be the Contractor's name, address and telephone number of the manufacturer and installing contractor and the 24-hour number for emergency service for all equipment in this section, identified by equipment.

ii. Submittal and Product Data. This section shall include all approved submittal data, cut sheets, data base sheets and appropriate shop drawings. If submittal was not required for approval, descriptive product data shall be included.

iii. Operation and Maintenance Instructions. These shall be the written manufacturer's data with the model and features of this installation clearly marked and edited to omit reference to products or data not applicable to this installation. This section shall include data on the following:

- 1. Model number, serial number and nameplate data for each piece of equipment and any subcomponent.
- 2. Installation, startup and break-in instructions.
- 3. All starting, normal shutdown, emergency shutdown, manual operation and normal and emergency operating procedures and data, including any special limitations.
  - i. Step-by-step procedure for system startup, including a pre-start checklist. Refer to controls and indicators by nomenclature consistent with that used on panels and in control diagrams.
  - ii. Sequence of operation, with detailed instruction in proper sequence, for each mode of operation (i.e., day-night; staging of equipment).

- Emergency operation: If some functions of the equipment can be operated while other functions are disabled, give instructions for operations under these conditions. Include here only those alternate methods of operations (from normal) which the operator can follow when there is a partial failure or malfunctioning of components, or other unusual condition.
- iv. Shutdown procedure: Include instructions for stopping and securing the equipment after operation. If a particular sequence is required, give step-by-step instructions in that order.
- 4. O&M and installation instructions that were shipped with the unit.
- 5. Preventative and corrective maintenance, with service procedures and schedules:
  - i. Provide a schedule for preventive maintenance in a printed format and an electronic format compatible with owner's system. State, preferably in tabular form, the recommended frequency of performance for each preventive maintenance task, cleaning, inspection and scheduled overhauls.
  - ii. Cleaning: Provide instructions and schedules for all routine cleaning and inspection with recommended lubricants.
  - iii. Inspection: If periodic inspection of equipment is required for operation, cleaning or other reasons, indicate the items to be inspected and give the inspection criteria for: motors; controls; filters and any other maintenance items.
  - iv. Provide instructions for minor repairs or adjustments required for preventive maintenance routines. Identify test points and give values for each. Include sensor calibration requirements and methods by sensor type.
  - v. Corrective maintenance instructions shall be predicated upon a logical effect-to-cause troubleshooting philosophy and a rapid replacement procedure to minimize equipment downtime.
  - vi. Troubleshooting: Troubleshooting tables, charts, or diagrams shall be used to present specified procedures. A guide to this type shall be a three-column chart. The columns shall be titled: malfunction, probable cause and recommended action.
  - vii. Repair and Replacement: Indicate repair and replacement procedures most likely to be required in the maintenance of the equipment.
  - viii. A list of recommended spare parts with a price list and a list of spare parts provided under this Contract.
  - ix. Outline, cross-section, and assembly drawings; engineering data; and electrical diagrams, including elementary diagrams, labeled wiring diagrams, connection diagrams, word description of wiring diagrams and interconnection diagram
- 6. Safety Precautions: This subsection shall comprise a listing of safety precautions and instructions to be followed before, during and after making repairs, adjustments or routine maintenance.

- 7. Manufacturers' brochures (including controls): Manufacturers' descriptive literature covering devices and equipment used in the system, together with illustrations, exploded views and renewal parts lists. Manufacturers' standard brochures and parts list shall be corrected so that information applying to the actual installed equipment is clearly defined.
- 8. Supply any special tools required to service or maintain the equipment.
- 9. Performance data, ratings and curves.
- 10. Warranty and guarantee, which clearly lists conditions to be maintained to keep warranty in effect and conditions that would affect the validity of the warranty.
- 11. Any service contracts issued.
- 4. Supplemental Data. Prepare written text and/or special drawings to provide necessary information, where manufacturer's standard printed data is not available and information is necessary for a proper understanding and operation and maintenance of equipment or systems, or where it is necessary to provide additional information to supplement data included in the manual or project documents.
- 5. Control Diagrams/Drawings. Include the as-built control diagrams/drawings for the piece of equipment and its components, including full points list, full print out of all schedules and set points after testing and acceptance of the system, and copies of all checkout tests and calibrations performed by the contractor (not commissioning tests).
- 6. Specifications. This section is comprised of the component or system specification section copied and inserted complete with all addenda.
- 7. System Description. This section shall include the individual equipment portion of the overall system Design Basis Narrative.
- 2. Electronic Media Format: Electronic media format shall be Adobe pdf, with chapter markers and/or bookmarks inserted in place of the equivalent hard copy section tabs. Electronic copy shall include all tables, charts, drawings, codes and all other matters reflected in hard copies. Electronic media files shall be delivered on a unique CD-ROM.

## END OF SECTION

## SECTION 01 26 00

## **MODIFICATION PROCEDURES**

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes:
  - 1. Description of general procedural requirements for alterations, modifications, and extras.
- B. Reference
  - 1. Public Contract Code Section 7105(d)(2).

## 1.2 GENERAL

- A. Any change in scope of Work or deviation from Contract Documents including, without limitation, extra work, or alterations or additions to or deductions from the original Work, shall not invalidate the original Contract, and shall be performed under the terms of the Contract Documents.
- B. Only Contractor or District may initiate changes in scope of Work or deviation from Contract Documents.
  - 1. Contractor may initiate changes by submitting RFIs, Notice of Concealed or Unknown Conditions, or Notice of Hazardous Waste Conditions.
    - a. RFIs shall be submitted to seek clarification of or request changes in the Contract Documents. RFIs shall not be submitted to District seeking clarification to any errors or omissions on behalf of the Contractor's preparation of the Construction Documents or any other Contract Documents prepared by Contractor.
    - b. Notices of Concealed or Unknown Conditions shall be submitted in accordance with Document 00 71 00 (General Conditions).
    - c. Notices of Hazardous Waste Conditions shall be submitted in accordance with Document 00 71 00 (General Conditions).
  - 2. Contractor shall be responsible for its costs to implement and administer RFIs throughout the Contract duration. Regardless of the number of RFIs submitted, Contractor shall not be entitled to additional compensation. Contractor shall be responsible for both District and its consultant's administrative costs for answering RFIs where the answer could reasonably be found by reviewing the Contract Documents, as determined by District; at District's discretion, such costs may be deducted from progress payments or final payment.
  - 3. District may initiate changes by issuing a Supplemental Instruction, which may revise, add to or subtract from the Work.
  - 4. District may initiate changes in the Work or Contract Time by issuing RFPs to Contractor. Such RFPs will detail all proposed changes in the Work and request a quotation of changes in Contract Sum and Contract Time from Contractor.
  - 5. District may also, by Construction Change Directive ("CCD"), order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly. A CCD shall be used in the absence of total agreement on the terms of a Change Order and may, upon notice, consist of a Change Order executed by District only.
  - 6. Not used.
  - 7. It is the responsibility of the Contractor to notify the District within 14 days if there is a cost change. Notifications beyond this time limit may result in future claims being time barred.

## **1.3 PROCEDURES**

- A. Cost Proposal and Procedures: Whenever Contractor is required in this Section 01 26 00 to prepare a Cost Proposal, and whenever Contractor is entitled to submit a Cost Proposal and elects to do so, Contractor shall prepare and submit to District for consideration a Cost Proposal using the form attached to this Section 01 26 00, or other similarly prepared form previously approved by the District. All Cost Proposals must contain a complete breakdown of costs of credits, deducts and extras; itemizing materials, labor, taxes, overhead and profit. All Subcontractor Work shall be so indicated. Individual entries on the Cost Proposal form shall be determined as provided in paragraphs 1.4 and 1.5 of this Section 01 26 00. After receipt of a Cost Proposal with a detailed breakdown, District will act promptly thereon.
  - 1. If District accepts a Cost Proposal, District will prepare Change Order for District and Contractor signatures.
  - 2. If Cost Proposal is not acceptable to District because it does not agree with cost and/or time included in Cost Proposal, District will submit in a response what it believes to be a reasonable cost and/or adjustment, if any. Except as otherwise provided in this Section 01 26 00, Contractor shall have seven Days in which to respond to District with a revised Cost Proposal.
  - 3. When necessity to proceed with a change does not allow the District sufficient time to conduct a proper check of a Cost Proposal (or revised Cost Proposal), District may order Contractor to proceed on basis to be determined at earliest practical date. In this event, value of change, with corresponding equitable adjustment to Contract, shall not be more than increase or less than decrease proposed.
- B. Request for Information: Whenever Contractor requires information regarding the Project or Contract Documents or receives a request for information from a Subcontractor, Contractor may (except as provided in paragraph 1.2.B.1.a above), prepare and deliver an RFI to District. Contractor shall not submit an RFI to the District if it pertains to a Subcontractor's request for clarification of the Construction Documents or any other Contract Documents prepared by Contractor. Contractor shall use RFI format provided by District. Contractor must submit time critical RFIs at least 30 days before scheduled start date of the affected Work activity. Contractor shall reference each RFI to an activity of Progress Schedule and shall note time criticality of the RFI, indicating time within which a response is required. Contractor's failure to reference RFI to an activity on the Progress Schedule and note time criticality on the RFI shall constitute Contractor's waiver of any claim for time delay or interruption to the Work resulting from any delay in responding to the RFI.
  - 1. District will endeavor to respond within seven Days from receipt of RFI with a written response to Contractor, provided that the RFI complies with paragraph B. above and is time critical. Additionally, District may return RFI requesting additional information should original RFI be inadequate in describing condition. Contractor shall distribute response to all appropriate Subcontractors.
  - 2. If Contractor is satisfied with the response and does not request change in Contract Sum or Contract Time, then the response shall be executed without a change.
  - 3. If Contractor believes the response is incomplete, Contractor shall issue another RFI (with the same RFI number with the letter "A" indicating if it is a follow-up RFI) to District clarifying original RFI.
  - 4. If Contractor believes that the response results in change in Contract Sum or Contract Time, Contractor shall notify District in writing within seven Days after receiving the response. If District disagrees with Contractor, then Contractor may give notice of intent to submit a Claim as described in Article 12 of Document 00 71 00 (General Conditions), and submit its Claim within 30 days of District's response. If District agrees with Contractor, then Contractor, then Contractor, then Contractor must submit a Cost Proposal within 21 Days of District's response to the RFI. Contractor's failure to deliver either the foregoing notice of Claim or Cost Proposal by the respective deadlines stated in the foregoing sentences shall result in waiver of the right to file a Cost Proposal or Claim.
- C. Supplemental Instruction: District may issue Supplemental Instruction to Contractor.
  - 1. If Contractor is satisfied with Supplemental Instruction and does not request change in Contract Sum or Contract Time, then Supplemental Instruction shall be executed without a Change Order.
  - 2. If Contractor believes that Supplemental Instruction results in change in Contract Sum or Contract Time, then Contractor shall notify District in writing within seven Days after receiving the

response. If District disagrees with Contractor, then Contractor may give notice of intent to submit a Claim as described in Article 12 of Document 00 71 00 (General Conditions), and submit its Claim within 30 days of District's response. If District agrees with Contractor, then Contractor must submit a Cost Proposal within 21 Days of District's response to the RFI. Contractor's failure to deliver either the foregoing notice of Claim or Cost Proposal by the respective deadlines stated in the foregoing sentences shall result in waiver of the right to file a Cost Proposal or Claim.

- D. Construction Change Directives: If at any time District believes in good faith that a timely Change Order will not be agreed upon using the foregoing procedures, District may issue a CCD with its recommended cost and/or time adjustment. Upon receipt of CCD, Contractor shall promptly proceed with the change of Work involved and concurrently respond to District's CCD within 10 Days.
  - 1. Contractor's response must be any one of following:
    - a. Return CCD signed, thereby accepting District's response, time and cost.
    - b. Submit a (revised if applicable) Cost Proposal with supporting documentation (if applicable, reference original Cost Proposal number followed by letter A, B, etc. for each revision), if District so requests.
    - c. Give notice of intent to submit a Claim as described in Article 12 of Document 00 71 00 (General Conditions), and submit its Claim with 30 days.
  - 2. If the CCD provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:
    - a. Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation.
    - b. Unit prices stated in the Contract Documents or subsequently agreed upon.
    - c. Force account.
    - d. Cost to be determined in a manner agreed.
  - 3. CCD signed by Contractor indicates the agreement of Contractor therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.
  - 4. If Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the method and the adjustment shall be determined by District on the basis of reasonable expenditures and savings of those performing the Work attributable to the change including, in case of an increase in the Contract Sum, a reasonable allowance for overhead and profit. If the parties still do not agree on the price for a CCD, Contractor may file a Claim per Article 12 of Document 00 71 00 (General Conditions). Contractor shall keep and present, in such form as District may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this paragraph shall be limited to those provided in paragraphs 1.4 and 1.5 of this Section 01 26 00.
  - 5. Pending final determination of cost to District, amounts not in dispute may be included in Applications for Payment. The amount of credit to be allowed by Contractor to District for a deletion or change which results in a net decrease in the Contract Sum shall be actual net cost as confirmed by District. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.
- E. District Requested RFP: Contractor shall furnish a Cost Proposal within 21 Days of District's RFP. Upon approval of RFP, District will issue a Change Order directing Contractor to proceed with extra Work. If the parties do not agree on the price for an RFP, District may either issue a CCD or decide the issue per Article 12 of Document 00 71 00 (General Conditions). Contractor shall perform the changed Work notwithstanding any claims or disagreements of any nature.
- F. Differing Site Conditions: Contractor shall submit Notices of Differing Site Conditions to resolve problems regarding differing underground Site conditions encountered in the execution of the Work pursuant to paragraph 13.4 of Document 00 71 00 (General Conditions), which shall govern. If District determines that a change in Contract Sum or Contract Time is justified, District will issue RFP or CCD.
- G. Hazardous Waste Conditions: Contractor shall submit Notices of Hazardous Waste Conditions to resolve problems regarding hazardous materials encountered in the execution of the Work pursuant to paragraph 13.5 of Document 00 71 00 (General Conditions), which shall govern. If District determines that a change in Contract Sum or Contract Time is justified, District will issue RFP or CCD.

- H. All Changes:
  - 1. Documentation of Change in Contract Sum and Contract Time:
    - a. Contractor shall maintain detailed records of Work performed on a time-and-material basis.
    - b. Contractor shall document each proposal for a change in cost or time with sufficient data to allow evaluation of the proposal.
    - c. Contractor shall, on request, provide additional data to support computations for:
      - 1) Quantities of products, materials, labor and equipment.
      - 2) Taxes, insurance, and bonds.
      - 3) Overhead and profit.
      - 4) Justification for any change in Contract Time and new Progress Schedule showing revision due, if any.
      - 5) Credit for deletions from Contract, similarly documented.
    - d. Contractor shall support each claim for additional costs, and for Work performed on a costand-percentage basis, with additional information including:
      - 1) Credit for deletions from Contract, similarly documented.
      - 2) Origin and date of claim.
      - 3) Dates and times Work was performed and by whom.
      - 4) Time records and wage rates paid.
      - 5) Invoices and receipts for products, materials, equipment and subcontracts, similarly documented.
- I. Correlation of Other Items:
  - 1. Contractor shall revise Schedule of Values and Application for Payment forms to record each authorized Change Order or CCD as a separate line item and adjust the Contract Sum as shown thereon prior to the next monthly pay period.
  - 2. Contractor shall revise the Progress Schedules prior to the next monthly pay period.
  - 3. Contractor shall enter changes in Project Record Documents prior to the next monthly pay period.
- J. Responses: For all responses for which the Contract Documents, including without limitation this Section 01 26 00, do not provide a specific time period, recipients shall respond within a reasonable time.
- K. Disputes: For all disputes arising from the procedures herein, Contractor shall follow Article 12 of Document 00 71 00.

## **1.4 COST DETERMINATION**

A. Total cost of extra Work or of Work omitted shall be the sum of construction labor costs, material costs, equipment rental costs, design professional costs and specialist costs as defined herein plus overhead and profit as allowed herein. This limit applies in all cases of claims for extra Work, whether calculating Cost Proposals, Change Orders or CCDs, or calculating claims of all types, and applies even in the event of fault, negligence, strict liability, or tort claims of all kinds, including strict liability or negligence. Contractor may recover no other costs arising out of or connected with the performance of extra Work, of any nature. No special, incidental or consequential damages may be claimed or recovered against District, its representatives or agents, whether arising from breach of contract, negligence or strict liability, unless specifically authorized in the Contract Documents.

Design Professional costs shall be calculated by multiplying the number of hours per design professional by the hourly rate established in the bid form.

- B. Overhead and Profit: (Overhead shall be as defined in paragraph 1.8 of this Section 01 26 00)
  - 1. Overhead and profit on labor for extra Work shall not exceed 15 percent.
  - 2. Overhead and profit on materials for extra Work shall not exceed 15 percent.
  - 3. Overhead and profit on equipment rental for extra Work shall not exceed 10 percent.
  - 4. When extra Work is performed by a first tier Subcontractor or a Design Professional, Contractor shall receive a 5 percent markup on Subcontractors' or Design Professional's total costs of extra Work. First tier Subcontractor's markup on its Work shall not exceed 15 percent.
  - 5. When extra Work is performed by a lower tier Subcontractor, Contractor shall receive a total of 5 percent markup on the lower tier Subcontractors' total costs of extra Work. First tier

Subcontractors and lower tier Subcontractors shall divide the 20 percent markup as mutually agreed.

- 6. Notwithstanding the foregoing, in no case shall the total markup on any extra Work exceed 25 percent of the direct cost, notwithstanding the actual number of contract tiers.
- 7. On proposals covering both increases and decreases in Contract Sum, overhead, profit, and commission shall be allowed on the net increase only as determined in paragraph 1.4 above. When the net difference is a deduction, no percentage for overhead, profit and commission shall be allowed, but rather a deduction shall apply.
- 8. The markup shall include profit and overhead. No markup will be allowed on permits, fees, taxes, insurance, and bonds.
- C. Taxes:
  - All State sales and use taxes, San Mateo County and applicable City sales taxes, shall be included.
     Federal and Excise tax shall not be included.
- D. Accord and Satisfaction: Every Change Order and accepted CCD shall constitute a full accord and satisfaction, and release, of all Contractor (and if applicable, Subcontractor) claims for additional time, money or other relief arising from or relating to the subject matter of the change including, without limitation, impacts of all types, cumulative impacts, inefficiency, overtime, delay and any other type of claim. Contractor may elect to reserve its rights to disputed claims arising from or relating to the changed Work at the time it signs a Change Order or approves a CCD, but must do so expressly in a writing delivered concurrently with the executed Change Order or approved CCD, and must also submit a Claim for the reserved disputed items pursuant to Article 12 of Document 00 71 00 no later than 30 days of Contractor's first written notice of its intent to reserve rights.

## 1.5 COST BREAKDOWN

- A. Labor: Contractor will be paid cost of labor for workers (including forepersons when authorized by District) used in actual and direct performance of extra Work. Labor rate, whether employer is Contractor, Subcontractor or other forces, will be sum of following:
  - 1. Actual Wages: Actual wages paid shall include any employer payments to or on behalf of workers for health and welfare, pension, vacation, and similar purposes.
  - 2. Labor surcharge: Payments imposed by local, county, state, and federal laws and ordinances, and other payments made to, or on behalf of, workers, other than actual wages as defined in paragraph 1.5A.1 of this Section 01 26 00, such as taxes and worker's compensation insurance. Such labor surcharge shall not exceed that set forth in California Department of Transportation official labor surcharges schedule which is in effect on date upon which extra Work is accomplished and which schedule is incorporated herein by reference as though fully set forth herein.
- B. Material: Only materials furnished by Contractor and necessarily used in performance of extra Work will be paid for. Cost of such materials will be cost, including sales tax, to purchaser (Contractor, Subcontractor or other forces) from supplier thereof, except as the following are applicable:
  - 1. If cash or trade discount by actual supplier is offered or available to purchaser, it shall be credited to District notwithstanding fact that such discount may not have been taken.
  - 2. For materials salvaged upon completion of extra Work, salvage value of materials shall be deducted from cost, less discounts, of materials.
  - 3. If cost of a material is, in opinion of District, excessive, then cost of material shall be deemed to be lowest current wholesale price at which material is available in quantities concerned delivered to Site, less any discounts as provided in paragraph 1.5B.1 of this Section 01 26 00.
- C. Equipment Rental: For Contractor- or Subcontractor-owned equipment, payment will be made at rental rates listed for equipment in California Department of Transportation official equipment rental rate schedule which is in effect on date upon which extra Work is accomplished and which schedule is incorporated herein by reference as though fully set forth herein. If there is no applicable rate for an item of equipment, then payment shall be made for Contractor- or Subcontractor-owned equipment at rental rate listed in the most recent edition of the Association of Equipment Distributors (AED) book. For rented equipment, payment will be made based on actual rental invoices. Equipment used on extra Work shall be of proper size and type. If, however, equipment of unwarranted size or type and cost is used, cost of use of equipment shall be calculated at rental rate for equipment of proper size and type, as determined by District. Rental rates paid shall be deemed to cover cost of fuel, oil, lubrication, supplies, small tools, necessary attachments, repairs and maintenance of any kind, depreciation,

storage, insurance, and all incidentals. Unless otherwise specified, manufacturer's ratings, and manufacturer-approved modifications, shall be used to classify equipment for determination of applicable rental rates. Individual pieces of equipment or tools not listed in said publication and having a replacement value of \$100 or less, whether or not consumed by use, shall be considered to be small tools and no payment will be made therefore as payment is included in payment for labor. Rental time will not be allowed while equipment is inoperative due to breakdowns.

- 1. For equipment on Site, rental time to be paid for equipment shall be time equipment is in operation on extra Work being performed or on standby as approved by District. The following shall be used in computing rental time of equipment:
  - a. When hourly rates are listed, less than 30 minutes of operation shall be considered to be  $\frac{1}{2}$  hour of operation.
  - b. When daily rates are listed, less than four hours of operation shall be considered to be ½ Day of operation.
- 2. For equipment that must be brought to Site to be used exclusively on extra Work, cost of transporting equipment to Site and its return to its original location shall be determined as follows:
  - a. District will pay for costs of loading and unloading equipment.
  - b. Cost of transporting equipment in low bed trailers shall not exceed hourly rates charged by established haulers.
  - c. Cost of transporting equipment shall not exceed applicable minimum established rates of California Public Utilities Commission.
  - d. District will not make any payment for transporting and loading and unloading equipment if equipment is used on Work in any other way than upon extra Work.
- 3. Rental period may begin at time equipment is unloaded at Site of extra Work and terminate at end of the performance of the extra Work or Day on which District directs Contractor to discontinue use of equipment, whichever first occurs. Excluding Saturdays, Sundays, and District's legal holidays, unless equipment is used to perform extra Work on such Days, rental time to be paid per Day shall be four hours for zero hours of operation, six hours for four hours of operation and eight hours for eight hours of operation, time being prorated between these parameters. Hours to be paid for equipment that is operated less than eight hours due to breakdowns, shall not exceed eight less number of hours equipment is inoperative due to breakdowns.
- D. Work Performed by Special Forces or Other Special Services: When District and Contractor, by agreement, determine that special service or item of extra Work cannot be performed by forces of Contractor or those of any Subcontractors, service or extra Work item may be performed by specialist. Invoices for service or item of extra Work on basis of current market price thereof may be accepted without complete itemization of labor, material, and equipment rental costs when it is impracticable and not in accordance with established practice of special service industry to provide complete itemization. In those instances wherein Contractor is required to perform extra Work necessitating a fabrication or machining process in a fabrication or machine shop facility away from Site, charges for that portion of extra Work performed in such facility may, by agreement, be accepted as a specialist billing. District must be notified in advance of all off-Site Work. In lieu of overhead and profit provided in paragraph 1.4B of this Section 01 26 00, 15 percent will be added to specialist invoice price, after deduction of any cash or trade discount offered or available, whether or not such discount may have been taken.

## **1.6 FORCE-ACCOUNT WORK**

- A. If it is impracticable because of nature of Work, or for any other reason, to fix an increase or decrease in price definitely in advance, the Contractor may be directed to proceed at a not-to-exceed (NTE) maximum price which shall not under any circumstances be exceeded. Subject to such limitation, such extra Work shall be paid for at actual necessary cost for Force-Account Work or at the negotiated cost, as determined by District. The cost for Force-Account Work shall be determined pursuant to paragraphs 1.4 and 1.5 of this Section 01 26 00.
- B. Force-Account Work shall be used when it is not possible or practical to price out the changed Work prior to the start of that Work. In these cases, Force-Account Work will be utilized during the pricing and negotiation phase of the change. Once negotiations have been concluded and a bilateral agreement has been reached, the tracking of the Work under Force-Account is no longer necessary. Force-Account Work shall also be used when negotiations between District and Contractor have broken apart

and a bilateral agreement on the value of the changed Work cannot be reached. District may approve other uses of Force-Account Work.

- C. Whenever any Force-Account Work is in progress, definite price for which has not been agreed on in advance, Contractor shall report to District each Business Day in writing in detail amount and cost of labor and material used, and any other expense incurred in Force-Account Work on preceding Day, by using the Cost Proposal form attached hereto. No claim for compensation for Force-Account Work will be allowed unless report shall have been made and acknowledged by District.
- D. Whenever Force-Account Work is in progress, definite price for which has not been agreed on in advance, Contractor shall report to District when 75 percent of the NTE amount has been expended.
- E. Force-Account Work shall be paid as extra Work under this Section 01 26 00. Methods of determining payment for Work and materials provided in this paragraph 1.6 shall not apply to performance of Work or furnishings of material that, in judgment of District, may properly be classified under items for which prices are otherwise established in Contract Documents.

## 1.7 DISTRICT-FURNISHED MATERIALS

A. District reserves right to furnish materials as it deems advisable, and Contractor shall have no claims for costs and overhead and profit on such materials.

## 1.8 OVERHEAD DEFINED

- A. The following constitutes charges that are deemed included in overhead for all Contract Modifications, including Force-Account Work or CCD Work, whether incurred by Contractor, Subcontractors, or suppliers, and Contractor shall not invoice or receive payment for these costs separately:
  - 1. Drawings: field drawings, Shop Drawings, etc., including submissions of drawings
  - 2. Routine field inspection of Work proposed
  - 3. General Superintendence, including Project Management or Construction Management services provided by Contractor
  - 4. General administration and preparation of cost proposals, schedule analysis, change orders and other supporting documentation as necessary
  - 5. Computer services
  - 6. Reproduction services
  - 7. Salaries of, superintendent, timekeeper, storekeeper and secretaries
  - 8. Janitorial services
  - 9. Temporary on-Site facilities, including for any extended periods of Contract Time:
    - a. Offices
    - b. Telephones
    - c. Plumbing
    - d. Electrical: Power, lighting
    - e. Platforms
    - f. Fencing, etc.
    - g. Water
  - 10. Home office expenses
  - 11. Insurance and Bond premiums
  - 12. Procurement and use of vehicles and fuel used coincidentally in Work otherwise included in the Contract Documents
  - 13. Surveying
  - 14. Estimating
  - 15. Protection of Work
  - 16. Handling and disposal fees
  - 17. Final cleanup
  - 18. Small tools
  - 19. Warranty
  - 20. Other incidental Work

## 1.9 RECORDS AND CERTIFICATION

A. Force-Account (cost reimbursement) charges shall be recorded daily and summarized in Cost Proposal form attached hereto. Contractor or authorized representative shall complete and sign form each Day.

Contractor shall also provide with the form: the names and classifications of workers and hours worked by each; an itemization of all materials used; a list by size type and identification number of equipment and hours operated; and an indication of all Work performed by specialists.

- B. No payment for Force-Account Work shall be made until Contractor submits original invoices substantiating materials and specialists charges.
- C. District shall have the right to audit all records in possession of Contractor relating to activities covered by Contractor's claims for modification of Contract, including Force-Account Work and CCD Work.
- D. Further, District will have right to audit, inspect, or copy all records maintained in connection with this Contract, including financial records, in possession of Contractor relating to any transaction or activity occurring or arising out of, or by virtue of, the Contract. If Contractor is a joint venture, right of District shall apply collaterally to same extent to records of joint venture sponsor, and of each individual joint venture member. This right shall be specifically enforceable, and any failure of Contractor to voluntarily comply shall be deemed an irrevocable waiver and release of all claims then pending that were or could have been subject to the Article 12 of Document 00 71 00.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

## SAMPLE OF COST PROPOSAL FORM FOLLOWS ON NEXT PAGE

END OF SECTION

#### COST PROPOSAL (CP) CP Number: PROJECT/Contract Number [\_\_] Date: To: The San Mateo County Community College District In Response To: (RFP#, etc.) Attention: [Point of Contact] [Insert POC address] Telephone: (650) [ [Insert POC address] Fax: (650) [\_ From: REQUESTED CHANGE IN CONTRACT TIME (DAYS) Brief description of change(s): **First-Tier Subcontractors** Lower-Tier Subs Contractor Totals Sub A Sub B Sub C Sub A1 Sub B1 LABOR Cost \$ \$ \$ \$ \$ \$ \$ Mark-up 15% 15% 15% 15% 15% 15% % \$\$ \$ \$ \$ \$ \$ \$ \$ Taxes \$ \$ \$ \$ \$ \$ \$ Labor Total Including Taxes \$ MATERIALS Cost \$ \$ \$ \$ \$ S \$ Mark-up % 15% 15% 15% 15% 15% 15% \$\$ \$ \$ \$ \$ \$ S \$ Taxes \$ \$ S \$ \$ \$ \$ -Materials Total Including Taxes \$ RENTALS Cost \$ \$ \$ \$ \$ \$ Mark-up 10% 10% 10% 10% 10% 10% % \$\$ \$ \$ \$ \$ \$ \$ \$ Taxes \$ \$ \$ \$ \$ \$ \$ **Rentals Total Including Taxes** \$ DESIGN SERVICES Cost \$ \$ \$ \$ \$ Mark-up % 15% 15% 15% 15% \$\$ S \$ \$ \$ \$ \$ **Design Services Total** SPECIALTY WORK Cost 9 9 \$ \$ \$ 9 9 Discount \$ \$ \$ \$ \$ \$ \$ Mark-up % 15% 15% 15% 15% 15% 15% \$\$ \$ \$ \$ \$ \$ S \$ Taxes \$ \$ \$ \$ \$ S \$ Specialty Work Total \$ Total All Costs n.i.c. Sales Tax \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ Contractor Mark-up on Sub Costs \$ \$ \$ \$ 1st Tier Subcontractor Mark-up on Lower-tier Subs -Total All Contractor Mark-ups \$ -Total Mark-up as a % of Costs 0.00% Total Sales Tax \$ GRAND TOTAL S -Print Name & Title: Date: Signature:

Notes:

1 Contractor figures are to include only self-performed work. Do not include the value of work performed by first or lower-tier subs

## SECTION 01 29 00

## PAYMENTS AND COMPLETION

## PART 1 GENERAL

## 1.1 SUMMARY

Section includes description of requirements and procedures for determining amount of Work performed and for obtaining payment for Work performed.

## **1.2 REFERENCES**

- A. California Public Contract Code
- B. Code of Civil Procedures
- C. Government Code

## **1.3 SCOPE OF WORK**

Work under Contract Documents, or under any Proposal Item, allowance, or alternate, shall include all labor, materials, taxes, transport, handling, storage, supervision, administration, and all other items necessary for the satisfactory completion of Work, whether or not expressly specified or indicated.

## 1.4 DETERMINATION OF QUANTITIES

Quantity of work to be paid for under any item for which a unit price is fixed in Contract Documents shall be number, as determined by District, of units of work satisfactorily completed in accordance with Contract Documents or as directed by District. Unless otherwise provided, determination of number of units of work so completed will be based, so far as practicable, on actual measurement or count within prescribed or ordered limits, and no payment will be made for work done outside of limits. Measurements and computations will be made by methods set forth in Contract Documents, including without limitation this Section 01 29 00. If methods are not so set forth, measurements shall be made in any manner which District considers appropriate for class of Work measured (e.g., pre-assigned values, percentage completion, units completed or incremental milestones). Contractor must immediately inform District of any disputes regarding quantity measurements and shall immediately supply District with any documentation supporting the disputed measurements.

### **1.5** SCOPE OF PAYMENT

- A. Payment to Contractor at the unit price or other price fixed in Contract Documents for performing Work required under any item, or (if the Contract is on a single lump sum price basis) at the lump sum price fixed in the Contract Documents for performing all Work required under Contract Documents, and as either may be adjusted pursuant to any approved Change Order or Construction Change Directive, shall be full compensation for completing, in accordance with Contract Documents, all Work required under the item or under Contract Documents, and for all expense incurred by Contractor for any purpose in connection with the performance and completion of said Work, including all incidental work necessary for completion of the Work.
- B. The Contract Sum, whether lump sum, unit price or otherwise, shall be deemed to include all costs necessary to complete required Work, including all Design-Build Entity overhead and profit, all costs (if any) for loss or damage arising from nature of Work or prosecution of the Work, and from action of elements. Unless Contract Documents expressly provide otherwise, the Contract Sum shall be deemed to include:
  - 1. Any and all costs arising from any unforeseen difficulties which may be encountered during, and all risks of any description connected with, prosecution of Work or prosecution of Proposal Item (whether lump sum or unit price) until acceptance by District;

- 2. All expenses incurred due to suspension, or discontinuance of Work or discontinuance of Proposal Item (whether lump sum or unit price) as provided in Contract Documents;
- 3. Escalation to allow for cost increases between time of Contract Award and completion of Work or completion of Proposal Item (whether lump sum or unit price).
- C. Whenever it is specified herein that Design-Build Entity is to do work or furnish materials of any class for which no price is fixed in Contract Documents, it shall be understood that Design-Build Entity is to do such work or furnish such materials without extra charge or allowance or direct payment of any sort, and that cost of doing work or furnishing materials is to be included in price Proposal, unless it is expressly specified herein, in particular cases, that work or material is to be paid for as extra work.
- D. Unit Prices shall apply to work covered by unit prices so long as actual quantities performed on the Project are not less than 75 percent or greater than 125 percent of the estimated quantities contained in Document 00 41 00 (Proposal Form) or otherwise referenced in Section 01 10 00 (Summary of Work). If actual quantities exceed these parameters, then the unit price shall be adjusted by an amount to reflect the Design-Build Entity's incremental cost differential resulting from increased or decreased economies of scale.
- E. No payment shall be made for materials or equipment not yet incorporated into the Work.
- F. The District may, in its discretion, where Design-Build Entity requests payment on the basis of materials and equipment not incorporated in the Work, Design-Build Entity must satisfy the following conditions:
  - 1. The materials and/or equipment shall be delivered and suitably stored at the Site or at another local location agreed to in writing, for example, a mutually acceptable warehouse;
  - 2. Full title to the materials and/or equipment shall vest in District at the time of delivery to the Site, warehouse or other storage location;
  - 3. Obtain a negotiable warehouse receipt, endorsed over to District for materials and/or equipment stored in an off-site warehouse. No payment will be made until such endorsed receipts are delivered to District;
  - 4. Stockpiled materials and/or equipment shall be available for District inspection, but District shall have no obligation to inspect them and its inspection or failure to inspect shall not relieve Design-Build Entity of any obligations under the Contract Documents. Materials and/or equipment shall be segregated and labeled or tagged to identify these specific Contract Documents;
  - 5. After delivery of materials and/or equipment, if any inherent or acquired defects are discovered, defective materials and/or equipment shall be removed and replaced with suitable materials and/or equipment at Design-Build Entity's expense;
  - 6. At Design-Build Entity's expense, insure the materials and/or equipment against theft, fire, flood, vandalism, and malicious mischief, as well as any other coverages required under the Contract Documents;
  - 7. Design-Build Entity's Application for Payment shall be accompanied by a bill of sale, invoice or other documentation warranting that District has received the materials and equipment free and clear of all liens and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect District's interest therein, all of which must be satisfactory to District. This documentation shall include, but not be limited to, conditional releases of mechanics' liens and stop notices from all those providing materials and equipment as to which the Application for Payment relates, as well as unconditional releases of the same from the same as to the previous Application for Payment for which they have not already been provided.
- G. Amounts previously paid for materials and equipment prior to incorporation into the Work shall be deducted from amounts otherwise due Design-Build Entity as they are incorporated.

## 1.6 BASIS OF PAYMENT

- A. Unit Price Quantities: When estimated quantity for specific portions of Work is listed in Proposal Form, quantity of Work to be paid for shall be actual number of units satisfactorily completed, as determined by District and certified by Design-Build Entity, in accordance with Contract Documents.
- B. Lump Sum: When estimated quantity for specific portion of Work is not indicated and unit is designated as lump sum, payment will be on a lump sum basis for Work satisfactorily completed in accordance with Contract Documents.

- C. Allowances: Allowance items (if any refer to Section 01 21 00 (Allowance)) will be paid for as provided in Section 01 21 00 (Allowance). Funds authorized for Allowance work will not be released for Contract payments unless District has authorized Allowance work in writing.
- D. District does not expressly, or by implication, agree, warrant, or represent in any manner, that actual amount of Work will correspond with amount shown or estimated and reserves right to increase or decrease amount of any class or portion of Work, to leave out entire Proposal Item or Items, or to add work not originally included in Proposal or Contract Documents, when in its judgment such change is in best interest of District. No change in Work shall be considered a waiver of any other condition of Contract Documents. No claim shall be made for anticipated profit, for loss of profit, for damages, or for extra payment whatever, except as otherwise expressly provided for in Contract Documents, because of any differences between amount of work actually done and estimated amount as set forth herein, or for elimination of Proposal Items.

## 1.7 PROGRESS PAYMENTS

- A. If requested by Design-Build Entity, progress payments will be made monthly.
- B. Schedule of Values:
  - 1. Within ten Days from issuance of Notice of Award and prior to the Design-Build Entity's first Application for Payment, submit an initial breakdown of Proposal, including detailed breakdown of all design phases. Detailed construction activities need not be included in this initial submittal. Prior to commencing construction, Design-Build Entity shall submit for review and approval a more detailed breakdown of the construction activities of its Proposal by scheduled Work items and/or activities, including coordination responsibilities and Contract Closeout responsibilities, per Section 01 77 00, Contract Closeout. Where more than one Subcontractor comprises the work of a Work item or activity, the Schedule of Values shall show a separate line item for each subcontract. Contractor shall furnish such breakdown of the total Contract Sum by assigning dollar values to each applicable Progress Schedule activity, which cumulative sum equals Contract Sum. The format and detail of the breakdown shall be as directed by District to facilitate and clarify future progress payments to Contractor for direct Work under Contract Documents. This breakdown shall be referred to as the Schedule of Values.
  - 2. Contractor's overhead, profit, insurance, cost of bonds (except to the extent expressly identified in a Proposal Item) and/or other financing, as well as "general conditions costs," (e.g., Site cleanup and maintenance, temporary roads and access, off-Site access roads, temporary power and lighting, security, and the like), shall be prorated through all activities so that the sum of all the Schedule of Values line items equals Design-Build Entity's total Contract Sum, less any allowances designated by District.
  - 3. District will review the breakdown in conjunction with the Progress Schedule to ensure that the dollar amounts of this Schedule of Values are, in fact, fair market cost allocations for the Work items listed. Upon favorable review by District, District will accept this Schedule of Values for use. District shall be the sole judge of fair market cost allocations.
  - 4. District will reject any attempt to increase the cost of early activities, i.e., "front loading," resulting in a complete reallocation of moneys until such "front loading" is corrected. Repeated attempts at "front loading" may result in suspension or termination of the Work for default, or refusal to process progress payments until such time as the Schedule of Values is acceptable to District.
  - 5. Funds shall be allocated in the Schedule of Values for Project Closeout.
- C. Applications for Payment: Design-Build Entity shall establish and maintain records of cost of the Work in accordance with generally accepted accounting practices. In addition:
  - 1. On or before the 16<sup>th</sup> of the month, Design-Build Entity shall meet with the District's Representative and the Inspector of Record, if directed by the District, with proposed "pencil copy" of Application for Payment indicating % complete for each value. Application for Payment shall include % complete for each value as agreed in the pre-Application meeting.
  - 2. On or before the 20<sup>th</sup> Day of each month (but after District's receipt of the updated Schedule as required by Section 01 32 16 (Progress Schedules and Reports)), Design-Build Entity shall submit to District one copy of an Application for Payment for the cost of the Work put in place during the period from the first Day of the previous month to the last Day of the current month, along with one copy of the District-approved updated Schedule. Such Applications for Payment shall be for

the total value of activities completed or partially completed, including approved activity costs, based upon Schedule of Values prices (or Proposal item prices if unit price) of all labor and materials incorporated in the Work up until midnight of the last Day of that one month period, less the aggregate of previous payments. Accumulated retainage shall be shown as separate item in payment summary. Design-Build Entity shall submit in a form acceptable to District, and following the format of AIA Form G702/G703, an itemized cost breakdown of Design-Build Entity is late submitting it's Application for Payment, that Application may be processed at any time during the succeeding one-month period, resulting in processing of Design-Build Entity's Application for Payment being delayed for more than a Day for Day basis.

- 3. Applications for Payment may include, but are not necessarily limited to the following:
  - a. Material, equipment, and labor incorporated into the Work, less any previous payments for the same;
  - b. Up to 75 percent of the cost of equipment identified in paragraph 1.5F of this Section 01 29 00 (if any), if purchased and delivered to the Site or stored off Site, as may be approved by District.
  - c. Up to 75 percent of the cost of materials identified in paragraph 1.5F of this Section 01 29 00 (if any), specifically fabricated for the Project that are not yet incorporated into the Work.
- 4. At the time any Application for Payment is submitted, certify in writing the accuracy of the Application and that Design-Build Entity has fulfilled all scheduling requirements of Document 00700 (General Conditions) and Section 01 32 16 (Progress Schedules and Reports), including updates and revisions. A responsible officer of Design-Build Entity shall execute the certification.
- 5. No progress payment will be processed prior to District receiving all requested, acceptable schedule update information. Failure to submit a schedule update complying with Section 01 32 16 justifies denying the entire Application for Payment.
- 6. Each Application for Payment shall list each Change Order and Construction Change Directive ("CCD") executed prior to date of submission, including the Change Order/CCD Number, and a description of the work activities, consistent with the descriptions of original work activities. Submit a monthly Change Order/CCD status log to District.
- 7. If District requires substantiating data, submit information requested by District, with cover letter identifying Project, Application for Payment number and date, and detailed list of enclosures. Submit one copy of substantiating data and cover letter for each copy of Application for Payment submitted.
- 8. If Design-Build Entity fails or refuses to participate in work reconciliations or other construction progress evaluation with District, Design-Build Entity shall not receive current payment until Design-Build Entity has participated fully in providing construction progress information and schedule update information to District.
- D. Progress Payments
  - 1. District will review Design-Build Entity's Application for Payment following receipt. If adjustments need to be made to percent of completion of each activity, District will make appropriate notations and return to Design-Build Entity. Design-Build Entity shall revise and resubmit. All parties shall update percentage of completion values in the same manner, i.e., express value of an accumulated percentage of completion to date.
  - 2. Each Application for Payment may be reviewed by District and/or inspectors to determine whether the Application for Payment is proper, and shall be rejected, revised, or approved by District pursuant to the Schedule of Values prepared in accordance with paragraph 1.7B of this Section 01 29 00.
  - 3. If it is determined that the Application for Payment is not proper and suitable for payment, District will return it to the Design-Build Entity as soon as practicable, but no later than seven Days after receipt, together with a document setting forth in writing the reasons why the Application for Payment is not proper. If District determines that portions of the Application for Payment are not proper or not due under the Contract Documents, then District may approve the other portions of the Application for Payment, and in the case of disputed items or defective Work not remedied, may withhold up to 150 percent of the disputed amount from the progress payment.

- 4. Pursuant to Public Contract Code Section 20104.50, if District fails to make any progress payment within 30 Days after receipt of an undisputed and properly submitted Application for Payment from Design-Build Entity, District shall pay interest to the Design-Build Entity equivalent to the legal rates set forth in subdivision (a) of Section 685.010 of the Code of Civil Procedure. The 30-Day period shall be reduced by the number of Days by which District exceeds the seven-Day return requirement set forth herein.
- 5. As soon as practicable after approval of each Application for Payment for progress payments, District will pay to Design-Build Entity in manner provided by law, an amount equal to **95** percent of the amounts otherwise due as provided in the Contract Documents, or a lesser amount if so provided in Contract Documents, provided that payments may at any time be withheld if, in judgment of District, Work is not proceeding in accordance with Contract, or Design-Build Entity is not complying with requirements of Contract, or to comply with stop notices or to offset liquidated damages accruing or expected.
- 6. Before any progress payment or final payment is due or made, Design-Build Entity shall submit satisfactory evidence that Design-Build Entity is not delinquent in payments to employees, Subcontractors, suppliers, or creditors for labor and materials incorporated into Work. This specifically includes, without limitation, conditional lien release forms for the current progress payment and unconditional release forms for past progress payments. If District is notified of impropriety of Contractor's payments to employees, Subcontractors, suppliers, or creditors for labor and materials incorporated into work and previously paid to Contractor, District may elect in its sole discretion to pay progress payments by joint check to Contractor and each Subcontractor having an interest in that progress payment in such amount.
- 7. District reserves and shall have the right to withhold payment for any equipment and/or specifically fabricated materials that, in the sole judgment of District, are not adequately and properly protected against weather and/or damage prior to or following incorporation into the Work.
- 8. Granting of progress payment or payments by District, or receipt thereof by Contractor, shall not be understood as constituting in any sense acceptance of Work or of any portion thereof, and shall in no way lessen liability of Design-Build Entity to replace unsatisfactory work or material, though unsatisfactory character of work or material may have been apparent or detected at time payment was made.
- 9. When District shall charge sum of money against Design-Build Entity under any provision of Contract Documents, amount of charge shall be deducted and retained by District from amount of next succeeding progress payment or from any other moneys due or that may become due Design-Build Entity under Contract. If, on completion or termination of Contract, such moneys due Design-Build Entity are found insufficient to cover District's charges against it, District shall have right to recover balance from Design-Build Entity or Sureties.

## **1.8 SUBSTITUTION OF SECURITIES IN LIEU OF RETENTION**

- A. In accordance with the provisions of Public Contract Code Section 22300, substitution of securities for any moneys withheld under Contract Documents to ensure performance is permitted under following conditions:
  - 1. At request and expense of Design-Build Entity, securities listed in Section 16430 of the Government Code, bank or savings and loan certificates of deposit, interest bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by Design-Build Entity and District which are equivalent to the amount withheld under retention provisions of Contract shall be deposited with Controller or with a state or federally chartered bank in California, as the escrow agent, who shall then pay such moneys to Design-Build Entity. Upon satisfactory completion of Contract, securities shall be returned to Design-Build Entity.
  - 2. Alternatively, Design-Build Entity may request and District shall make payment of retentions earned directly to the escrow agent at the expense of Design-Build Entity. At the expense of Design-Build Entity, Design-Build Entity may direct the investment of the payments into securities and receive the interest earned on the investments upon the same terms provided for in this Section 01 29 00 for securities deposited by Design-Build Entity. Upon satisfactory completion of Contract Documents, Design-Build Entity shall receive from escrow agent all

securities, interest, and payments received by the escrow agent from District, pursuant to the terms of this Section 01 29 00. Pay to each Subcontractor, not later than 20 Days after receipt of the payment, the respective amount of interest earned, net of costs attributed to retention withheld from each Subcontractor, on the amount of retention withheld to insure the performance of Design-Build Entity.

- 3. Design-Build Entity shall be beneficial owner of securities substituted for moneys withheld and shall receive any interest thereon.
- 4. Enter into escrow agreement with Controller according to Document 00 43 45 (Escrow Agreement for Security Deposits in Lieu of Retention), as authorized under Public Contract Code Section 22300, specifying amount of securities to be deposited, terms and conditions of conversion to cash in case of default of Design-Build Entity, and termination of escrow upon completion of Contract Documents.
- 5. Public Contract Code Section 22300 is hereby incorporated in full by this reference.

## **1.9 FINAL PAYMENT**

- A. As soon as practicable after all required Work is completed in accordance with Contract Documents, including punchlist, testing, record documents and Design-Build Entity maintenance after Final Acceptance, District will pay to Design-Build Entity, in manner provided by law, unpaid balance of Contract Sum of Work (including without limitation retentions), or whole Contract Sum of Work if no progress payment has been made, determined in accordance with terms of Contract Documents, less sums as may be lawfully retained under any provisions of Contract Documents or by law.
- B. Prior progress payments shall be subject to correction in the final payment. District's determination of amount due as final payment shall be final and conclusive evidence of amount of Work performed by Design-Build Entity under Contract Documents and shall be full measure of compensation to be received by Design-Build Entity.
- C. Design-Build Entity and each assignee under an assignment in effect at time of final payment shall execute and deliver at time of final payment, and as a condition precedent to District's obligation to make final payment, Document 00 65 73 (Agreement and Release of Any and All Claims) discharging District, its officers, agents, employees, and consultants of and from liabilities, obligations, and claims arising under Contract Documents.

## 1.10 EFFECT OF PAYMENT

- A. Payment will be made by District, based on District's observations at the Site and the data comprising the Application for Payment. Payment will not be a representation that District has:
  - 1. Made exhaustive or continuous on-Site inspections to check the quality or quantity of Work;
  - 2. Reviewed construction means, methods, techniques, sequences, or procedures;
  - 3. Reviewed copies of requisitions received from Subcontractors and material suppliers and other data requested by District to substantiate Design-Build Entity's right to payment; or
  - 4. Made examination to ascertain how or for what purpose Design-Build Entity has used money previously paid on account of the Contract Sum.

## 1.11 ALLOWANCE

- A. District will authorize and direct Design-Build Entity regarding provisions in this paragraph.
- B. Allowance Amount: as listed in Document 00 52 00 (Agreement).
- C. District shall determine in its sole discretion which, if any, costs it will authorize in writing to be paid from the Allowance. Generally, the Allowance will be used only for District-initiated changes in scope of Work of Contract Documents.
- D. Cost shall be determined as for CCD work as provided in Section 01 26 00 (Modification Procedures).
- E. Prior to final payment, an appropriate Change Order will be issued to reflect actual amounts due Design-Build Entity on account of Work covered by the Allowance, and the Contract Sum will be correspondingly adjusted.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

END OF SECTION

## SECTION 01 32 19

## SUBMITTAL PROCEDURES

## **PART 1 GENERAL**

#### 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Description of general requirements for Submittals for the Work:
    - a. Procedures
    - b. Schedule of Submittals
    - c. Safety Program
    - d. Progress Schedules
    - e. Design Development Drawings and Specifications
    - **Construction Documents and Specifications** f.
    - g. Product Data
    - h. Shop Drawings
    - i. Samples
    - j. Installation, Operations and Maintenance Manuals
    - k. Quality Assurance Control Submittals
    - Environmental Impact Mitigation Plan Documents 1.
    - m. Project Record Documents
    - Delay of Submittals n.

#### **OPTIONAL REVIEW MEETING PROCEDURES** 1.2

- A. Submit at Design-Build Entity's expense, the following items ("Submittals") required by the Contract Documents:
  - 1. Schedule of Submittals
  - 2. Safety Program
  - 3. Progress Schedules
  - 4. Design Development Drawings and Specifications
  - 5. Construction Documents and Specifications
  - 6. Product Data
  - 7. Material Safety Data Sheets
  - 8. Shop Drawings
  - 9. Samples
  - 10. Installation, Operation, and Maintenance Manuals
  - 11. Quality Assurance Control Data
  - 12. Environmental Impact Mitigation Documents
  - 13. Computer Programs
  - 14. Project Record Documents
  - 15. Storm Water Pollution Prevention Plan
- B. Submit these Submittals to District for review and approval in accordance with accepted Schedule of Shop Drawings and Samples Submittals. If no such schedule is agreed upon prior to, then all Shop Drawing, Samples, and product data Submittals shall be submitted within <u>30 Days</u> after receipt of Notice to Proceed with Construction from District. In all instances, District may require Design-Build Entity to submit any or all Submittals directly to Architect/Engineer for review.
- C. Transmit each item with the appropriate Submittal transmittal form (attached to this Section 01 32 19 as Exhibits A and B). For project on which an electronic web-based Project Management System is used, per Section 01 31 23 Web Based Project Management System, Design-Build Entity shall use the system's similar

electronic forms and formats for Submittal transmissions. Identify Project, Design-Build Entity, Subcontractor, major supplier, pertinent Drawing sheet and detail number, and Specification Section number as appropriate. Where manufacturer's standard drawings or data sheets are used, they shall be marked clearly to show those portions of the data that are applicable to this Project. Inapplicable portions shall be marked out. Submittals shall be submitted based on each Specification Section. Submittals containing information about more than one Specification Section will be returned for re-submittal. Submittals shall include all information requested by each Specification Section. (No partial Submittals.) Incomplete Submittals will be returned and not reviewed by District.

- D. The data shown on the Submittals shall be complete with respect to quantities, dimensions, specified performance and design criteria, materials and similar data to show District the materials and equipment Design-Build Entity proposes to provide and to enable District to review the information for the limited purposes specified in this Section 01 32 19. Submittals shall be identified clearly as to material, supplier, pertinent data such as catalog numbers and the use for which it is intended and otherwise as District may require to enable District to review the Submittal. The quantity of each Submittal to be submitted will be as required by individual Specification Sections or this Section 01 32 19.
- E. At the time of each submission, give District specific written notice of all variations, if any, that the submitted Submittal may have from the requirements of the Contract Documents, and the reasons therefore. This written notice shall be in a written communication attached to the Submittal transmittal form. In addition, cause a specific notation to be made on each Submittal submitted to District for review and approval of each such variation. If District accepts deviation, District will note its acceptance on the returned Submittal form and, if necessary, issue appropriate Contract Modification.
- F. Submittal coordination and verification is responsibility of Design-Build Entity; this responsibility shall not be delegated in whole or in part to Subcontractors or suppliers. Before submitting each Submittal, review and coordinate each Submittal with other Submittals and with the requirements of the Work and the Contract Documents, and determine and verify:
  - 1. All field measurements, quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers and similar information with respect thereto;
  - 2. All materials with respect to intended use, fabrication, shipping, handling, storage, assembly and installation pertaining to the performance of the Work; and
  - 3. All information relative to Design-Build Entity's sole responsibilities and of means, methods, techniques, sequences and procedures of construction and safety precautions and programs incident thereto.
- G. Design-Build Entity's submission to District of a Submittal shall constitute Design-Build Entity's representation that it has satisfied its obligations under the Contract Documents, and as set forth immediately above in this paragraph 1.2 of Section 01 32 19, with respect to Design-Build Entity's review and approval of that Submittal.
- H. Designation of work "by others," if shown in Submittals, shall mean that work will be responsibility of Design-Build Entity rather than Subcontractor or supplier who has prepared Submittals.
- I. After review by District or Architect/Engineer or other consultant designated by District, of each of Design-Build Entity's Submittals, one set of material will be returned to Design-Build Entity with actions defined as follows:
  - 1. NO COMMENT Accepted subject to its compatibility with future Submittals and additional partial Submittals for portions of the Work not covered in this Submittal. Does not constitute approval or deletion of specified or required items not shown on the Submittal.
  - 2. SEE COMMENTS NOTED (NO RESUBMISSIONS REQUIRED) Same as item 1 above, except that minor corrections as noted shall be made by Design-Build Entity.
  - 3. SEE COMMENTS, REVISE AS NOTED AND RESUBMIT District identified major inconsistencies or errors that shall be resolved or corrected by Design-Build Entity prior to subsequent review by District.
  - 4. SUBMITTAL DOES NOT MEET CONTRACT REQUIREMENTS RESUBMIT Submitted material does not conform to Contract Documents in major respect, e.g.,: wrong size, model, capacity, or material.
- J. Make a complete and acceptable Submittal at least by second submission. District reserves the right to deduct monies from payments due Design-Build Entity to cover District and Architect/Engineer's additional costs of review beyond the second submission. Illegible Submittals will be rejected and returned to Design-Build Entity for resubmission. Design-Build Entity shall be in breach of the Contract if Design-Build Entity's first re-submittal, following a Submittal which District determines falls within categories 3 or 4 above, does not

fall within categories 1 or 2 above. Deductions will be calculated in accordance with Section 1.2.T of this specification 01 32 19.

- K. Favorable review will not constitute acceptance by District of any responsibility for the accuracy, coordination and completeness of the Submittals. Accuracy, coordination, and completeness of Submittals shall be sole responsibility of Design-Build Entity, including responsibility to back-check comments, corrections, and modifications from District's review before fabrication. Design-Build Entity, Subcontractors, or suppliers may prepare Submittals, but Design-Build Entity shall ascertain that Submittals meet requirements of Contract Documents, while conforming to structural space and access conditions at point of installation. District's review will be only to assess if the items covered by the Submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as indicated by the Contract Documents. Favorable review of Submittal, method of work, or information regarding materials and equipment Design-Build Entity proposes to furnish shall not relieve Design-Build Entity of responsibility for errors therein and shall not be regarded as assumption of risks or liability by District, or any officer or employee thereof, and Design-Build Entity shall have no claim under Contract Documents on account of failure or partial failure or inefficiency or insufficiency of any plan or method of work or material and equipment so accepted. Favorable review shall be considered to mean merely that District has no objection to Design-Build Entity using, upon Design-Build Entity's own full responsibility, plan or method of work proposed, or furnishing materials and equipment proposed.
- L. District's review will not extend the means, methods, techniques, sequences or procedures of construction or to safety precautions or programs incident thereto. The review and comment on a separate item as such will not indicate approval of the assembly in which the item functions.
- M. Submit complete initial Submittal for those items where required by individual Specification Sections. Complete Submittal shall contain sufficient data to demonstrate that items comply with Specifications, shall meet minimum requirements for submissions cited in Specification Sections, shall include motor data and seismic anchorage certifications, where required, and shall include necessary revisions required for equipment other than first named. If Design-Build Entity submits incomplete initial Submittal when complete Submittal is required, Submittal may be returned to Design-Build Entity without review.
- N. Copy, conform, and distribute reviewed Submittals in sufficient numbers for Design-Build Entity's files, Subcontractors, and vendors.
- O. After District's review of Submittal, revise as noted and resubmit as required. Identify changes made since previous Submittal.
  - 1. Begin no fabrication or work that requires Submittals until return of Submittals not requiring re-submittal. Do not extrapolate from Submittals covering similar work.
  - 2. Normally, Submittals will be processed and returned to Design-Build Entity within twenty-one (21) Days of receipt.
- P. Distribute copies of reviewed Submittals to concerned persons. Instruct recipients to promptly report any inability to comply with provisions.
- Q. All Submittals shall be <u>number-identified</u> by Design-Build Entity, prior to submission to District, in accordance with the following:
  - 1. Sequentially number each Submittal by Specification Section (i.e., "1-2", "2-2", "3-2", etc.) as the basis for number identification of Submittals.
  - 2. Affix the Submittal number under which each Submittal is made on every copy of each Shop Drawing, product data, sample, certification, etc.
  - 3. Number Installation, Operation, and Maintenance Manuals with original root number of the approved Submittal for the item.
  - 4. If the Submittal is a re-submittal (including without limitation after an initial Submittal is rejected, returned without review or marked 'Revise as Noted and Resubmit'), add the suffix designation "A" (i.e., a re-submittal of Submittal 1-2 would be numbered 1A-2). Subsequent re-submittals would be identified by the Submittal number and sequential letters (i.e., "B", "C", "D", etc.).
  - 5. All Submittals shall include all information requested by each Specification Section. No partial Submittals will be accepted unless previously authorized by District. In the event a partial Submittal is authorized, each subsequent different Submittal (as opposed to re-submittal) is given a new number.
- R. Submission Requirements:

- 1. Deliver Submittals to District giving sufficient time for more than one review, but in no case less than thirty (30) Days before dates reviewed Submittals will be needed.
- 2. Initial Submittal of Installation, Operation and Maintenance Manuals shall be forty-five (45) Days after the date Submittals that pertain to the applicable portion of the Installation, Operation and Maintenance Manual is satisfactorily reviewed.
- 3. The following table lists the number of initial Submittals required from Design-Build Entity for each type of submission, to whom Design-Build Entity shall distribute the information, and District's distribution of reviewed submissions. If Design-Build Entity needs more copies of reviewed Submittals returned to it, then either submit additional copies or make copies from the returned transparency Submittal. Submittals requiring resubmission will require the same quantity and distribution as an initial Submittal.

	<u> </u>	ld Entity Initial bmittal	District Submittal Review Return		
Submittal	# of	# of Hard	# of	# of Hard	
	Electronic	Copies/ Prints/	Electronic	Copies/ Prints/	
	files	Samples	files	Samples	
Schedule of Submittals	1	2	1	0	
Safety Program	1	0	0	0	
Progress Schedules	1	2	1	0	
50% Design Development Drawings & Specifications	1	2	1	0	
100% Design Development Drawings & Specifications	1	2	1	0	
50% Construction Document Drawings & Specifications	1	2	1	0	
90% Construction Document Drawings & Specifications	1	2	1	0	
100% Construction Document Drawings & Specifications	1	2	1	0	
Product Data	1	2	1	0	
Materials Safety Data Sheets	1	1	1	0	
Shop Drawings	1	2	1	0	
Samples	0	2	0	1	
Installation, Operation, and Maintenance Manuals	1	2	1	0	
Quality Assurance Control Submittals					
Computer Programs	1	0	0	0	
Environmental Impact Mitigation Documents	1	0	1	0	
Project Record Documents	1	2	1	0	
Other Documents	1	2	1	0	

Accompany Submittals with Submittal transmittal form, containing:

- a. Date, revision date, and Submittal log number.
- b. <u>Project name and District's Contract number</u>.
- c. Design-Build Entity's name, address, and job number.
- d. Specification Section number clearly identified.
- e. The quantity of Shop Drawings, Product Data, or Samples submitted.
- f. Notification of deviations from Contract Documents.
- g. Materials Safety Data Sheet (MSDS) for each item complying with OSHA's Hazard Communication Standard 29 CFR 1910.1200.
- h. Other pertinent data.2. Submittal shall include:

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- a. Date and revision dates.
- b. Revisions, if any, identified.
- c. Project Name and Contract number.
- d. The names of:
  - 1) Design-Build Entity, Subcontractor, Supplier, Manufacturer, and separate detailer, when pertinent.
- e. Identification of product material by location within the Project.
- f. Relation to adjacent structure or materials.
- g. Field dimensions, clearly identified as such.
- h. Specification Section number and applicable detail reference number on the Drawings.
- i. Applicable reference standards, such as ASTM, ANSI, FS, NEMA, SMACNA or ACI.
- j. A blank space, on each Drawing or data sheet, 5" x 4" for the District's stamp.
- k. Identification of deviations from Contract Documents.
- 1. Design-Build Entity's stamp, initialed or signed, with language certifying the review of Submittals, verification of field measurements, construction criteria and technical standards in compliance with Contract Documents.
- B. Resubmission requirements:
  - 1. Shop Drawings:
    - a. Revise initial Shop Drawings as required and resubmit as specified for initial Submittals.
    - b. Indicate on Shop Drawings any changes that have been made other than those requested by District.
  - 2. Product Data and Samples:
    - a. Submit new Product Data and Samples as required for initial Submittals.
  - 3. Installation, Operation, and Maintenance Manuals:
    - a. Revise initial Installation, Operation, and Maintenance Manual(s) as required and resubmit as specified for initial Submittals.
- C. Number of resubmissions:
  - 1. One reexamination of Design-Build Entity's Submittals that have been returned for correction or replacement will be included in District's budget. <u>Any additional re-examination of Design-Build Entity's Submittals will be considered additional scope services to be paid by Design-Build Entity through District. Design-Build Entity shall pay District (or District may deduct from any progress or final payment), for design team personnel, on an hourly basis at 2.5 times direct payroll expenses, and for consultant personnel time at 1.25 times the amount billed District.</u>

## 1.2 SCHEDULE OF SHOP DRAWING AND SAMPLE SUBMITTALS

- A. Submit preliminary Schedule of Shop Drawing and Sample Submittals as required by Document 00 71 00 (General Conditions) and in quantities as required by paragraph 1.2A.1 of this Section 01 32 19.
- B. Schedule of Submittals will be used by District to schedule its activities relating to review of Submittals. Schedule of Submittals shall indicate a spreading out of Submittals and early Submittals of long-lead-time items and of items that require extensive review.
- C. Unless otherwise specified, make Submittals in groups containing all associated items to assure that information is available for checking each item when it is received. Identify on the Submittal which Submittals should be reviewed together.
- D. Schedule of Submittals will be reviewed by District and shall be revised and resubmitted until accepted by District.

## **1.3 SAFETY PROGRAM**

A. Submit Safety Program in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19, in Adobe pdf, to District within the time set forth in Section 01 56 00 (Site Security and Safety), paragraph 1.4. to District This submittal is for the District's information only.

## **1.4 PROGRESS SCHEDULE**

- A. See Section 01 32 16 (Progress Schedules and Reports) for schedule and report requirements. Section 01 32 16 shall control in any conflict with Section 01 32 19.
- B. Submit in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19, at each of the following times:
  1. Initial Progress Schedule as set forth in Section 01 32 16.

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- 2. Original Schedule as set forth in Section 01 32 16.
- 3. Adjustments to the Schedule as required.
- 4. Schedule updates monthly, as required.
- C. Submit one electronic copy, in Adobe .pdf, of the reports listed in Section 01 32 16 (Progress Schedules and Reports) with:
  - 1. Initial Schedule
  - 2. Original Schedule
  - 3. Each monthly Schedule update
  - D. Progress Schedules and Reports shall be submitted on CD Roms or other electronic media, using software described in paragraph 1.4.E of Section 01 32 16 (in addition to hard copies specified in this paragraph 1.2.R.3. Electronic files shall be complete copies, including all programs and electronic coding

#### DESIGN DEVELOPMENT DRAWINGS AND SPECIFICATIONS 1.5

- A. Submit Design Development Drawings and Specifications as required by Document 01 10 01 (Summary of Work – Design Services).
- B. Submit in quantities and format as required by paragraph 1.2.R.3 of this Section 01 32 19.

### CONSTRUCTION DOCUMENTS AND SPECIFICATIONS 1.6

- A. Submit Construction Documents and Specifications as required by Document 01 10 01 (Summary of Work -Design Services).
- B. Submit in quantities and format as required by paragraph 1.2.R.3 of this Section 01 32 19.

### 1.7 PRODUCT DATA

- A. Submit Product Data in quantities and format as required by paragraph 1.3A.1 of this Section 01 32 19.
  - B. Ten Days prior to design phase system confirmation meeting(s), submit the complete list of major products proposed for use, with name of manufacturer, telephone number, trade name, and model number of each product. Tabulate product data by Specification Section.
  - C. For products specified only by reference standards, give manufacturer, trade name, model or catalog designation, and reference standards.
  - D. Product or Catalog Data:
    - 1. Manufacturer's standard drawings shall be modified to delete non-applicable data or include applicable data.
    - 2. Manufacturer's catalog sheets, brochures, diagrams, schedules, charts, illustrations and other standard descriptive data:
      - a. Mark each copy to identify pertinent materials, products, or models.
      - b. Show dimensions and clearances required, performance characteristics and capacities, wiring diagrams and controls.
      - Include applicable MSDS. c.
  - E. Supplemental Data:
    - 1. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturer's standard data to provide information unique to Project.
  - F. Provide final Project Record Data as described in Section 01 78 39 (Project Record Documents).

#### VIBRATION CONTROL DRAWINGS AND CALCULATIONS 1.8

Not applicable.

### 1.9 SHOP DRAWINGS

- A. Submit Schedule of Submittals in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.
- B. Minimum Sheet Size: 8<sup>1</sup>/<sub>2</sub> inches by 11 inches. All others: Multiples of 8<sup>1</sup>/<sub>2</sub> inches by 11 inches, 34 inches by 44 inches maximum.
- C. Mark each copy to identify applicable products, models, options, and other data; supplement manufacturers' standard data to provide information unique to Work.

- D. Include manufacturers' installation instructions when required by Specification Section.
- E. If Design-Build Entity submits Shop Drawings for items that Shop Drawings are not specified, District will not be obliged to review them.
- F. Design-Build Entity is responsible for procuring copies of Shop Drawings for its own use as it may require for the progress of the Work.
- G. Shop Drawings shall be drawn to scale and completely dimensioned, giving plan view together with such sectional views as are necessary to clearly show construction detail and methods.

## 1.10 SAMPLES

- A. Submit Schedule of Submittals in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.
- B. Submit full range of manufacturers' standard colors, textures, and patterns for District's selection.
- C. Submit samples to illustrate functional and aesthetic characteristics of product, with integral parts and attachment devices. Coordinate Submittal of different categories for interfacing work.
- D. Include identification on each sample, giving full information.
- E. Sizes: Unless otherwise specified, provide the following:
  - 1. Paint Chips: Manufacturers' standard
  - 2. Flat or Sheet Products: Minimum 6 inches square, maximum 12 inches square
  - 3. Linear Products: Minimum 6 inches, maximum 12 inches long
  - 4. Bulk Products: Minimum 1 pint, maximum 1 gallon
- F. Full size samples may be used in Work upon approval by District.
- G. Field Samples and Mock-ups (if applicable):
  - 1. Erect field samples and mock-ups at Site in accordance with requirements of Specification Sections. If testing is conducted, record and certify results and full Contract compliance.
  - 2. Modify or make additional field samples and mock-ups as required to provide appearance and finishes approved by District.
  - 3. Approved field samples and mock-ups may be used in Work upon approval by District.
  - 4. Construct or prepare as many additional Samples as may be required, as directed by the District, until desired textures, finishes, and/or colors are obtained.
  - 5. Accepted Samples and mock-up shall serve as the standard of quality for the various units of work.
- H. No review of a Sample shall be taken in itself to change or modify the requirements in the Contract Documents.
- I. Finishes, materials, and workmanship in the completed Work shall match accepted Samples.

## 1.11 INSTALLATION, OPERATIONS AND MAINTENANCE MANUALS

- A. Submit Installation, Operations and Maintenance Manuals in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.
- B. Submit Project Record Documents as required in Section 01 78 39 Project Record Documents.
- C. Design-Build Entity shall submit initial copies of the complete IOM manuals for review by the architect/engineer and commissioning agent within 45 calendar days after review of applicable Submittal.
- D. Design-Build Entity shall submit final IOM manuals prior to substantial completion.
  - 1. Prior to final completion, the commissioning agent shall review the final IOM manuals (in addition to the initial IOM manuals), and documentation, with redline as-builts, for systems that were commissioned to verify compliance with the specifications. The commissioning agent will communicate, through District, deficiencies in the manuals to the Design-Build Entity or Architect/Engineer, as requested.
  - 2. Upon successful review of the corrections, the commissioning agent will recommend approval and acceptance of the IOM manuals to District.
  - 3. The commissioning agent will also review each equipment warranty and verify that all requirements to keep the warranty valid are clearly stated. This work does not supersede the Architect/Engineer's review of the IOM manuals according to the Architect/Engineer's contract.

## 1.12 QUALITY ASSURANCE CONTROL SUBMITTALS

- A. Submit Schedule of Submittals in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.
- B. Test Reports:
  - 1. Indicate that material or product conforms to or exceeds specified requirements.

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2. Reports may be from recent or previous tests on material or product, but shall be acceptable to District. Comply with requirements of each individual Specification Section.

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## C. Certificates:

- 1. Indicate that material or product conforms to or exceeds specified requirements.
- 2. Submit supporting reference data, affidavits, and certifications as appropriate.
- 3. Certificates may be recent or from previous test results on material or product, but shall be acceptable to District.
- D. Manufacturers' Instructions:
  - 1. Include manufacturers' printed instructions for delivery, storage, assembly, installation, startup, adjusting, and finishing.
  - 2. Identify conflicts between manufacturers' instructions and Contract Documents.
- E. Material Safety Data Sheets:
  - 1. In addition to Material Safety Data Sheets (MSDS) otherwise required by the Contract Documents, submit MSDS for any paints, solvents, thinners, varnish, lacquer, glues and adhesives, mastics, or other materials needed for the Project as required by the individual Specification Sections or as otherwise specified in the Contract Documents.
  - 2. MSDS required for a Submittal shall be submitted with product data in order for the Submittal to be reviewed.

## 1.13 COMPUTER PROGRAMS

A. Submit Computer Programs in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.

B. Submit Computer Programs as listed in Section 01 78 39 Project Record Documents.

## 1.14 ENVIRONMENTAL IMPACT MITIGATION PLAN DOCUMENTS

- A. Submit Project Record Documents in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.
- B. Submit Noise Control Plan, Spill Prevention, Control and Countermeasure Program, Site Safety Plan, Hazardous Materials Program, Dust Control Plan, Erosion Control Plan, Cultural Resources Protection Plan, Traffic Control Plan, Tree Protection Plan, and Migratory Bird Protection Plan (if applicable) as listed in Section 01 35 00 Special Procedures.

## 1.15 **PROJECT RECORD DOCUMENTS**

A. Submit Project Record Documents in quantities as required by paragraph 1.2.R.3 of this Section 01 32 19.B. Submit Project Record Documents listed in Section 01 78 39 Project Record Documents.

## 1.16 DELAY OF SUBMITTALS

A. Delay of Submittals by Design-Build Entity is considered avoidable delay.

## 1.17 OPTIONAL REVIEW MEETING

- A. At the Design-Build Entity's request, in order to facilitate the timeliness of the review process, the District may schedule a meeting to review the materials submitted. If this option is exercised, the following requirements apply:
  - 1. Request a meeting date with the District at least ten (10) Business Days in advance.
  - 2. Provide the complete package of Submittal information at least five (5) Business Days in advance of the meeting.
  - 3. The meeting shall take place at District's office. District will provide the authorized staff to review and respond on the Submittal information during the meeting.
  - 4. Make available for this meeting the job superintendent and/or foreman, Design-Build Entity's safety officer, and someone knowledgeable of all the items submitted and authorized to make substitutions or changes.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

## END OF SECTION

## TRANSMITTAL SHEETS AND MAINTENANCE SHEET FOLLOW THIS PAGE

## Submittal Transmittal Form

## EXHIBIT A SUBMITTAL TRANSMITTAL NO. \_\_\_\_\_

Project Name:			Date Received:		
San Mateo County Community College District 3401 CSM Drive San Mateo, CA 94402			Checked By:		
		To:	Log Page:		
Address:		Address:			
				Specification Section Number:	
Attention:		Attention:		1 <sup>st</sup> Submittal	Resubmittal
Date Transmitted:		Previous Transmittal Date:			
No. Copies	Description		Manufacturer	Dwg. or Data No.	Action Taken*
Remarks:					

<ul> <li>A - No Exceptions Taken</li> <li>B - Make Corrections Noted (No Resubmission Required)</li> <li>C Make Corrections Noted and Resubmit</li> <li>D - Not Approved <ol> <li>Not enough information for review</li> <li>No reproducibles submitted</li> <li>Copies illegible</li> <li>Not enough copies submitted</li> <li>Wrong sequence number</li> <li>Wrong re-submittal number</li> <li>Wrong Specification section number</li> <li>Wrong form used</li> <li>See comments</li> </ol> </li> </ul>	- Distr 1. 2. 3. 4.	Submittal n Supplement information	not required not required ntal information. S nal purposed only n reviewed and a ents	у	
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<ul> <li>C. – Make Corrections Noted and Resubmit</li> <li>D – Not Approved <ol> <li>Not enough information for review</li> <li>No reproducibles submitted</li> <li>Copies illegible</li> <li>Not enough copies submitted</li> <li>Wrong sequence number</li> <li>Wrong re-submittal number</li> <li>Wrong Specification section number</li> <li>Wrong form used</li> </ol> </li> </ul>	3.	information Information	nal purposed only n reviewed and a	у	
<ul> <li>D - Not Approved <ol> <li>Not enough information for review</li> <li>No reproducibles submitted</li> <li>Copies illegible</li> <li>Not enough copies submitted</li> <li>Wrong sequence number</li> <li>Wrong re-submittal number</li> <li>Wrong Specification section number</li> <li>Wrong form used</li> </ol> </li> </ul>		Information	n reviewed and a		rior Submittal
<ol> <li>Not enough information for review</li> <li>No reproducibles submitted</li> <li>Copies illegible</li> <li>Not enough copies submitted</li> <li>Wrong sequence number</li> <li>Wrong re-submittal number</li> <li>Wrong Specification section number</li> <li>Wrong form used</li> </ol>				pproved on p	rior Submittal
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Comments					
Distribution:Design-Build Entity 🔲 File 🗌		IOR	District	CM □	Other
July 12, 2014 01 32 19 - Page	Page 10 of 11			Bid No. 86690	
District Office Restroom Renovation Project					

## EXHIBIT B

# INSTALLATION, OPERATION, AND MAINTENANCE MANUAL TRANSMITTAL NO. \_\_\_\_\_

Project Name:			Date Received:	
San Mateo County Community College District 3401 CSM Drive San Mateo, CA 94402			Checked By:	
DBE: To:		Log Page:		
Address: Address:		Specification Section Number:		
Attention:	Attention:		1 <sup>st</sup> Submittal □	Resubmittal
Date Transmitted:	Previous Transmittal Date:			
No.     Description       Copies		Manufacturer	Dwg. or Data No.	Action Taken*
Remarks:		·		

\* The action designated above is in accordance with the following legend:

- A No exceptions taken
- B Make Corrections Noted (No Resubmission Required)
- C. Make Corrections Noted and Resubmit
- D Not Approved- this manual Submittal is
- deficient in the following area:
  - 1. Equipment record sheets
  - 2. Functional description
  - 3. Assembly, disassembly, installation, alignment, adjustment, and checkout instructions
  - 4. Operating instructions

- D (continued)
  - 5. Lubrication and maintenance instructions
  - 6. Troubleshooting guide
  - 7. Parts list and ordering instructions
  - 8. Organization (indexing and tabbing)
  - 9. Wiring diagrams and schematics specific to installation
  - 10. Outline, cross section, and assembly diagrams
  - 11. Test data and performance curves
  - 12. Tag or equipment identification numbers
  - 13. See comments

## Comments

	Ву		Date			
Distribution:Design-Build Entity	File	IOR	District	CM □	Other	
July 12, 2014	01 32 19 - Page 11 of 11		Bid No. 86690			
District Office Restroom Renovation Project						

## SECTION 01 35 00

## SPECIAL PROCEDURES (COLLEGE OF SAN MATEO)

## PART 1 GENERAL

## 1.1 Summary

A. In compliance with CEQA requirements, the District conducted an Initial Study to ascertain whether the project might have a significant effect on the environment. The Initial Study identified potentially significant impacts on the environment. However, all potential impacts of the proposed project can be avoided or reduced to a less-than-significant level with implementation of the following mitigation measures.

## B. Section Includes:

- 1. Noise Control Plan
- 2. Spill Prevention, Control and Countermeasure Program
- 3. Site Safety Plan (Soil and Groundwater Management Plan)
- 4. Hazardous Materials Program
- 5. Dust Control Plan
- 6. Erosion Control Plan
- 7. Cultural Resources Protection Plan
- 8. Traffic Control Plan
- 9. Tree Protection Plan
- 10. Migratory Bird Nesting Protection Plan

## 1.2 Definitions

### 1.3 Submittals

- A. See Section 01 32 19 (Submittal Procedures).
- B. Name and address of the selected treatment, recycling, or disposal facilities for contaminated soil disposal.
- C. Hazardous waste manifests "if applicable."
- D. Non-hazardous waste manifests "if applicable."
- E. Facility weight tickets "if applicable."
- F. Spill Prevention, Control, and Countermeasure Program.

## 1.4 Noise Control Plan

- A. Implement the following noise-control measures to reduce and control noise generated from construction, demolition, and renovation-related activities.
  - Restrict noise-producing construction activities to between 7:00 a.m. and 7:00 p.m. on weekdays. If construction is scheduled for Saturdays or Sundays to avoid disrupting college operations, restrict noise-producing construction activities to 9:00 a.m. and 5:00 p.m. Construction on Sundays will be avoided if possible, and there will be no construction on public holidays. When activities must occur outside the hours specified above, conform to notification requirements of Section 01 10 00 (Summary of Work), Paragraph 1.7.C, and utilize local barriers around equipment and other noise attenuating devices if necessary to limit noise to acceptable levels.
  - 2. Construction equipment shall have appropriate mufflers, intake silencers, and noise-control features, and shall be properly maintained and equipped with exhaust mufflers that meet State standards.
  - 3. Vehicles and other gas- or diesel-powered equipment shall be prohibited from unnecessary warming up, idling, and engine revving.
  - 4. Post a sign at the construction site giving the name and telephone number or e-mail address of the District's Representative whom the public should contact with any noise complaints. If necessary due to complaints, provide additional noise-attenuating measures such as additional mufflers or engine shrouding.

### 1.5 Spill Prevention, Control and Countermeasure Program
- A. Prepare and implement a Spill Prevention, Control, and Countermeasure Program (SPCCP) to minimize the potential for and effects from spills of hazardous, toxic, or petroleum substances during construction and demolition activities. Obtain approval of the SPCCP before any construction or demolition activities begin.
- B. Contractor shall routinely inspect the construction area to verify that the measures specified in the SPCCP are properly implemented and maintained. Inform the District immediately if there is a noncompliance issue and take immediate measures to restore compliance.
- C. The federal reportable spill quantity for petroleum products, as defined in 40 CFR 110, is any oil spill that includes any of the following.
  - 1. Violates applicable water quality standards.
  - 2. Causes a film or sheen on or discoloration of the water surface or adjoining shoreline.
  - 3. Causes a sludge or emulsion to be deposited beneath the surface of the water or adjoining shorelines.
- D. If a spill is reportable, notify the District's Representative and take action to contact appropriate safety and clean-up crews to ensure that the SPCCP is followed.
  - 1. A written description of reportable releases must be submitted to the District's Representative and to the San Francisco Bay RWQCB. This submittal must contain a description of the spill, including the type of material and an estimate of the amount spilled, the date of the release, an explanation of why the spill occurred, and a description of the steps taken to prevent and control future releases. Document the releases on a spill report form.
  - 2. If a reportable spill has occurred and results determine that project activities have adversely affected surface water or groundwater quality, the District will engage a registered environmental assessor for a detailed analysis to identify the likely cause of contamination. This analysis will conform to American Society for Testing and Materials (ASTM) standards, and will include recommendations for reducing or eliminating the source or mechanisms of contamination.
  - 3. Based on this analysis, the Contractor shall select and implement measures to control contamination, with a performance standard that groundwater quality must be returned to baseline conditions. These measures will be subject to approval by the District.

## 1.6 Site Safety Plan (Soil and Groundwater Management Plan)

- A. Prior to excavation, prepare and submit a Site Safety Plan (Soil and Groundwater Management Plan) to protect people from known or previously undiscovered soil and groundwater contamination during construction activities. Obtain approval of the SPCCP before any construction or demolition activities begin.
- B. The Site Safety Plan (Soil and Groundwater Management Plan) shall, at a minimum, include the following:
  1. All construction activities involving work in proximity to potentially contaminated soils and/or
  - groundwater shall be undertaken in accordance with California Occupational Safety and Health Administration (Cal-OSHA) standards, contained in Title 8 of the CCR.
  - 2. Establish soil and groundwater mitigation and control specifications for construction activities, including health and safety provisions for monitoring exposure to construction workers, procedures to be undertaken in the event that previously unreported contamination is discovered, and emergency procedures and responsible personnel.
  - 3. Procedures for managing soils and groundwater removed from the site to ensure that any excavated soils and/or dewatered groundwater with contaminants are stored, managed, and disposed in accordance with applicable regulations.

## 1.7 Hazardous Materials Program

- A. If hazardous materials are encountered, they shall be handled in accordance with applicable local, state, and federal regulations which may include: (1) CCR Title 8, Division 4, Chapter 4, Sections 5163 through 5167 and 5192 (Hazardous Waste Operations and Emergency Response); (2) CCR, Title 22, Division 4.5, Chapters 10 through 13 and 18 (Environmental Health Standards for Management of Hazardous Waste); and (3) CCR Title 23, Division 3, Chapter 15 (Discharges of Hazardous Waste to Land).
- B. Should the discovery of contaminants cause delay to Contractor's operations, extension of Contract Time will be granted by District in accordance with Section 00 71 00 (General Conditions), and Section 01 32 16 (Progress Schedules and Reports). Contractor may not be entitled to damages or additional payment due to such delay. District may, if it believes appropriate in its sole discretion, grant an extension of Contract Time. The Contractor shall take all measures to avoid and/or mitigate delays due to Hazardous Materials/Waste finds such as; avoiding the area of the find and proceeding with other work on the project;

developing "work around" plans; and documenting his best efforts to avoid and/or mitigate delays. See Section 01 32 16 (Progress Schedules and Reports) regarding requirement to demonstrate Time Impacts. Subsurface Hazardous Materials

- C.
  - 1. If Contractor encounters subsurface contamination, the following provisions and precautionary measures shall be implemented during construction:
    - Contractor's personnel shall be alert for and immediately report to District's Representative any а detectable chemical odors, unusual debris, or discolored soil.
    - b. Disposal requirements: Soils containing hazardous materials shall be disposed by Contractor at permitted treatment, recycling, or disposal facilities in accordance with CCR Title 23, Division 3, Chapter 15 (Discharges of Waste to Land). Determine to which permitted treatment, recycling, or disposal facilities the soil will be delivered.
    - Dewatering: Construct, operate and maintain as required by applicable laws, codes and standards, c. and to complete the Work all necessary cofferdams, channels, pipes, flumes, drains, sumps, well points and protective works; and furnish, install, operate and maintain all necessary pumping and other equipment for dewatering the areas of Work suspected of containing hazardous materials; and control all surface flow and groundwater as may be encountered while performing the Work. Remove all water that may accumulate in the excavation while the Work progresses so that all Work can be performed in dry conditions. All contaminated water shall be removed from the excavation before it is backfilled. The excavation shall be kept free from water until backfilling has progressed to a height above the water source.
    - Water sampling and chemical analysis: Water samples shall be collected from the holding tanks d. and submitted to a State-Certified chemical analysis laboratory. Chemical analyses required for the samples shall at a minimum include: TPHg following EPA Test Methods 5030/8015 (modified); benzene, toluene, ethylbenzene, and total xylenes (BTEX) following EPA Test Method 8020: and chlorinated solvents following EPA Test Method 8010. Perform additional chemical analyses that may be required for disposal or recycling of the water. Laboratory chemical analysis reports associated with the water samples shall be provided to District's Representative.
    - Removal of dewatering equipment: After having served their purpose, all protective works, and e. dewatering pumps, shall be decontaminated and removed from the Site. Contractor is responsible for permanent disposal of all equipment that cannot be decontaminated or recycled in accordance with all applicable laws and regulations.
    - f. Fees: Pay for any fees associated with the treatment, recycling, or disposal of these soils. Any additional soil sampling and chemical analyses required for acceptance of the soil at facilities other than those described above may be deemed to be the responsibility of Contractor.
    - Transport: Transport the soils to the selected facilities under approved manifests and submit g. copies of these manifests and the facility weight tickets to District's Representative.
- D. Hazardous Building Materials
  - To protect construction workers and members of the public from known or undiscovered hazardous building materials, including asbestos and lead, undertake all demolition activities in accordance with Cal-OSHA standards, contained in Title 8 of the California Code of Regulations (CCR).
  - 2. During demolition activities, all building materials containing lead-based paint shall be removed in accordance with Cal-OSHA Lead in Construction Standard, Title 8, California Code of Regulations 1532.1.
  - All potentially friable asbestos-containing materials (ACMs) shall be removed in accordance with 3. National Emissions Standards for Hazardous Air Pollutants (NESHAP) guidelines prior to building demolition or renovation that may disturb the materials. Applicable standards include the following.
    - a. The facility shall be inspected before any renovation occurs in which 160 square feet or more of building materials or 260 linear feet or more of pipe insulation will be disturbed at a regulated facility, or any demolition occurs at a regulated facility.
    - b. An asbestos notification form shall be submitted to the Bay Area Air Quality Management District (BAAQMD) for any regulated asbestos abatement project or regulated demolition 10 working days before the activity begins.
    - If ACMs are discovered during a renovation or demolition, they must be removed before the c. project may proceed. Also, the Cal-OSHA and California Environmental Protection Agency (Cal-EPA) hazardous waste regulations apply in most cases.

## E. Naturally Occurring Asbestos

- 1. To protect construction workers and members of the public from exposure to known areas of naturallyoccurring asbestos (NOA), all ground disturbing activities will be undertaken in accordance with all applicable Cal-OSHA standards, contained in Title 8 of the California Code of Regulations (CCR). In addition, any ground-disturbing activity in an area that meets one or more of the applicability criteria for the Asbestos Airborne Toxic Control Measure (ATCM) for Construction, Grading, Quarrying, and Surface Mining Operations, as adopted by the California Air Resources Board (CARB), is subject to the requirements therein. Per section 93105(b) of the ATCM, these criteria are as follows:
  - a. The area to be disturbed is located in a geographic ultramafic rock unit; or
  - b. The area to be disturbed has naturally-occurring asbestos, serpentine, or ultramafic rock as determined by the owner / operator, or the Air Pollution Control Officer (APCO); or
  - c. Naturally-occurring asbestos, serpentine, or ultramafic rock is discovered by the District, a registered geologist, or the APCO in the area to be disturbed after the start of any construction, grading, quarrying, or surface mining operation.
- 2. For construction projects that disturb areas of 1 acre or less, implement standard dust mitigation measures before construction begins, and maintain each measure throughout the duration of the construction project. The following additional measures will be implemented in accordance with Section 93105 (e)(1) of the ATCM and will be undertaken in concurrence with the dust control measures identified in Paragraph 1.8 Dust Control Measures and Paragraph 1.9 Erosion Control Measures.
  - a. Equipment used during excavation, grading, and construction activities will be washed down before moving from the property onto a paved public road.
  - b. Any visible track-out on the paved public road will be cleaned using wet sweeping or a highefficiency particulate air (HEPA) filter equipped vacuum device within twenty-four hours.
- 3. For construction projects that disturb areas greater than 1 acre in size, submit an asbestos dust mitigation plan to the Bay Area Air Quality Management District (BAAQMD) for review and approval, in accordance with Section 93105(2)(A) of the ATCM, before the start of any construction or grading activity. The provisions of the dust mitigation plan will be implemented before construction begins, and will be maintained throughout the duration of the construction or grading activity. The asbestos dust mitigation plan will address the following:
  - a. Prevention of dust emissions offsite;
  - b. Control of dust for disturbed areas and storage piles;
  - c. Traffic control for on-site unpaved areas;
  - d. Control for earthmoving activities;
  - e. Track-out prevention;
  - f. Control for off-site transport;
  - g. Post-construction stabilization of disturbed areas;
  - h. Air monitoring for asbestos (if required by the APCO).

## 1.8 Dust Control Plan

A. Implement dust control measures to protect air quality during construction. To control dust emissions generated during construction, implement the following Bay Area Air Quality Management District (BAAOMD) measures for construction emissions of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections are an emission of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections are an emissions of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections are an emissions of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections are an emissions of portionate protection and the following Bay Area Air Quality Management District (BMAOMD) measures for construction emissions of portionate protections are an emissions of portionate protection and the following Bay Area Air Quality Management (BMAOMD) measures for constructions are an emissions of portionate protections are an emissions of portionate protections are an emissions are an em

(BAAQMD) measures for construction emissions of particulate matter over 10 microns in size (PM10):

- 1. Water all active construction areas at least twice daily.
- 2. Cover all trucks hauling soil, sand, and other loose materials, or require all trucks to maintain at least 2 feet of freeboard.
- 3. Pave, apply water three times daily, or apply (nontoxic) soil stabilizers on all unpaved access roads, parking areas, and staging areas at construction sites.
- 4. Sweep streets daily (with water sweepers) if visible soil material has been carried onto adjacent public streets.
- 5. Suspend excavation and grading activity when winds (instantaneous gusts) exceed 25 miles per hour.
- 6. Limit speed of vehicles to 15 miles per hour or less at construction sites.

## **1.9 Erosion Control Plan**

A. Implement erosion control measures to protect water quality during construction.

- 1. Cover or apply nontoxic soil stabilizers to inactive construction areas (previously graded areas inactive for ten days or more) that could contribute sediment to waterways.
- 2. Enclose and cover exposed stockpiles of dirt or other loose, granular construction materials that could contribute sediment to waterways.
- 3. Contain soil and filter runoff from disturbed areas by berms, vegetated filters, silt fencing, straw wattle, plastic sheeting, catch basins, or other means necessary to prevent the escape of sediment from the disturbed area.
- 4. Prohibit the placement of earth or organic material where it may be directly carried into a stream, marsh, slough, lagoon, or body of standing water.
- 5. Prohibit the following types of materials from being rinsed or washed into streets, shoulder areas, or gutters: concrete, solvents and adhesives, fuels, dirt, gasoline, asphalt, and concrete saw slurry.
- 6. Conduct dewatering activities according to the provisions of the Storm Water Pollution Prevention Plan (SWPPP). Prohibit placement of dewatered materials in local water bodies or in storm drains leading to such bodies without implementation of proper construction water quality control measures.

#### 1.10 Cultural Resources Protection Plan

- Α. If buried cultural resources, such as chipped or ground stone, historic debris, building foundations, or human bone or paleontological resources are discovered inadvertently during ground-disturbing activities, Contractor shall avoid any further disturbance of the materials and immediately discontinue earthwork within 100 feet of the find. Contractor shall notify District's Representative immediately upon encountering cultural resources. Contractor shall be prepared to move on to another location or phase of work, allowing sufficient time for District's Representative to evaluate the nature and significance of the find, and implement appropriate management procedures.
- Β. In the event that prehistoric human remains are encountered, further excavation or disturbance of the site shall cease immediately, pursuant to Health and Safety Code 7050.5. Contractor shall notify District's Representative immediately upon encountering human remains. Contractor shall move on to another location or phase of Work to allow proper assessment of the situation.
- C. If human remains of Native American origin are discovered during project construction, it will be necessary to comply with State laws relating to the disposition of Native American burials, which fall under the jurisdiction of the NAHC (Public Resources Code [PRC] Section 5097). Consequently, if any human remains are discovered or recognized in any location other than a dedicated cemetery, there will be no further excavation or disturbance of the site or any nearby area reasonably suspected to overlie adjacent human remains:
  - 1. until the San Mateo County Coroner has been informed and has determined that no investigation of the cause of death is required;
  - if the remains are of Native American origin 2.
    - the descendents of the deceased Native American(s) have made a recommendation to the a. landowner or the person responsible for the excavation work regarding means of treating or disposing of, with appropriate dignity, the human remains and any associated grave goods as provided in PRC Section 5097.98; or
    - the NAHC has been unable to identify a descendent or the descendent failed to make a b. recommendation within 24 hours after being notified by the NAHC.
- D. Contractor may be entitled to an increase in Contract Sum and Contract Time due to conditions described in this paragraph 1.4 of this Section 01 35 00. The Contractor shall take all measures to avoid and/or mitigate delays due to Cultural Resource finds such as; avoiding the area of the find and proceeding with other work on the project; developing "work around" plans; and documenting its best efforts to avoid and/or mitigate delays. See Section 01 32 16 (Progress Schedules and Reports) regarding requirement to demonstrate Time Impacts.

#### 1.11 **Traffic Control Plan**

- A. Develop and implement a traffic control plan to minimize the effects of construction traffic on the surrounding residential areas, as appropriate. Submit the plan to the District for review and approval. B.
  - The construction traffic control plan will include, at a minimum, the following requirements:
    - 1. Provide clearly marked pedestrian detours if any sidewalk or pedestrian walkway closures are necessary.

- 2. Provide clearly marked bicycle detours if heavily used bicycle routes must be closed, or if bicyclist safety would be otherwise compromised.
- 3. Provide crossing guards and/or flag persons as needed to avoid traffic conflicts and ensure pedestrian and bicyclist safety.
- 4. Use nonskid traffic plates over open trenches to minimize hazards.
- 5. Locate all stationary equipment as far away as possible from areas used heavily by vehicles, bicyclists, and pedestrians.
- 6. Notify and consult with emergency service providers and provide emergency access by whatever means necessary to expedite and facilitate the passage of emergency vehicles.
- 7. Avoid routing construction traffic through residential areas to the extent feasible. Prohibit mobilization and demobilization of heavy construction equipment during AM and PM peak traffic hours.
- 8. Provide access for driveways and private roads outside the immediate construction zone by using steel plates or temporary backfill, as necessary.
- 9. Prohibit construction worker parking in residential areas.

## **1.12** Tree Protection Plan

#### A. Definitions

- 1. Dripline: The area on the ground from the trunk of any tree to the point directly below the outermost tips of the foliage of that tree.
- 2. Root Protection Zone ("RPZ"): The areas enclosed with tree protection fencing as designated on the drawing(s).
- 3. Tree damage: Tree damage shall include, but not be limited to, the following: significant injury to the root system or other parts of a tree including burning, application of toxic substances, damaging through contact with equipment or machinery, changing the natural grade within the Dripline or RPZ, compacting the soil within the Dripline or RPZ, interfering with the normal water requirements of the tree, unauthorized trenching or excavating within the Dripline or RPZ, or unauthorized removal of more than 1/3 of the live wood, foliage, or roots.
- B. Root protection: No storage of materials or equipment will be allowed within the Dripline. Whenever possible, excavation shall be on a radial line, diverging from the tree trunk.
- C. Exposure to harmful substances: No storage or dumping of any substances that may be harmful to trees shall occur at any location on the Site.
- D. Where construction is to be performed in the vicinity of trees and shrubbery, the Work shall be carried on in a manner that will cause minimum damage. District will designate trees that are to be removed. Under no circumstances are additional trees to be removed without written permission from District. Trees and shrubbery that are not to be removed shall be protected from injury or damage resulting from Contractor's operations.
- E. Any tree that is removed without District's permission or is irreparably damaged, in the opinion of District, shall cost Contractor in damages [\$100.00] per square inch of cross section, measured at 4 ½ feet above ground, but not less than [\$250.00], such cost to be deducted from monies due or to become due under the Contract. If tree protection is not performed or is not performed adequately, and District determines that a tree has been irreparably damaged, Contractor shall pay the same amount of damages as for unauthorized removal of a tree. Contractor shall immediately report all tree damage to District, so that District may determine applicable damages.

## 1.13 Migratory Bird Nesting Protection Plan

- a. Conduct tree removal and building demolition outside of the migratory bird nesting season. The typical nesting season for migratory birds in this part of California is April 15 through July 31.
- b. If tree removal or building demolition must take place during the nesting season, these activities shall be preceded by a survey for nesting migratory birds. If bird nests are discovered in the trees or on the buildings, they shall not be removed while the nest(s) are active.

## PART 2 PRODUCTS - Not Used

## PART 3 EXECUTION - Not Used

## SECTION 01 41 00

## **REGULATORY REQUIREMENTS**

## PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes: regulatory requirements applicable to Contract Documents.
- B. Specific reference in the Specifications to codes and regulations or requirements of regulatory agencies shall mean the latest printed edition of each adopted by the regulatory agency in effect at the time of the opening of Proposals, except as may be otherwise specifically stated in the Contract Documents.
- C. No change order shall be considered for any change in any applicable federal, state or local code or regulation if similar language existed in an alternate applicable regulation in force at the time of opening of Proposals.
- D. The Design-Build Entity shall not allow design or construction of any conditions wherein the finished Work will not comply with current codes. No change order shall be considered by District for the correction of any Work not complying with code.

## **1.2 REFERENCES TO REGULATORY REQUIREMENTS**

- A. Codes, laws, ordinances, rules and regulations referred to shall have full force and effect as though printed in full in these Specifications. Code, laws, ordinances, rules and regulations are not furnished to Design-Build Entity, because Design-Build Entity is assumed to be familiar with these requirements. The listing of applicable codes, laws, and regulations for hazardous waste abatement Work in the Contract Documents is supplied to Design-Build Entity as a courtesy and shall not limit Design-Build Entity's responsibility for complying with all applicable laws, regulations or ordinances having application to the Work. Where conflict among the requirements or with these Specifications occurs, the most stringent requirements shall be used with no change in Contract Sum or Contract Time.
- B. Design-Build Entity shall conform to all applicable federal, state and local codes, laws, ordinances, rules and regulations, whether or not referenced in the Contract Documents.

## C. Precedence:

- 1. Where specified requirements differ from the requirements of applicable codes, ordinances and standards, the more stringent requirements shall take precedence.
- 2. Where Contract Documents require or describe products or execution of better quality, higher standard or greater size than required by applicable codes, ordinances and standards, Contract Documents shall take precedence so long as such increase is legal.
- 3. Where no requirements are identified on Contract Documents, comply with all requirements of applicable codes, ordinances and standards of governing authorities having jurisdiction.

## 1.3 CODES

- A. Codes that apply to Contract Documents include, but are not limited to, the following:
  - 1. CBC (Part 2, Title 24, CCR, including, without means of limitation, Sections 16A, 102A.23, 308, 420A, 504-506, 904.2.6, 1019 and 1604)
  - 2. CEC (Part 3, Title 24, CCR)
  - 3. CMC (Part 4, Title 24, CCR)
  - 4. CPC (Part 5, Title 24, CCR),
  - 5. State Elevator Safety Regulations (Part 7, Title 24, CCR)
  - 6. UBC
  - 7. UPC
  - 8. UMC

## 1.4 LAWS, ORDINANCES, RULES, AND REGULATIONS

- A. During prosecution of Work to be done under Contract Documents, comply with applicable laws, ordinances, rules and regulations, including, but not limited to, the following:
- B.
  - 1. Federal
    - a. Americans With Disabilities Act of 1990
    - b. 29 CFR, Section 1910.1001, Asbestos
    - c. 40 CFR, Subpart M, National Emission Standards for Asbestos
    - d. Executive Order 11246
    - e. Federal Endangered Species Act
    - f. Clean Water Act
  - 2. State of California
    - a. California Code of Regulations, Titles 5, 8, 19, 21, 22, 24 and 25
    - b. California Public Contract Code
    - c. California Health and Safety Code
    - d. California Government Code
    - e. California Labor Code
    - f. California Civil Code
    - g. California Code of Civil Procedure
    - h. CPUC General Order 95, Rules for Overhead Electric Line Construction
    - i. CPUC General Order 128, Rules for Construction of Underground Electric Supply and Communications Systems
    - j. Cal/OSHA
    - k. OSHA: Hazard Communications Standards
    - 1. California Endangered Species Act
    - m. Water Code
    - n. Fish and Game Code
  - 3. State of California Agencies
    - a. State and Consumer Services Agency
    - b. Office of the State Fire Marshall
    - c. Office of Statewide Health Planning and Development
    - d. Department of Fish and Game
    - e. Bay Area Air Quality Management District
    - f. San Francisco Bay Regional Water Quality Control Board
    - g. Division of the State Architect
  - 4. Local Agencies:
    - a. City of San Mateo (College of San Mateo)
    - b. City of San Bruno (Skyline College)
    - c. Town of Woodside, City of Redwood City (Cañada College)
    - d. County of San Mateo
    - e. San Mateo Fire Department (College of San Mateo)
    - f. San Bruno Fire Department (Skyline College)
    - g. Woodside Fire Department (Cañada College)
  - 5. Other Requirements:
    - a. National Fire Protection Association (NFPA): Pamphlet 101, Life Safety.
    - b. References on Drawings or in Specifications to "code" or "building code" not otherwise identified shall mean the codes specified in this Section 01 41 00, together with all additions, amendments, changes, and interpretations adopted by code authorities of the jurisdiction.
- C. Have access to all of the foregoing within 24 hours.
- D. Other Applicable Laws, Ordinances and Regulations:
  - 1. Work shall be accomplished in conformance with all applicable laws, ordinances, rules and regulations of federal, state, and local governmental agencies and jurisdictions having authority over the Project.

- 2. Work shall be accomplished in conformance with all rules and regulations of public utilities and utility districts.
- 3. Where such laws, ordinances rules, and regulations require more care or greater time to accomplish Work, or require better quality, higher standards or greater size of products, Work shall be accomplished in conformance to such requirements with no change to the Contract Time and Contract Sum, except where changes in laws, ordinances, rules and regulations occur subsequent to the time of opening of the Proposals.
- E. Under California Government Code Section 930.2 et. seq. and Public Contract Code Section 7105(d)(2), neither the Contract Claims Procedure (Document 00 71 00, Article 12) nor the Change Order Procedure (Section 01 26 00) may be modified, waived, or otherwise not complied with, absent a written change order that explicitly and expressly makes such modifications.

## 1.5 CONFLICTS

- A. Between referenced regulatory requirements: Comply with the one establishing the more stringent requirement.
- B. Between referenced regulatory requirements and Contract Documents: Comply with the one establishing the more stringent requirement.

## 1.6 REQUIRED PROVISIONS ON CONTRACT CLAIM RESOLUTION

- A. The California Public Contract Code specifies required provisions on resolving contract claims less than \$375,000, which are set forth below, and constitute a part of this Contract.
  - 1. For the purposes of this section, "Claim" means a separate demand by Design-Build Entity of \$375,000 or less for (1) a time extension, (2) payment or money or damages arising from Work done by or on behalf of Design-Build Entity arising under the Contract Documents and payment of which is not otherwise expressly provided for or the Claimant is not otherwise entitled to, or (3) an amount the payment of which is disputed by District. In order to qualify as a Claim, the written demand must state that it is a Claim submitted under paragraph 12 of Document 00 71 00 (General Conditions) and be submitted in compliance with all requirements of Document 00 71 00 (General Conditions), paragraph 12. Separate Claims which total more than \$375,000 do not qualify as a "separate demand of \$375,000 or less," as referenced above, and are not subject to this section.
  - 2. A voucher, invoice, payment application, or other routine or authorized form of request for payment is not a Claim for purposes of this section. If such request is disputed as to liability or amount, then the disputed portion of the submission may be converted to a Claim under this section by submitting a separate claim in claim in compliance with Contract Documents claim submission requirements.
  - 3. Caution. This section does not apply to tort claims and nothing in this section is intended nor shall be construed to change the time periods for filing tort claims or actions specified by Chapter 1 and Chapter 2 of Part 3 of Division 3.6 of Title 1 of the California Government Code.
- B. Procedure:
  - 1. The Claim must be in writing, submitted in compliance with all requirements of 00 71 00 (General Conditions), paragraph 12, including, but not limited to, the time prescribed by and including the documents necessary to substantiate the Claim, pursuant to Document 00 71 00 (General Conditions), paragraph 12. Claims must be filed on or before the day of final payment. Nothing in this section is intended to extend the time limit or supersede notice requirements for the filing of claims as set forth in Document 00 71 00 (General Conditions), paragraph 12 or elsewhere in the Contract Documents.
  - 2. For Claims of fifty thousand dollars (\$50,000) or less
    - a. District shall respond in writing within 45 days of receipt of the Claim, or
    - b. District may request in writing within 30 days of receipt of the Claim, any additional documentation supporting the Claim or relating to any defenses or claims District may have against Claimant.
    - 1) If additional information is thereafter required, it shall be requested and provided in accordance with this section upon mutual agreement of District and Claimant.
    - 2) District's written response to the Claim, as further documented, shall be submitted to Claimant within 15 days after receipt of further documentation or within a period of time

no greater than taken by Claimant in producing the additional information, whichever is greater.

- 3. For Claims over Fifty Thousand Dollars (\$50,000) and less than or equal to \$375,000:
  - a. District shall respond in writing within 60 days of receipt of the Claim, or
  - b. District may request in writing within 30 days of receipt of the Claim, any additional documentation supporting the Claim or relating to any defenses or claims District may have against Claimant.
  - 1) If additional information is thereafter required, it shall be requested and provided in accordance with this section, upon mutual agreement of District and Claimant;
  - 2) District's written response to the Claim, as further documented, shall be submitted to Claimant within 30 days after receipt of further documentation or within a period of time no greater than taken by Claimant in producing the additional information, whichever is greater.
- 4. Meet and Confer:
  - a. If Claimant disputes District's written response, or District fails to respond within the time prescribed above, Claimant shall notify District, in writing, either within 15 days of receipt of District's response or within 15 days of District's failure to timely respond, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon demand District will schedule a meet and confer conference within 30 days for settlement of the dispute.
  - b. Following the meet and confer conference, if the Claim or any portion remains in dispute, Claimant may file a claim as provided in Chapter 1 (commencing with Section 900) and Chapter 2 (commencing with Section 910) of Part 3 of Division 3.6 of Title 1 of the California Government Code. For purposes of those provisions, the running of the period of time within which a claim must be filed shall be tolled from the time Claimant submits its written claim as set forth in paragraph 12 of Document 00 71 00 (General Conditions), until the time that Claim is denied as a result of the meet and confer process, including any period of time utilized by the meet and confer process.

## 1.7 COMPLIANCE WITH AMERICANS WITH DISABILITIES ACT

A. Design-Build Entity acknowledges that, pursuant to the Americans with Disabilities Act (ADA), programs, services and other activities provided by a public entity to the public, whether directly or through a contractor, must be accessible to people with disabilities. Design-Build Entity shall provide the services specified in the Contract Documents in a manner that complies with the ADA and any and all other applicable federal, state and local disability rights legislation. Design-Build Entity agrees not to discriminate against people with disabilities in the provision of services, benefits or activities provided under this Agreement and further agrees that any violation of this prohibition on the part of Design-Build Entity, its employees, agents or assigns shall constitute a material breach of the Contract Documents.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

# SECTION 01 41 01

# **REGULATORY REQUIREMENTS - HAZARDOUS MATERIALS**

## PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Regulatory requirements applicable to Work in connection with hazardous waste abatement and disposal including, but not limited to, asbestos and asbestos-containing materials, lead-based paint, polychlorinated biphenyls, petroleum-contaminated soils and materials, construction and demolition debris and any other hazardous substance or hazardous waste.
- B. This Section supplements Section 01 41 00 (Regulatory Requirements) and the Work-specific listings of applicable regulatory requirements elsewhere in the Specifications.

## **1.2 REFERENCES TO REGULATORY REQUIREMENTS**

- A. Codes, laws, ordinances, rules and regulations applicable to the Work shall have full force and effect as though printed in full in Contract Documents. Codes, laws, ordinances, rules and regulations are not furnished to Contractor, because Contractor is assumed to be familiar with their requirements. The listing herein of applicable codes, laws, and regulations for hazardous waste abatement work is supplied to Contractor as a courtesy and shall not limit Contractor's responsibility for complying with all applicable laws, regulations or ordinances having application to the Work. Where conflict among the requirements or with these Specifications exists, the most stringent requirements shall be used.
- B. Conform to all applicable codes, laws, ordinances, rules and regulations that are in effect on date of contracting.

## 1.3 LAWS, ORDINANCES, RULES, AND REGULATIONS

- A. During prosecution of Work under Contract Documents, Contractor shall comply with applicable laws, ordinances, rules and regulations including, but not limited to, those listed below.
- B. Federal:
  - 1. Statutory Requirements:
    - a. Resource Conservation and Recovery Act, 42 U.S.C. Sections 6901 et seq.
    - b. Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended by the Superfund Amendments and Reauthorization Act of 1986, 42 U. S.C. Sections 9601 *et seq.*
    - c. Toxic Substances Control Act of 1976, 15 U.S.C., Sections 2601 et seq.
    - d. Hazardous Materials Transportation Act of 1975, 49 U.S.C. Sections 1801 et seq.
    - e. Clean Water Act, 33 U.S.C. Sections 1251 et seq.
    - f. Safe Drinking Water Act, 42 U.S.C., Sections 3001 et seq.
    - g. Clean Air Act, Section 112, 42 U.S.C., Section 7412
    - h. Occupational Safety and Health Act of 1970, 29 U.S.C., Sections 651 et seq.
    - i. Underground Storage Tank Law, 42 U.S.C., Sections 6991 et seq.
    - j. The Emergency Planning and Community Right to Know Act of 1986, 42 U.S.C., Sections 11011 et seq.
  - 2. Environmental Protection Agency (EPA):
    - a. 40 C.F.R. Parts 260, 264, 265, 268, 270
    - b. 40 C.F.R. Parts 258 *et seq.*
    - c. 40 C.F.R. Part 761
    - d. 40 C.F.R. Parts 122-124
  - 3. Occupational Safety and Health Administration (OSHA):
    - a. OSHA Worker Protection Standards, Title 29 C.F.R. Part 1926.58, Construction Standards and 29 C.F.R. 1910.1001 General Industry Standard

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- b. OSHA, 29 C.F.R. Part 1926.1101, Construction Standards for Asbestos
- c. OSHA, Lead Exposure in Construction: Interim Final Rule, 29 C.F.R. 1926.62
- d. National Emission Standard for Hazardous Air Pollutants, Title 40 C.F.R. Part 61
- e. Asbestos Hazardous Emergency Response Act, Title 40 C.F.R. 763
- 4. Department of Transportation:
  - a. Title 49 C.F.R. 173.1090
  - b. Title 49 C.F.R. 172
  - c. Title 49 C.F.R. 173
  - d. DOT, HM 181 and MH126f
- C. State of California Requirements:
  - 1. Statutory Law:
    - a. The Carpenter-Presley-Tanner Hazardous Substance Account Act, Health & Safety Code, Sections 25300 *et seq.*
    - b. Health and Safety Code, Section 25359.4
    - c. Hazardous Waste Control Law, Health & Safety Code, Sections 25100 et seq.
    - d. Porter-Cologne Water Quality Control Act, Water Code, Sections 13000 et seq.
    - e. Health and Safety Code, Sections 25915-25924
    - f. California Labor Code Chapter 6, including, without limitation, Sections 6382, 6501.5-6501.9, 6503.5, 9021.5, 9080
    - g. Business and Professions Code, including without limitation, Sections 7058.5, 7065.01, 7118.5
    - h. Underground Storage of Hazardous Substance Act, Health and Safety Code, Sections 25280 et seq.
    - i. Petroleum Underground Storage Tank Cleanup, Health and Safety Code, Sections 25299.10 et seq.
    - j. Safe Drinking Water and Toxic Enforcement Act of 1986, Health & Safety Code, Sections 25249.5 *et seq.* (Proposition 65)
    - k. Above Ground Petroleum Storage Act, Health and Safety Code, Sections 25270 et seq.
    - 1. Hazardous Materials Release Response Plans and Inventory, Health and Safety Code, Chapter 6.95
  - 2. Administrative Code and Regulations:
    - a. Title 22 CCR Division 4.5, Environmental Health Standards for the Management of Hazardous Waste, Sections 6600 *et seq.*
    - b. Cal/OSHA Worker Protection Standards, Title 8 CCR, Sections 1529, 5208
    - c. Title 8 CCR, Section 1532.1, Lead in Construction
    - d. Title 23 CCR, Sections 2610 et seq.
  - 3. Local Agency Requirements:
    - a. Bay Area Air Quality Management District, Fugitive Dust Rules
    - b. Bay Area Air Quality Management District Regulation 11-2-303
    - c. State Water Resource Control Board, General Construction Activity Stormwater Permit Requirements (Order 92-OS DWQ)
  - 4. Local Agency Requirements:
    - a. Woodside Fire Dept, Cañada College
    - b. San Mateo Fire Department, College of San Mateo
    - c. San Bruno Fire Dept. Skyline College

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

## SECTION 01 42 00

## **REFERENCES AND DEFINITIONS**

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section Includes: Reference standards, abbreviations, symbols, and definitions used in Contract Documents.
- B. Full titles are given in this Section for standards cited in other Sections of Specifications.
- C. Material and workmanship specified by reference to number, symbol, or title of specific standard such as state standard, commercial standard, federal specifications, technical society, or trade association standard, or other similar standard, shall comply with requirements of standards except when more rigid requirements are specified or required by applicable codes.
- D. Standards referred to, except as modified herein, shall have full force and effect as though printed in the Contract Documents. Standards are not furnished to Design-Build Entity because manufacturers and trades involved are assumed to be familiar with their requirements.

#### 1.2 REFERENCE TO STANDARDS AND SPECIFICATIONS OF TECHNICAL SOCIETIES; REPORTING AND RESOLVING DISCREPANCIES

- A. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to the laws or regulations of any governmental authority, whether such reference be specific or by implication, shall mean the latest standard, specification, manual, code, or laws or regulations in effect at the time of opening of Proposals, except as may be otherwise specifically stated in the Contract Documents.
- B. If during the performance of the Work, Design-Build Entity discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents or between the Contract Documents and any provision of any such law or regulation applicable to the performance of the Work or of any such standard, specification, manual, or code or of any instruction of any supplier, Design-Build Entity shall report it in writing at once to Inspector, with copies to District's Representative and Architect/Engineer, and Design-Build Entity shall not proceed with the Work affected thereby until consent to do so is given by District.
- C. Except as otherwise specifically stated in the Contract Documents or as may be provided by Change Order, CCD, or Supplemental Instruction, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between the provisions of the Contract Documents and:
  - 1. The provisions of any such standard, specification, manual, code, or instruction (whether or not specifically incorporated by reference in the Contract Documents); or
  - 2. The provisions of any such laws or regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such law or regulation).
- D. No provision of any such standard, specification, manual, code, or instruction shall be effective to change the duties and responsibilities of District, District's Representative or Design-Build Entity, or any of their subcontractors, consultants, agents, or employees, from those set forth in the Contract Documents, nor shall it be effective to assign to District, or any of their consultants, agents, representatives or employees any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.
- E. Comply with the applicable portions of standards and specifications published by the technical societies, institutions, associations, and governmental agencies referred to in Specifications.
  - 1. Comply with referenced standards and specifications; latest revision in effect at the time of opening of Proposals, unless otherwise identified by date.

- a. Exception: Comply with issues in effect as listed in governing legal requirements.
- F. Referenced Grades, Classes, and Types: Where an alternative or optional grade, class, or type of product or execution is included in a reference but is not identified in Drawings or in Specifications, provide the highest, best, and greatest of the alternatives or options for the intended use and prevailing conditions.
- G. Jobsite Copies:
  - 1. Obtain and maintain at the Site copies of reference standards identified on Drawings and in Specifications in order to properly execute the Work.
  - 2. At a minimum, the following shall be readily available at the Site:
    - a. Safety Codes: State of California, Division of Industrial Safety regulations.
- H. Edition Date of References:
  - 1. When an edition or effective date of a reference is not given, it shall be understood to be the current edition or latest revision published as of the date of opening Proposals.
  - 2. All amendments, changes, errata and supplements as of the effective date shall be included.
- I. ASTM and ANSI References: Specifications and Standards of the American Society for Testing and Materials (ASTM) and the American National Standards Institute (ANSI) are identified in the Drawings and Specifications by abbreviation and number only and may not be further identified by title, date, revision, or amendment. It is presumed that Design-Build Entity is familiar with and has access to these nationally- and industry-recognized specifications and standards.

## **1.3 ABBREVIATIONS**

A. Listed hereinafter are the various organizations or references which may appear in the Contract Documents, along with their respective acronyms and/or abbreviations:

AA	Aluminum Association
AABC	Associated Air Balance Council
AAMA	Architectural Aluminum Manufacturers Association
AAP	Affirmative Action Program
AASHTO	American Association of State Highway and Transportation Officials
ABMA	American Boiler Manufacturers Association
ABPA	American Board Products Association
ACI	American Concrete Institute
AED	Association of Equipment Distributors
AGA	American Gas Association
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction
AMCA	Air Moving and Conditioning Association, Inc.
ANSI	American National Standards Institute (formerly American Standards Association)
APA	American Plywood Association
ARI	Air-Conditioning and Refrigeration Institute
ASHRAE	American Society of Heating, Refrigeration, and Air-Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
AWCI	Association of the Wall and Ceiling Industries
AWPA	American Wood- Preservers Association
AWPB	American Wood Preservers Bureau
AWS	American Welding Society
AWWA	American Water Works Association
BAAQMD	Bay Area Air Quality Management District
BIL	Basic Insulation Level
Cal/OSHA	California Occupational Safety and Health Administration
Caltrans	State of California, Department of Transportation
CBC	California Building Code
CCD	Construction Change Directive

CCR	California Code of Regulations
CEC	California Electric Code
CFR	Code of Federal Regulations
CISPI	•
	Cast Iron Soil Pipe Institute Chain Link Fence Manufacturers Institute
CLMFI	
CMC	California Mechanical Code
CO	Change Order
CPC	California Plumbing Code
CPM	Critical Path Method
CPUC	California Public Utilities Commission
CRA	California Redwood Association
CRSI	Concrete Reinforcing Steel Institute
CS	Commercial Standards, U.S. Department of Commerce
CSA	Canadian Standards Association
CTI	Ceramic Tile Institute
DBE	Design-Build Entity
DHI	Door and Hardware Institute
DSA	Division of State Architect (formerly known as the Office of the State Architect)
EPA	
	Environmental Protection Agency
FGMA	Flat Glass Marketing Association
FM	Factory Mutual
FS	Federal Specifications
GA	Gypsum Association
HPMA	Hardwood Plywood Manufacturers Association
HVAC	Heating, Ventilating and Air Conditioning
I.D.	Identification
IACS	International Annealed Copper Standards
IAPMO	International Association of Plumbing and Mechanical Officials
ICBO	International Conference of Building Officials
ICEA	Insulated Cable Engineers Association
IEEE	Institute of Electrical and Electronic Engineers, Inc.
IES	Illuminating Engineering Society
ISA	Instrumentation Society of America
JATC	Joint Apprenticeship Training Committee
JV	Joint Venture
LBE	Local Business Enterprise
M.I.	Middle Initial
M/WBE	Minority and/or Woman-Owned Business Enterprise
MBE	Minority Business Enterprise
MIA	Masonry Institute of America
MIA	Marble Institute of America
MLSFA	Metal Lath/Steel Framing Association
MS	Military Specifications
MSDS	Material Safety Data Sheet
MSS	Manufacturers Standardization Society of the Valve & Fitting Industry
NAAMM	National Association of Architectural Metal Manufacturers
NACE	National Association of Corrosion Engineers
NBS	National Bureau of Standards
NEC	National Electric Code
NEMA	National Electric Manufacturers Association
NESC	National Electrical Safety Code
NFPA	National Fire Protection Association
NFPA	National Forest Products Association
NIOSH	National Institute for Occupational Safety and Health
NIST	National Institute of Science and Technology (formerly the National Bureau of Standards)
NOFMA	National Oak Flooring Manufacturers Association

NTMANational Terrazzo & Mosaic AssociationNWWDANational Wood Windows and Doors AssociationOSHAOccupational Safety and Health AdministrationOSHPDOffice of Statewide Health Planning and DepartmentPCAPortland Cement AssociationPCIPrestressed Concrete InstitutePDIPlumbing and Drainage InstitutePG&EPacific Gas and Electric CompanyPMPreventive MaintenancePRProposal RequestPSProduct Standard, U. S. Department of CommerceRFIRequest for InformationRFPRequest for SubstitutionRISRedwood Inspection ServiceSDISteel Deck InstituteSFMSteel Dock InstituteSFMSteel Jonit InstituteSMACNASheet Metal and Air Conditioning Contractors National AssociationSPIBSouthern Pine Inspection BureauSSPCSteel Structures Painting CouncilSWISteel Window InstituteSWPPPStorm Water Pollution Prevention PlanTCATile Council of AmericaTIETime Inpact EvaluationUBCUniform Building CodeUFCUniform Michanical CodeULUnderwriters' Laboratories, Inc.UMCUniform Plenspection BureauUSCUnited States CodeWCLIBWest Coast Lumber Inspection BureauWHIWarnock Hersey International a testing labWWPAWestern Wood Products Association	NSF	National Sanitation Foundation
NWWDANational Wood Windows and Doors AssociationOSHAOccupational Safety and Health AdministrationOSHPDOffice of Statewide Health Planning and DepartmentPCAPortland Cement AssociationPCIPrestressed Concrete InstitutePDIPlumbing and Drainage InstitutePG&EPacific Gas and Electric CompanyPMPreventive MaintenancePRProposal RequestPSProduct Standard, U. S. Department of CommerceRFIRequest for InformationRFPRequest for SubstitutionRISRedwood Inspection ServiceSDISteel Deck InstituteSFMState of California, Office of State Fire MarshalSIGMASealed Insulating Glass Manufacturers AssociationSJISteel Joint InstituteSMACNASheet Metal and Air Conditioning Contractors National AssociationSPIBSouthern Pine Inspection BureauSSPCSteel Vindow InstituteSWPPStorm Water Pollution Prevention PlanTCATile Council of AmericaTIETime Impact EvaluationUBCUniform Building CodeUFCUniform Mechanical CodeUPCUniform Mechanical CodeUPCUniform Plumbing CodeUSAUnderground Service AlertUSCUnited States CodeWHIWarnock Hersey International a testing labWHIWarnock Hersey International a testing lab	NTMA	National Terrazzo & Mosaic Association
OSHPDOffice of Statewide Health Planning and DepartmentPCAPortland Cement AssociationPCIPrestressed Concrete InstitutePDIPlumbing and Drainage InstitutePG&EPacific Gas and Electric CompanyPMPreventive MaintenancePRProposal RequestPSProduct Standard, U. S. Department of CommerceRFIRequest for InformationRFSRequest for ProposalsRFSRequest for SubstitutionRISRedwood Inspection ServiceSDISteel Deck InstituteSFMState of California, Office of State Fire MarshalSIGMASealed Insulating Glass Manufacturers AssociationSJISteel Joint InstituteSMACNASheet Metal and Air Conditioning Contractors National AssociationSPIBSouthern Pine Inspection BureauSSPCSteel Structures Painting CouncilSWISteel Window InstituteSWPPPStorm Water Pollution Prevention PlanTCATile Council of AmericaTIETime Impact EvaluationUBCUniform Building CodeULCUniform Mechanical CodeUPCUniform Plumbing CodeUSAUnderground Service AlertUSCUnide States CodeWHIWarnock Hersey International a testing labWICWoodwork Institute of California	NWWDA	National Wood Windows and Doors Association
OSHPDOffice of Statewide Health Planning and DepartmentPCAPortland Cement AssociationPCIPrestressed Concrete InstitutePDIPlumbing and Drainage InstitutePG&EPacific Gas and Electric CompanyPMPreventive MaintenancePRProposal RequestPSProduct Standard, U. S. Department of CommerceRFIRequest for InformationRFSRequest for ProposalsRFSRequest for SubstitutionRISRedwood Inspection ServiceSDISteel Deck InstituteSFMState of California, Office of State Fire MarshalSIGMASealed Insulating Glass Manufacturers AssociationSJISteel Joint InstituteSMACNASheet Metal and Air Conditioning Contractors National AssociationSPIBSouthern Pine Inspection BureauSSPCSteel Structures Painting CouncilSWISteel Window InstituteSWPPPStorm Water Pollution Prevention PlanTCATile Council of AmericaTIETime Impact EvaluationUBCUniform Building CodeULUnderwriters' Laboratories, Inc.UMCUniform Mechanical CodeUPCUniform Plumbing CodeUSAUnderground Service AlertUSCUnide States CodeWHIWarnock Hersey International a testing labWICWoodwork Institute of California	OSHA	Occupational Safety and Health Administration
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WIC Woodwork Institute of California	WCLIB	
	WHI	Warnock Hersey International a testing lab
WWPA Western Wood Products Association	WIC	Woodwork Institute of California
	WWPA	Western Wood Products Association

B. Abbreviations in Specifications:

AWG	American Wire Gauge
accord	Accordance
Co.	Company
Corp.	Corporation
cm.	centimeter (centimeters)
cu.	Cubic
Div.	Division
dia.	diameter
ft.	foot (feet)
g./gr.	gram (grams)
gal.	gallon (gallons)
gpd	gallons per day
gpm	gallons per minute
hr.	hour
kg.	kilogram (kilograms)

in. Inc. km. Kw l. Ibs. m Mfg. Mfg. Mfg. Mfg. Mfg. Mfg. Mfg. Mfg.	inch (inches) Incorporated kilometer (kilometers) Kilowatt liter (liters) pounds meter (meters) manufacturing milligram (milligrams) milliliter (milliliters) millimeter (millimeters) number on centers outside diameter pounds per square inch pounds per square foot square tongue and groove
sq.	

C. Abbreviations on Drawings:

Additional abbreviations, used only on drawings, are indicated thereon.

## 1.4 SYMBOLS

A. Symbols in Specifications:

:	"shall be" or "shall" - where used within sentences or paragraphs
#1	Number
1#	Pound
&	And
%	Percent
С	Centigrade
F	Fahrenheit
0	Degree
/	per, except where used to combine words; example: power/fuel, and in that case it means and
"	inch (inches)
•	foot (feet)
@	At

B. Symbols on Drawings:

Symbols, used only on Drawings, are indicated thereon.

## 1.5 **DEFINITIONS**

- A. Wherever any of the words or phrases defined below, or a pronoun used in place thereof, is used in any part of the Contract Documents, it shall have the meaning here set forth. In the Contract Documents, the neuter gender includes the feminine and masculine, and the singular number includes the plural. While District has made an effort to identify all defined terms with initial caps, the following definitions shall apply regardless of case unless the context otherwise requires:
  - 1. Addenda: Written or graphic instruments issued prior to the opening of Proposals, which clarify, correct, or change the bidding requirements or the Contract Documents. Addenda shall not include the minutes of the Pre-Proposal Conference and/or Site Visit.

- 2. Agreement (Document 00 52 00): Agreement is the basic contract document that binds the parties to design & construction Work. Agreement defines relationships and obligations between District and Design-Build Entity and by reference incorporates Conditions of Contract and contains Addenda and all Modifications subsequent to execution of Contract Documents.
- 3. Alternate: Work added to or deducted from the Base Proposal, if accepted by District.
- 4. Application for Payment: Written application for monthly or periodic progress or final payment made by Design-Build Entity complying with the Contract Documents.
- 5. Approved Equal: Approved in writing by District as being of equivalent quality, utility and appearance.
- 6. Architect/Engineer: Unless otherwise obviously intended, "Architect/Engineer" shall mean a person holding a valid California State Architect's or Engineer's license representing District in the preparation of the Construction Documents. A Consultant Architect/Engineer may also be connection with the Project. The Consulting Architect/Engineer (if used) may be an employee of or an independent consultant to District. When a Consultant Architect/Engineer is referred to within the Contract Documents and no Consultant Architect/Engineer has in fact been designated, then the matter shall be referred to District. The term Architect/Engineer shall be construed to include employees of Architect/Engineer and/or employees that Architect/Engineer supervises. When the designated Consultant Architect/Engineer is an employee of District, his or her authorized representatives on the Project will be included under the term Consultant Architect/Engineer. If Consultant Architect/Engineer is an employee of District, including without limitation, all releases and indemnities. Refer to Section 341, Part 1, Title 24, California Code of Regulations.
- 7. Asbestos: Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by OSHA or Cal/OSHA.
- 8. Bid: The offer or proposal of the Bidder submitted on the prescribed form(s) setting forth the prices for the Work to be performed. Also referred to in the Contract Documents as the Proposal. The terms Bid and Proposal in the Contract Documents both refer to the Proposal.
- 9. Bidder: One who submits a Bid. Also referred to in the Contract Documents as a Proposer. The terms Bidder and Proposer in the Contract Documents both refer to the Proposer
- 10. Bidding Documents: All documents comprising the Project Manual (including all documents and specification sections listed on Document 00 01 10 [Table of Contents]), including documents supplied for bidding purposes only and Contract Documents.
- 11. Board: The District's Board of Trustees.
- 12. Bridging Documents: Drawings, Specifications, Adjacency Diagrams, Room Data Sheets, and other materials provided by District and included or referenced in the Project Manual to define the design intent, quality level, materials and products to be used in the Project. See Section 01 10 00 (Summary of Work), paragraph 1.2.
- 13. Business Day: Any Day other than Saturday, Sunday, and the following days that have been designated as holidays by District. If a holiday falls on a Saturday, the preceding Friday will be the holiday. If a holiday falls on a Sunday, the following Monday will be the holiday. Refer to the District's web site for a list of District observed holidays.
- 14. By District: Work that will be performed by District or its agents at the District's expense.
- 15. By Others: Work that is outside scope of Work to be performed by Design-Build Entity under this Contract, which will be performed by District, other contractors, or other means.
- 16. Change Order: A written instrument prepared by District and signed by District and Design-Build Entity, stating their agreement upon all of the following:
  - a. a change in the Work;
  - b. the amount of the adjustment in the Contract Sum, if any; and
  - c. the amount of the adjustment in the Contract Time, if any.

- 17. Code Inspector: A local or state agency responsible for the enforcement of applicable codes and regulations.
- 18. Compliance Officer: Is defined in Document 00806 (Labor Compliance Program) (if used).
- 19. Concealed: Work not exposed to view in the finished Work, including within or behind various construction elements.
- 20. Construction Change Directive: A written order prepared and signed by District, directing a change in the Work and stating a proposed basis for adjustment, if any, in the Contract Sum or Contract Time, or both.
- 21. Construction Documents: Is defined in Section 01 10 01 (Summary of Work Design Services).
- 22. Consultant: <u>See</u> Document 00805 (Supplemental General Conditions Hazardous Materials) (if included).
- 23. Consulting Architect/Engineer: See Document 00 52 00 (Agreement) (if this term is used).
- 24. Construction Manager: See Document 00 52 00 (Agreement) (if this term is used).
- 25. Contract Conditions: Consists of two parts: General Conditions and Supplemental Conditions.
  - a. General Conditions are general clauses that are common to the District Contracts, including Document 00 71 00.
  - b. Supplemental conditions modify or supplement General Conditions to meet specific requirements for this Contract, including Document 00 73 00, Document 00 73 01 (if included) and Document 00 73 05 (if included).
- 26. Contract Documents and Contract: Contract Documents and Contract shall consist of the documents identified as the Contract Documents in Document 00 52 00 (Agreement), plus all changes, addenda, and modifications thereto.
- 27. Contract Modification: Either:
  - a. a written amendment to Contract signed by Design-Build Entity and District; or
  - b. a Change Order; or
  - c. a Construction Change Directive; or
  - d. a written directive for a minor change in the Work issued by District.
- 28. Contract Sum: The sum stated in the Agreement and, including authorized adjustments, the total amount payable by District to Design-Build Entity for performance of the Work and the Contract Documents. The Contract Sum is also sometimes referred to as the Contract Price or the Contract Amount.
- 29. Contract Time: The number or numbers of Days or the dates stated in the Agreement:
  - a. to achieve Substantial Completion of the Work or designated Milestones; and/or
  - b. to complete the Work so that it is ready for final payment and is accepted.
- 30. Contractor: Design-Build Entity.
- 31. Day: One calendar day of 24 hours measured from midnight to the next midnight, unless the word "day" is specifically modified to the contrary.
- 32. Design-Build Entity: The person or entity identified as such in the Agreement and referred to throughout the Contract Documents as if singular in number and neutral in gender. The term "Design-Build Entity" means the Design-Build Entity or its authorized representative.
- 33. Design-Build Entity's Employees: Persons engaged in execution of Work under Contract as direct employees of Design-Build Entity, as Subcontractors, or as employees of Subcontractors.
- 34. Defective: An adjective which, when modifying the word "Work," refers to Work that is unsatisfactory or unsuited for the use intended, faulty, or deficient, that does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents (including but not limited to approval of samples and "or equal" items), or has been damaged prior to final payment (unless responsibility for the protection thereof has been assumed by District). District is the judge of whether Work is defective.

- 35. Design Development Documents: Is defined in Section 01 10 01 (Summary of Work Design Services).
- 36. District: The San Mateo County Community College District.
- 37. District-Furnished, DBE-Installed: Items furnished by District at its cost for installation by Design-Build Entity at its cost under Contract Documents.
- 38. District's Representative(s): See Document 00 52 00 (Agreement).
- 39. Drawings: The graphic and pictorial portions of Contract Documents, wherever located and whenever issued, showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules and diagrams.
- 40. Equal: Equal in opinion of District. Burden of proof of equality is responsibility of Design-Build Entity.
- 41. Exposed: Work exposed to view in the finished Work, including behind louvers, grilles, registers and various other construction elements.
- 42. Final Acceptance or Final Completion: District's acceptance of the Work as satisfactorily completed in accordance with Contract Documents. Requirements for Final Acceptance/Final Completion include, but are not limited to:
  - a. All systems having been tested and accepted as having met requirements of Contract Documents.
  - b. All required instructions and training sessions having been given by Design-Build Entity.
  - c. All Project Record Documents having been submitted by Design-Build Entity, reviewed by District and accepted by District.
  - d. All punch list work, as directed by District, having been completed by Design-Build Entity.
  - e. Generally all Work, except Design-Build Entity maintenance after Final Acceptance, having been completed to satisfaction of District.
- 43. Force Account: Work directed to be performed without prior agreement as to lump sum or unit price cost thereof, and which is to be billed at cost for labor, materials, equipment, taxes, and other costs, plus a specified percentage for overhead and profit.
- 44. Furnish: Supply only, do not install.
- 45. Holiday: District's recognized holidays are New Year's Day, Martin Luther King's Birthday, Lincoln's Day, President's Day, Memorial Day, Independence Day, Labor Day, Veteran's Day, Thanksgiving Day and the day following Thanksgiving, Last workday before Christmas, Christmas Day, and All workdays between Christmas Day and New Year's Day.
- 46. Indicated: Shown or noted on the Drawings.
- 47. Inspector. The person engaged by District to inspect the workmanship, materials, or manner of construction of buildings or portions of buildings, to determine if such construction complies with the Contract Documents and applicable codes. The Inspector is subject to approval by the District and, as appropriate, Division of the State Architect, and he will report to District. Refer to section 4-333 and section 4-342, Part 1, Title 24, California Code of Regulations.
- 48. Install: Install or apply only, do not furnish.
- 49. Latent: Not apparent by reasonable inspection, including but not limited to, the inspections and research required as a condition to bidding under the General Conditions.
- 50. Law: Unless otherwise limited, all applicable laws including without limitation all federal, state, and local laws, statutes, standards, rules, regulations, ordinances, and judicial and administrative decisions
- 51. Material: This word shall be construed to embrace machinery, manufactured articles, materials of construction (fabricated or otherwise), and any other classes of material to be furnished in connection with Contract, except where a more limited meaning is indicated by context.
- 52. Milestone: A principal event specified in Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all Work.
- 53. Modification: Same as Contract Modification.

- 54. Not in Contract: Work that is outside the scope of Work to be performed by Design-Build Entity under Contract Documents.
- 55. Notice of Completion: Shall have the meaning provided in California Civil Code Section 3093, and any successor statute.
- 56. Off Site: Outside geographical location of the Project.
- 57. Partial Utilization: Use by District of a substantially completed part of the Work for the purpose for which it is intended (or a related purpose) prior to Substantial Completion of all of the Work.
- 58. PCBs: Polyclorinated byphenyls.
- 59. Phase: A specified portion of the Work (if any) specifically identified as a Phase in Document 00 52 00 (Agreement) or Document 01 10 00 (Summary of Work).
- 60. Product Data: That information (including brochures, catalogue cuts, MSDS, etc.) supplied by the vendor describing the technical and commercial characteristics of the supplier equipment or materials, and accompanying commercial terms such as warranties, instructions and manuals.
- 61. Progress Report: A periodic report submitted by Design-Build Entity to District with progress payment invoices accompanying actual work accomplished to the Progress Schedule. See Section 01 32 16 (Progress Schedules and Reports) and Document 00 71 00 (General Conditions).
- 62. Project: Refers to totality of Work, including design and construction, performed under Contract Documents.
- 63. Project Float: As defined in Section 01 32 16, paragraph 1.2.B.3.
- 64. Project Manual: Project Manual consists of Proposal Requirements, Agreement, Bonds, Certificates, Contract Conditions, and Bridging Documents.
- 65. Project Record Documents: All Project deliverables required under Sections 01 78 39, including without limitation, as-built drawings, operations and maintenance manuals Installation, Operation, and Maintenance Manuals, and Machine Inventory Sheets.
- 66. Proposal: The offer of the Design-Build Entity submitted on the prescribed form(s) setting forth the prices for the Work to be performed
- 67. Provide: Furnish and install.
- 68. Request for Information ("RFI"): A document prepared by Design-Build Entity requesting information regarding the Project or Contract Documents as provided in Document 01 26 00 (Modification Procedures). The RFI system is also a means for District to submit Contract Document clarifications or supplements to Design-Build Entity.
- 69. Request for Proposals ("RFP"): A document issued by District to Design-Build Entity whereby District may initiate changes in the Work or Contract Time as provided in Contract Documents. See Document 01 26 00 (Modification Procedures).
- 70. Request for Substitution ("RFS"): A document prepared by Design-Build Entity requesting substitution of materials as permitted and to the extent permitted in Contract Documents. See Section 01 60 00 (Product Requirements).
- 71. RFI-Reply: A document consisting of supplementary details, instructions, or information issued by District that clarifies or supplements Contract Documents, and with which Design-Build Entity shall comply. RFI-Replies do not constitute changes in Contract Sum or Contract Time except as otherwise agreed in writing by District. RFI-Replies will be issued through the RFI administrative system.
- 72. Samples: Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
- 73. Services. As defined in Section 01 10 01 (Summary of Work Design Services).
- 74. Shop Drawings: All drawings, diagrams, illustrations, schedules and other data or information which are specifically prepared or assembled by or for Design-Build Entity and submitted by Design-Build Entity to illustrate some portion of the Work.
- 75. Shown: As indicated on Drawings.

- 76. Site: The particular geographical location of Work performed pursuant to Contract Documents.
- 77. Specifications: The written portion of the Bridging Documents consisting of requirements for materials, equipment, construction systems, standards, and workmanship for the Work; performance of related services; and are contained in Divisions 1 through 16.
- 78. Specified: As written in Specifications.
- 79. Subcontractor: A person or entity that has a direct contract with Design-Build Entity either to perform a portion of the Work at the Site, or to perform some or all of the Services. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and neutral in gender and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a separate contractor or subcontractors of a separate contractor.
- 80. Substantial Completion: The Work (or a specified part thereof) has progressed to the point where, in the opinion of District as evidenced by a Certificate of Substantial Completion, the Work is sufficiently complete, in accordance with Contract Documents, so that the Work (or specified part) can be utilized for the purposes for which it is intended; or if no such certificate is issued, when the Work (or specified part) is complete and ready for final payment as evidenced by written recommendation of District for final payment. The terms "Substantially Complete" and "Substantially Completed" as applied to all or part of the Work refer to Substantial Completion thereof.
- Supplemental Instruction: A written directive from District to Design-Build Entity ordering alterations or modifications that do not result in change in Contract Sum or Contract Time, and do not substantially change Drawings or Specifications. <u>See</u> Document 01 26 00 (Modification Procedures).
- 82. Technical Specifications: Specification Divisions 2 through 16 of the Contract Documents.
- 83. Title 24: Title 24, California Code of Regulations.
- 84. Testing and Special Inspection Agency: An independent entity engaged by District to inspect and/or test the workmanship, materials, or manner of construction of buildings or portions of buildings, to determine if such construction complies with the Contract Documents and applicable codes.
- 85. Underground Facilities: All pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels or other such facilities or attachments, and any encasements containing such facilities that have been installed underground to furnish any of the following services or materials: Electricity, gases, chemicals, steam, liquid petroleum products, telephone or other communications, cable television, sewage and drainage removal, traffic or other control systems or water.
- 86. Unit Price Work: Shall be the portions of the Work for which a unit price is provided in Document 00 52 00 (Agreement) or Section 01 10 00 (Summary).
- 87. Verified Report: A periodic report submitted to District. Refer to Sections 4-336, 4-337 and 4-343, Part 1, Title 24, California Code of Regulations.
- 88. Work: The entire completed construction, or the various separately identifiable parts thereof, required to be furnished under the Contract Documents within the Contract Time. Work includes and is the result of performing or furnishing labor and furnishing and incorporating materials and equipment into the construction, and performing or furnishing services and furnishing documents, all as required by the Contract Documents including everything shown in the Bridging Documents. Work may also include the design requirements set forth in the Contract Documents. Wherever the word "work" is used, rather than the word "Work," it shall be understood to have its ordinary and customary meaning.
- B. Wherever words "as directed," "as required," "as permitted," or words of like effect are used, it shall be understood that direction, requirements, or permission of District is intended. Words "sufficient," "necessary," "proper," and the like shall mean sufficient, necessary, or proper in judgment of District. Words "approved," "acceptable," "satisfactory," "favorably reviewed," or words of like import, shall mean approved by, or acceptable to, or satisfactory to, or favorably reviewed by District.

C. Wherever the word "may" or "ought" is used, the action to which it refers is discretionary. Wherever the word "shall" or "will" is used, the action to which it refers is mandatory.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

# SECTION 01 45 23

# **TESTING AND INSPECTION**

## PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Contractor's Quality Control
- B. Quality of the Work
- C. Inspections and Tests by Division of State Architect
- D. Inspections and Tests by Serving Utilities
- E. Inspections and Tests by Manufacturer's Representatives
- F. Inspections by Independent Testing and Inspection Agency
- G. Additional Testing and Inspection

#### **1.2 CONTRACTOR'S QUALITY CONTROL**

- A. Contractor's Quality Control: Ensure that products, services, workmanship and Site conditions comply with requirements of Drawings and Specifications by coordinating, supervising, testing, and inspecting the Work and by utilizing only suitably qualified and appropriately audited, licensed or trained, personnel.
- B. Quality Requirements: Work shall be accomplished in accordance with quality requirements of Drawings and Specifications, including, by reference, all codes, laws, rules, regulations, and standards. When no quality basis is prescribed, the quality and testing procedures shall be in accordance with the best-accepted practices of the construction industry for the locale of the Project, for projects of this type, or standards set by engineering or technical societies (e.g., ASTM or ASHRAE), whichever is more stringent.
- C. Quality Control Personnel: Employ and assign knowledgeable and skilled personnel as necessary to perform quality control functions to ensure that the Work is provided as required.

## **1.3 QUALITY OF THE WORK**

- A. Quality of Products: Unless otherwise indicated or specified, all products shall be new, free of defects, and fit for the intended use.
- B. Quality of Installation: All Work shall be produced plumb, level, square and true, or true to indicated angle, and with proper alignment and relationship between the various elements, as shown on or required by Contract Documents.
- C. Protection of Completed Work: Take all measures necessary to preserve completed Work free from damage, deterioration, soiling, and staining, until acceptance by District.
- D. Standards and Code Compliance and Manufacturer's Instructions and Recommendations: Unless more stringent requirements are indicated or specified, comply with manufacturer's instructions and recommendations, reference standards and building code research report requirements in preparing, fabricating, erecting, installing, applying, connecting, and finishing Work.
- E. Deviations from Standards and Code Compliance and Manufacturer's Instructions and Recommendations: Secure District's advanced written consent. Document and explain all deviations from reference standards and building code research report requirements and manufacturer's product installation instructions and recommendations, including acknowledgement by the manufacturer that such deviations are acceptable and appropriate for the Project.
- F. Verification of Quality: Work shall be subject to verification of quality by District in accordance with provisions of the Contract Documents.
  - 1. Cooperate by making Work available for inspection by Division of State Architect Inspector and independent testing and inspection agencies.
  - 2. Such verification may include mill, plant, shop, or field inspection as required.
  - 3. Provide access to all parts of the Work, including plants where materials or equipment are manufactured or fabricated.
  - 4. Provide all information and assistance as required, including that by and from subcontractors, fabricators, materials suppliers and manufacturers, for verification of quality by District.

- 5. Applicable provisions of the Contract Documents shall govern Contract Modifications, if any, resulting from such verification activities.
- G. Observations by District's Consultants: Periodic and occasional observations of Work in progress will be made by District and District's consultants as deemed necessary to review progress of Work and general conformance with design intent.
- H. Limitations on Inspection, Testing and Observation: Neither employment of independent testing and inspection agency nor observations or tests by District and District's consultants shall in any manner relieve Contractor of obligation to perform Work in full conformance to all requirements of Contract Documents.
- I. District's Acceptance and Rejection of Work: District reserves the right to reject all Work not in conformance to the requirements of the Drawings and Specifications, or otherwise defective.
- J. Correction of Defective Work: Defective Work shall be modified, replaced, repaired or redone by the Contractor at no change in Contract Sum or Contract Time.
- K. Contract Adjustment for Defective Work: Should District determine that it is not feasible or in District's interest to require defective Work to be repaired or replaced, an equitable reduction in Contract Sum shall be made by agreement between District and Design-Build Entity, and documented in the form of a contract change order. If equitable amount cannot be agreed upon, a Construction Change Directive will be issued and the amount in dispute resolved in accordance with applicable provisions of Document 00 71 00 (General Conditions).
- L. Non-Responsibility for Defective Work: District and District's consultants disclaim any and all responsibility for Work produced not in conformance with the Drawings and Specifications.
- M. Responsibility for Defective Work: Contractor shall have full responsibility for all consequences resulting from defective work, including without limitation all delays, disruptions, extra inspection and correction costs by Contractor and District and re-Work, and extra time and costs of all types. Contractor waives excuses for defective work relating to District's prior review of Submittals and/or prior failure to notice defective work in place on inspection.

## 1.4 INSPECTIONS AND TESTS BY GOVERNING AUTHORITIES

- A. Regulatory Requirements for Testing and Inspection: Contractor shall comply with Part 1, Title 24, Section 4-335, California Code of Regulations and shall cooperate with Inspector in all testing required by the Office of Regulation Services, Division of State Architect. Contractor shall comply with Part 2, Title 24, California Code of Regulations and shall cooperate with Inspector in all inspections, testing and approvals required by the Office of Regulation Services, Division of State Architect. Contractor shall also comply with Uniform Building Code (UBC) requirements and all other requirements of governing authorities having jurisdiction.
- B. Inspections and Tests by Governing Authorities: Contractor shall cause all tests and inspections required by governing authorities having jurisdiction to be made for Work under this Contract.
  - 1. Such authorities may include, but are not limited to, the Division of State Architect, Fire Department, and similar agencies.
  - 2. Except as specifically noted, scheduling, conducting and paying for such inspections shall be solely the Contractor's responsibility.

## 1.5 INSPECTIONS AND TESTS BY SERVING UTILITIES

A. Cause all tests and inspections required by serving utilities to be made for Work under this Contract. Scheduling, conducting and paying for such inspections shall be solely the Contractor's responsibility.

## 1.6 INSPECTIONS AND TESTS BY MANUFACTURER'S REPRESENTATIVES

A. Cause all tests and inspections specified to be conducted by materials or systems manufacturers to be made. Additionally, all tests and inspections required by materials or systems manufacturers as conditions of warranty or certification of Work shall be made, the cost of which shall be included in the Contract Sum.

## 1.7 INSPECTIONS BY INDEPENDENT TESTING AND INSPECTION AGENCY

- A. District will select an independent testing and inspection agency or agencies approved by the Architect/Engineer and the Division of State Architect to conduct tests and inspections in accordance with Part 1, Title 24, Section 4-335, California Code of Regulations and as indicated on Drawings, in Specifications and as required by governing authorities having jurisdiction.
- B. Responsibility for time and costs shall be as indicated in schedule below. All time and costs for Contractor's service related to such tests and inspections shall be included in Contract Time and Contract Sum.

- C. Notify District and Inspector in writing (and, if provided, on inspection request form provided by District) and, if directed by District, testing and inspection agency, when Work is ready for specified tests and inspections. Deliver this written notification at least 72 hours before the requested inspection date.
- D. Pay for all additional charges by testing and inspection agencies and governing authorities having jurisdiction due to the following:
  - 1. Contractor's failure to properly schedule or notify testing and inspection agency or authorities having jurisdiction.
  - 2. Changes in sources, lots, or suppliers of products after original tests or inspections.
  - 3. Changes in means, methods, techniques, sequences, and procedures of construction that necessitate additional testing, inspection, and related services.
  - 4. Changes in mix designs for concrete and mortar after review and acceptance of submitted mix design.
  - 5. Contractor submitted requests to change materials or products, which are accepted, but require testing and/or reinspection beyond original design.
- E. Tests and special inspections to be paid by District may, where required, include the following: [PM ask A/E to update this section; also, add DSA T&I form to Project Manual]

<b>SECTION</b>	MATERIAL TESTS
03 21 13	Reinforcing steel for concrete and concrete masonry
03 31 00	Concrete slump and strength
04 22 00	Masonry block strength, shrinkage and moisture content
04 22 00	Masonry grout strength
04 22 00	High strength grout strength
04 22 00	Masonry mortar strength
05 12 00	Structural steel bolting and welding
31 63 00	Pile concrete and reinforcing
31 23 33	Trench backfill
31 23 00	Building pad sub-grade and imported fill
31 63 00	Load test pile dowels
<b>SECTION</b>	SPECIAL INSPECTION
03 21 00	Placement of reinforcing steel for concrete and concrete masonry
03 31 00	Placement of cast-in-place concrete
04 20 00	Placement of concrete block and grout
05 12 00	Structural steel fabrication, erection, bolting and welding
07 00 00	Installation of roof membrane
04 20 00	Installation of anchor bolts, dowels embedded in concrete and masonry
To be determined	Installation of adhesive (epoxy) connections
31 63 00	Placement of pile concrete and reinforcement
31 62 00	Pile driving
31 22 00	Site grading, road and parking phase
31 23 00	Trench backfill
SECTION	ENVIRONMENTAL TESTS

To be determined	Storm water runoff sampling
TBD	Construction Noise Monitoring
TBD	Air quality monitoring within occupied spaces

- F. Test and Inspection Reports: After each inspection and test, one copy of report shall be promptly submitted to Division of State Architect, District's Representative, or any other consultant District designates, Architect/Engineer, Contractor and any agency having jurisdiction (if required by Code).
  - 1. Reports shall clearly identify the following:
    - a. Date issued.
    - b. Project name and number.
    - c. Identification of product and Specifications Section in which Work is specified.
    - d. Name of inspector.

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- e. Date and time of sampling or inspection.
- f. Location in Project where sampling or inspection was conducted.
- g. Type of inspection or test.
- h. Date of test.
- i. Results of tests.
- j. Comments concerning conformance with Contract Documents and other requirements.
- 2. Test reports shall indicate specified or required values and shall include statement whether test results indicate satisfactory performance of products.
- 3. Samples taken but not tested shall be reported.
- 4. Test reports shall confirm that methods used for sampling and testing conform to specified test procedures.
- 5. When requested, testing and inspection agency shall provide interpretations of test results.
- G. Contractor Responsibilities in Inspections and Tests:
  - 1. Unless specified otherwise, notify Inspector, District's Representative, or any other consultant District designates, Architect/Engineer and independent testing and inspection agencies 72 hours in advance of expected time of each test and inspection, and for all other operations requiring inspection and testing services, by submitting Contractor's inspection request in writing (or, if District provides a specific form, on that form).
    - a. When tests or inspections cannot be performed after such notice, reimburse District for testing and inspection agency personnel and travel expenses incurred due to Contractor's negligence.
  - 2. Deliver to laboratory or designated location, adequate samples of materials proposed to be used that require advance testing, together with proposed mix designs.
  - 3. Cooperate with Inspector, District's Representative, or any other consultant District designates, and District's consultants. Provide access to Work areas and off-Site fabrication and assembly locations, including during weekends and after normal Work hours.
  - 4. Provide incidental labor and facilities to provide safe access to Work to be tested and inspected, to obtain and handle samples at the Site or at source of products to be tested, and to store and cure test samples.
  - 5. Provide, at least fifteen (15) Days in advance of first test or inspection of each type, a schedule of tests or inspections indicating types of tests or inspections and their scheduled dates.

## 1.8 ADDITIONAL TESTING AND INSPECTION

- A. If initial tests or inspections made by the Inspector or District's Representative, or any other consultant District designates reveal that materials do not comply with Title 24, California Code of Regulations or with the Contract Documents, or if District has reasonable doubt that materials do not comply with Title 24, California Code of Regulations or with Contract Documents, additional tests and inspections shall be made as directed.
  - 1. If additional tests and inspections establish that materials comply with Contract Documents, District shall pay all costs for such tests and inspections.
  - 2. If additional tests and inspections establish that materials do not comply with Contract Documents, all costs of such tests and inspections shall be deducted from Contract Sum.
  - 3. If Work requiring inspection is covered by follow-on or follow-up Work before it is inspected, uncover Work so proper inspections can be performed. All costs of such tests and inspections shall be deducted from Contract Sum.

## PART 2 PRODUCTS - NOT USED

## PART 3 EXECUTION – NOT USED